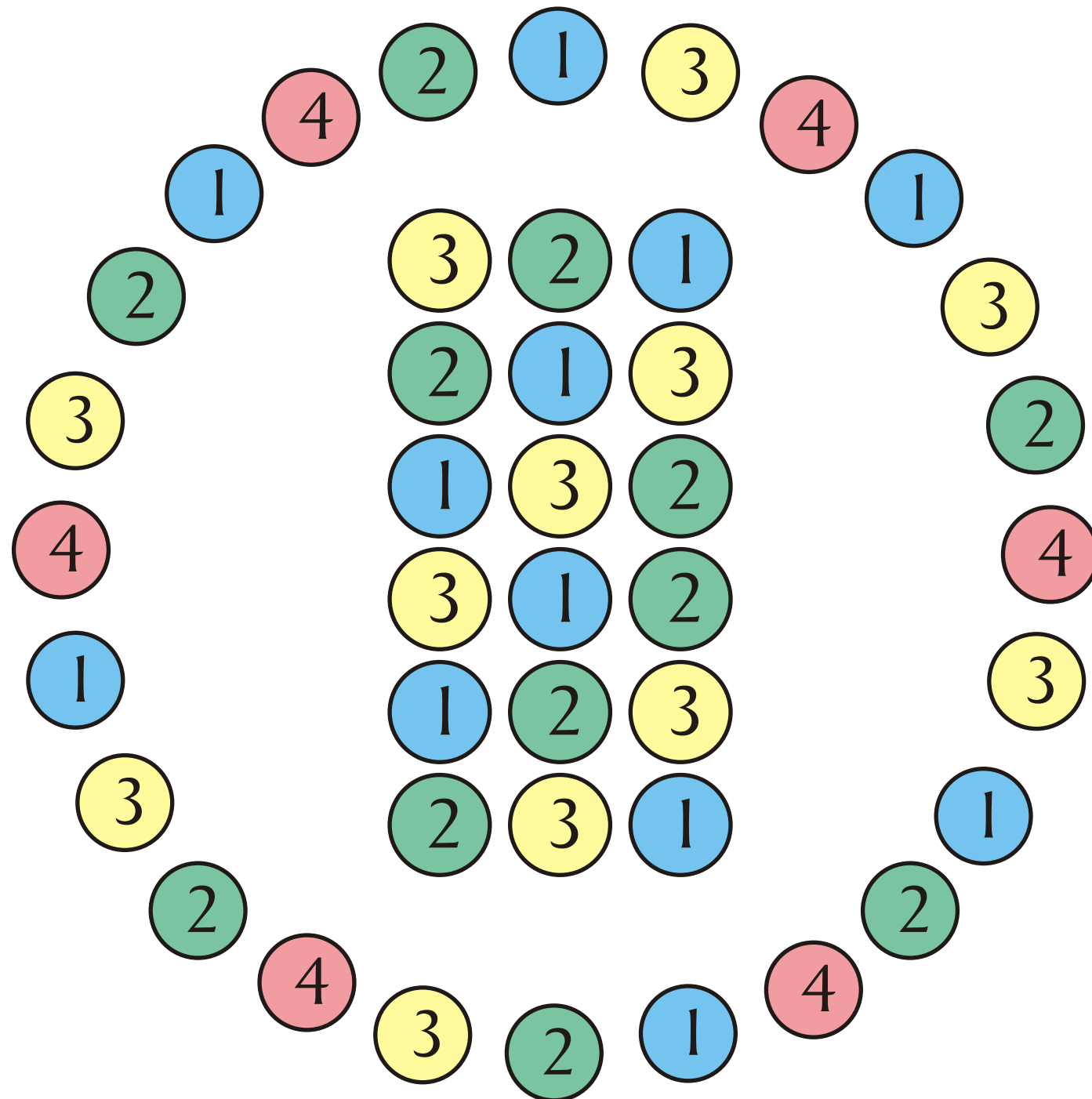


# Shorthand Universal Cycles for Permutations

Aaron Williams

University of Victoria

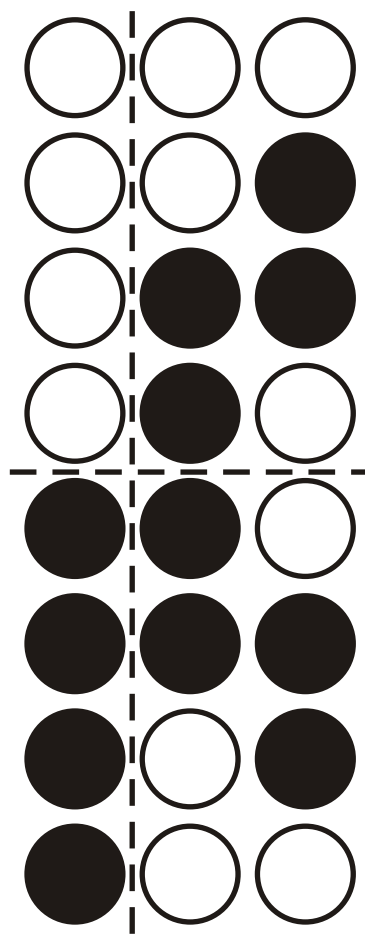


# Generating Combinatorial Objects

Generate all permutations, binary strings, trees

# Generating Combinatorial Objects

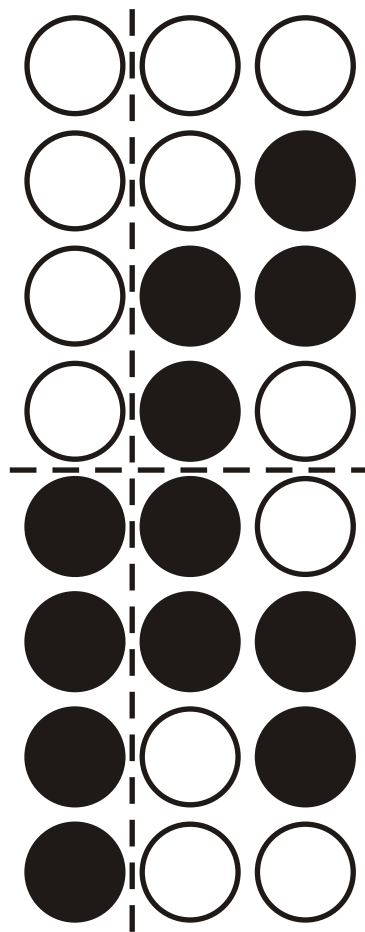
Generate all permutations, binary strings, trees



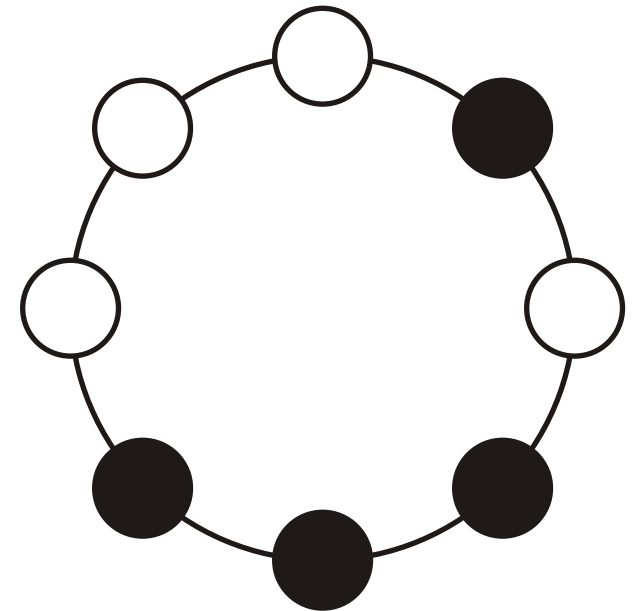
Binary Reflected Gray Code

# Generating Combinatorial Objects

Generate all permutations, binary strings, trees



Binary Reflected Gray Code



Universal Cycle

# Generating Combinatorial Objects

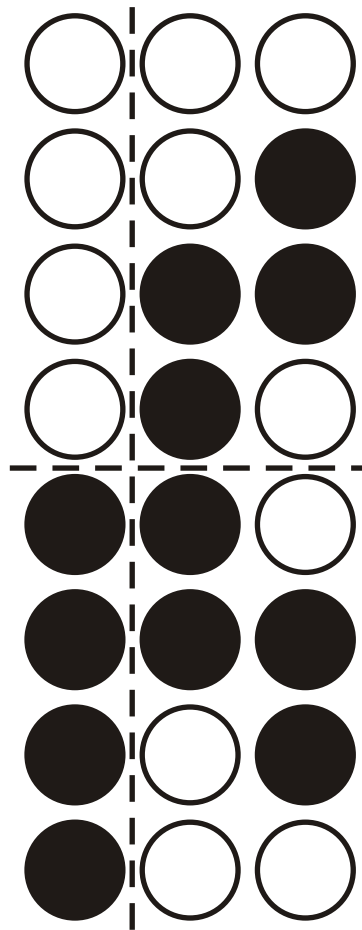
Generate all permutations, binary strings, trees

Efficiency

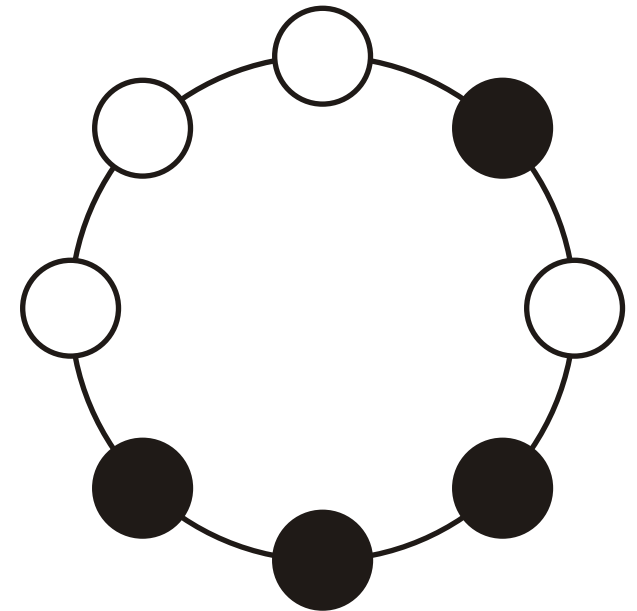
Constant Amortized Time

Loopless or Loop-free

Memory



Binary Reflected Gray Code



Universal Cycle

# Generating Combinatorial Objects

Generate all permutations, binary strings, trees

Efficiency

Constant Amortized Time

Loopless or Loop-free

Memory

Additional Properties

Predictable changes

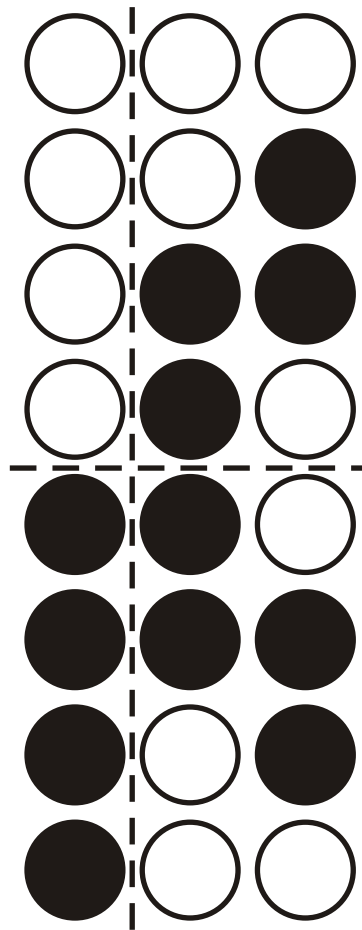
Ranking / unranking

Induced

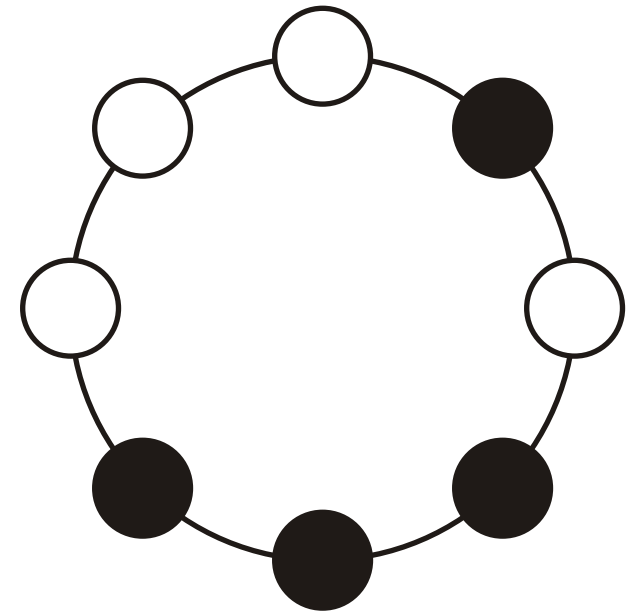
Fairness

Cyclic

Mathematical Elegance



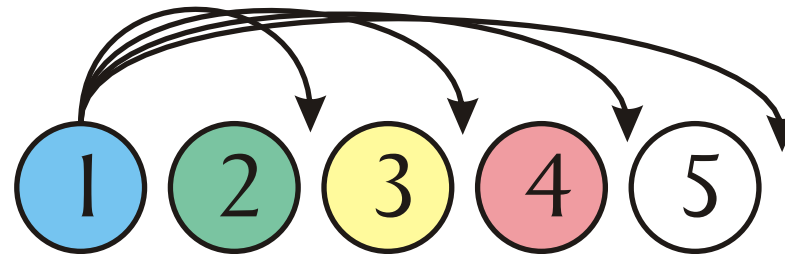
Binary Reflected Gray Code



Universal Cycle

# Generating Permutations by Prefix Rotations

Permutations of  $\{1, 2, \dots, n\}$  by prefix rotations Corbett, Jiang-Ruskey, Ruskey-W.



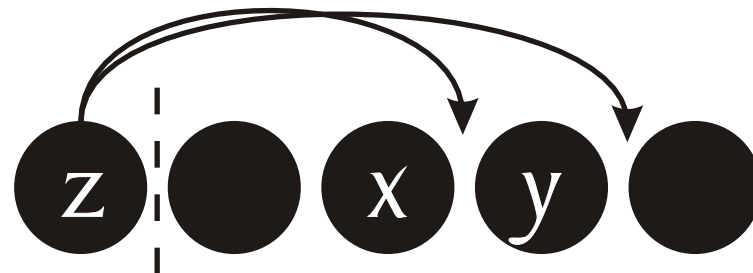
# Generating Permutations by Prefix Rotations

Permutations of  $\{1, 2, \dots, n\}$  by prefix rotations Corbett, Jiang-Ruskey, Ruskey-W.

Leftmost increase  $x < y$

If  $z > x$  then  $xzy$

If  $z < x$  then  $xyz$



“Cool-lex”



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example

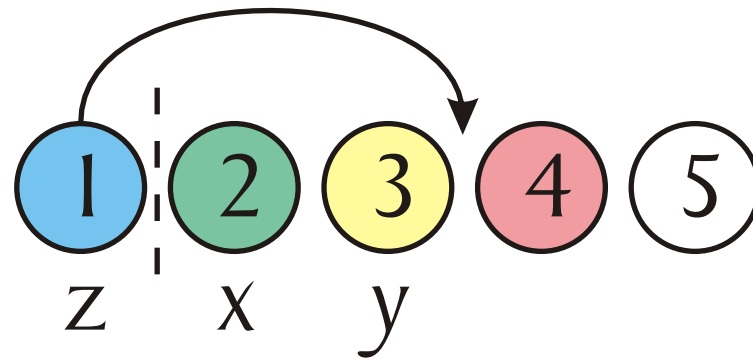
# Generating Permutations by Prefix Rotations

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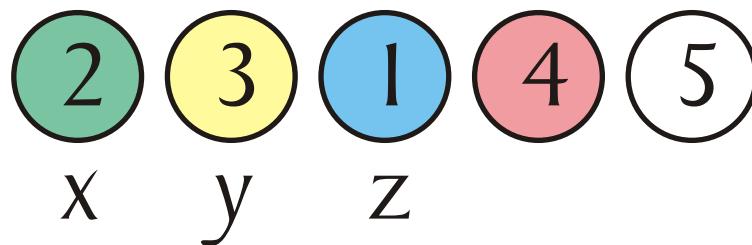
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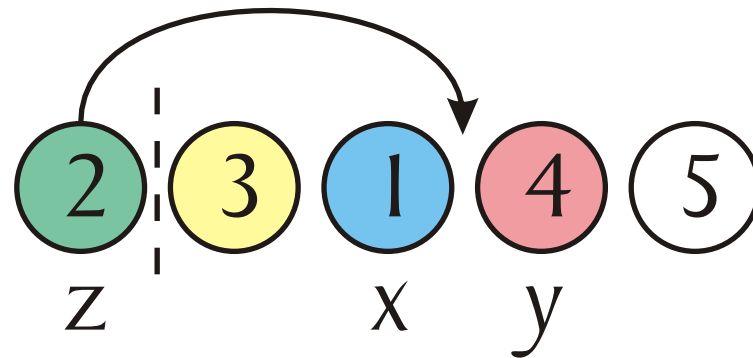
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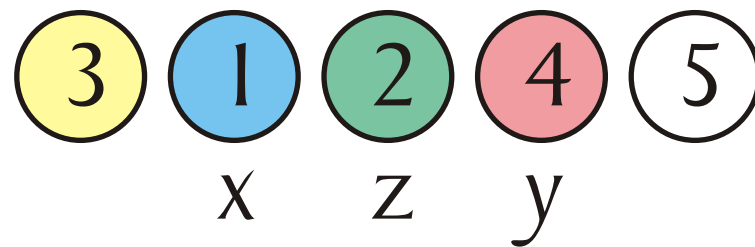
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Permutations of  $\{1, 2, \dots, n\}$  by prefix rotations Corbett, Jiang-Ruskey, Ruskey-W.

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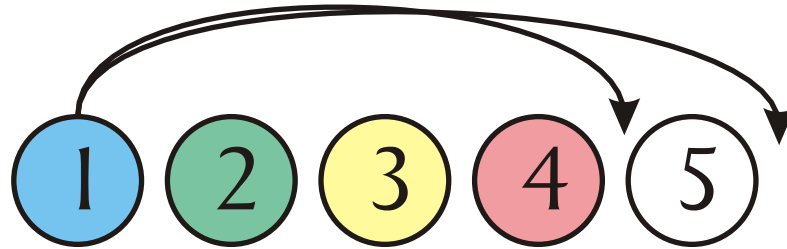
If  $z < x$  then  $xyz$



“Cool-lex”

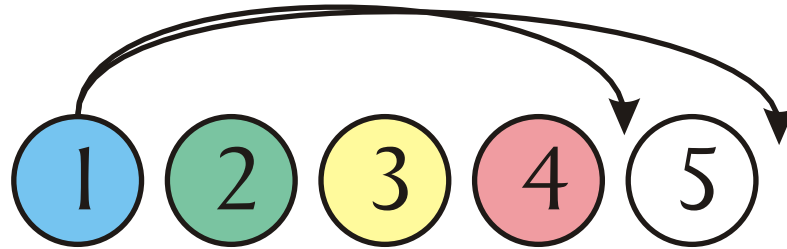
# Question

Gray code using rotations of length  $n-1$  and  $n$ ?



# Question

Gray code using rotations of length  $n-1$  and  $n$ ? Why?

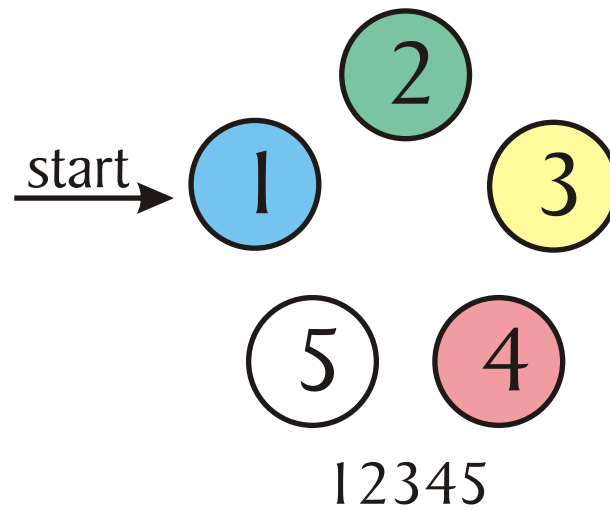




# Question

Gray code using rotations of length  $n-1$  and  $n$ ? Why?

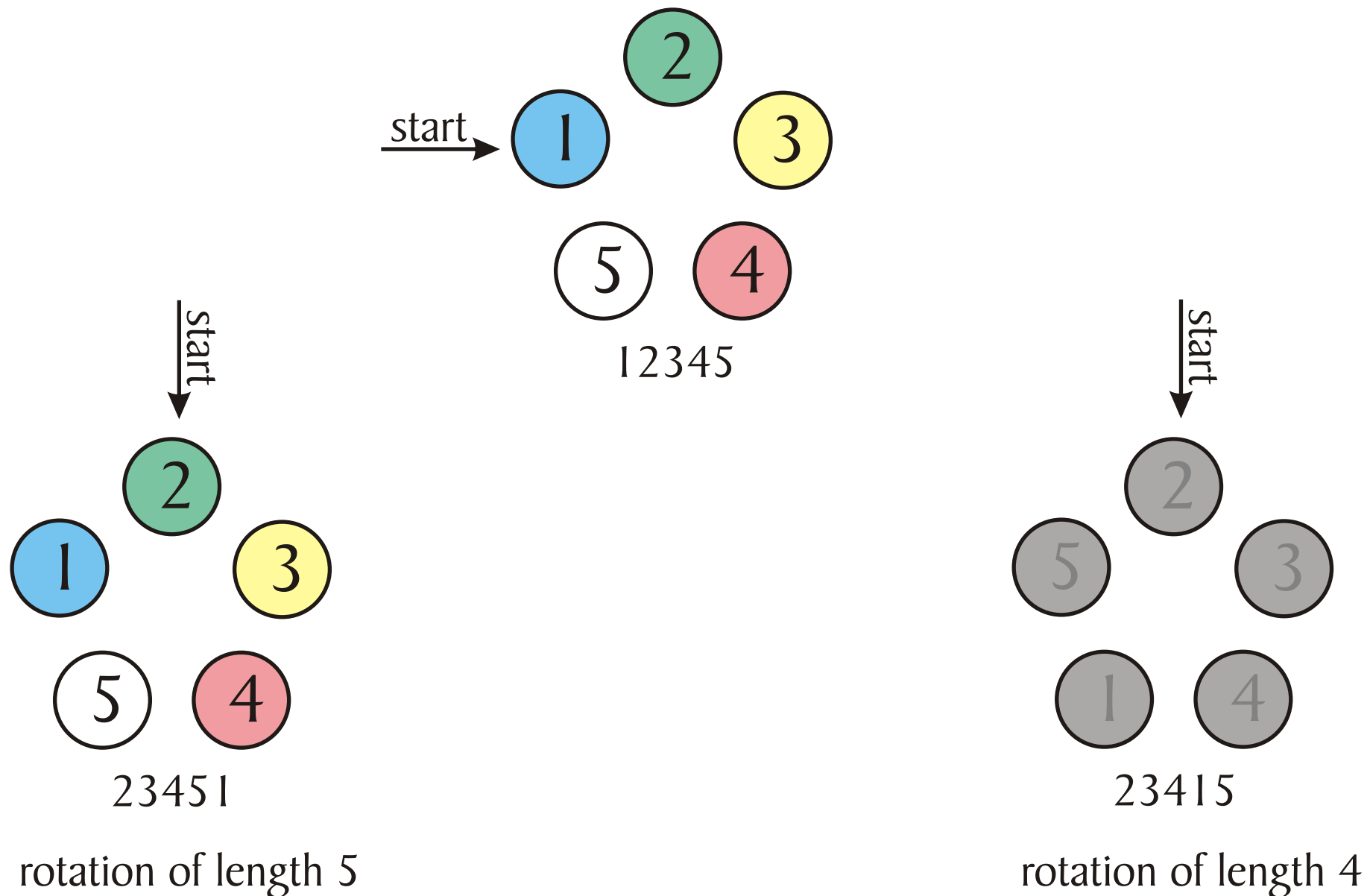
Efficient in circular arrays and linked list



# Question

Gray code using rotations of length  $n-1$  and  $n$ ? Why?

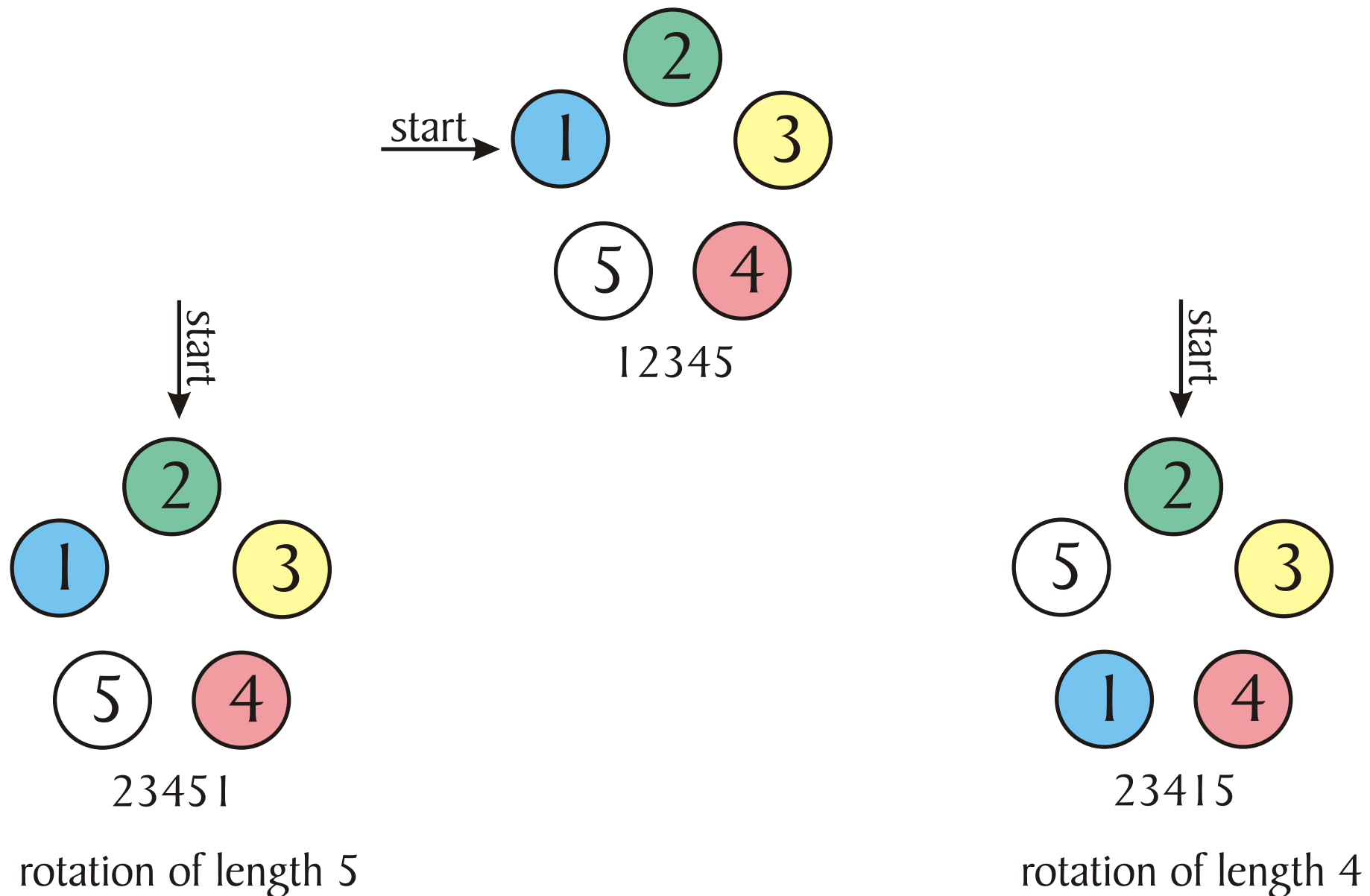
Efficient in circular arrays and linked list



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Gray code using rotations of length  $n-1$  and  $n$ ? Why?

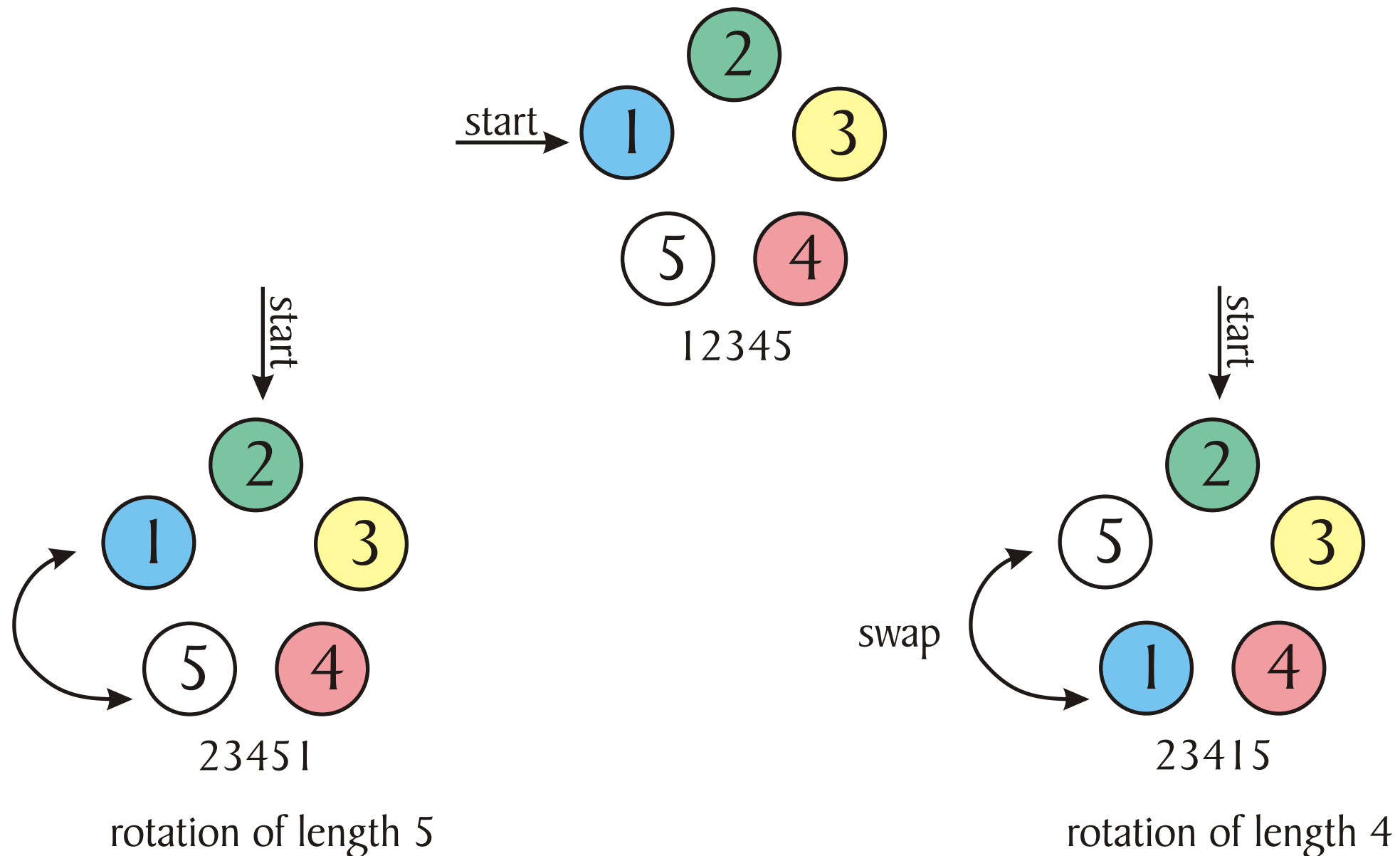
Efficient in circular arrays and linked list



# Question

Gray code using rotations of length  $n-1$  and  $n$ ? Why?

Efficient in circular arrays and linked list

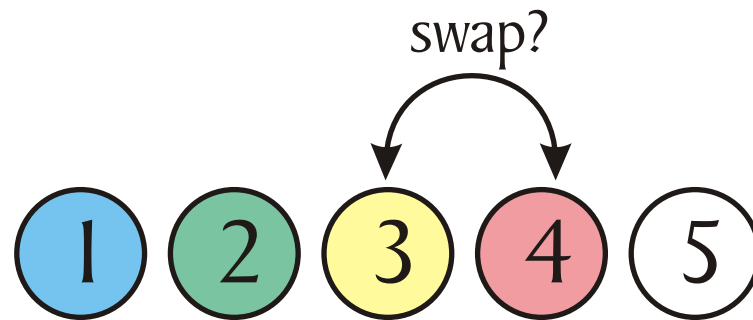


# Plausibility

Is it possible to get from one permutation to another?

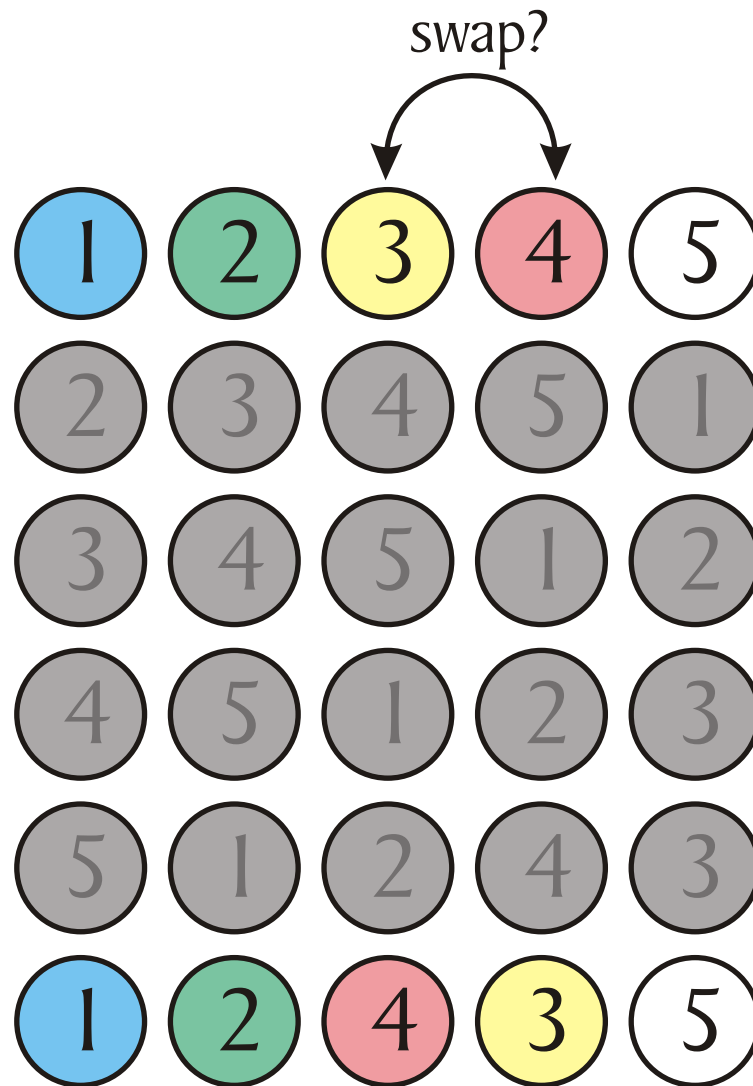
# Plausibility

Is it possible to get from one permutation to another?



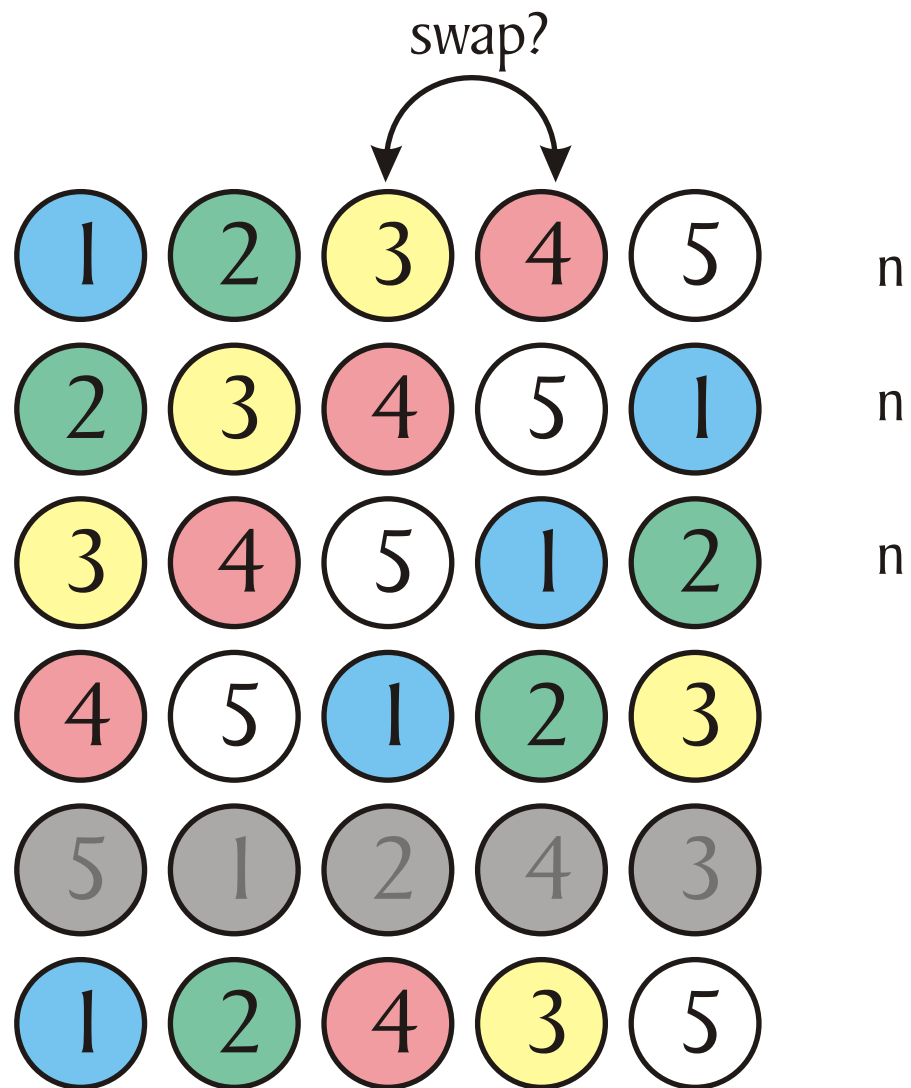
# Plausibility

Is it possible to get from one permutation to another?



# Plausibility

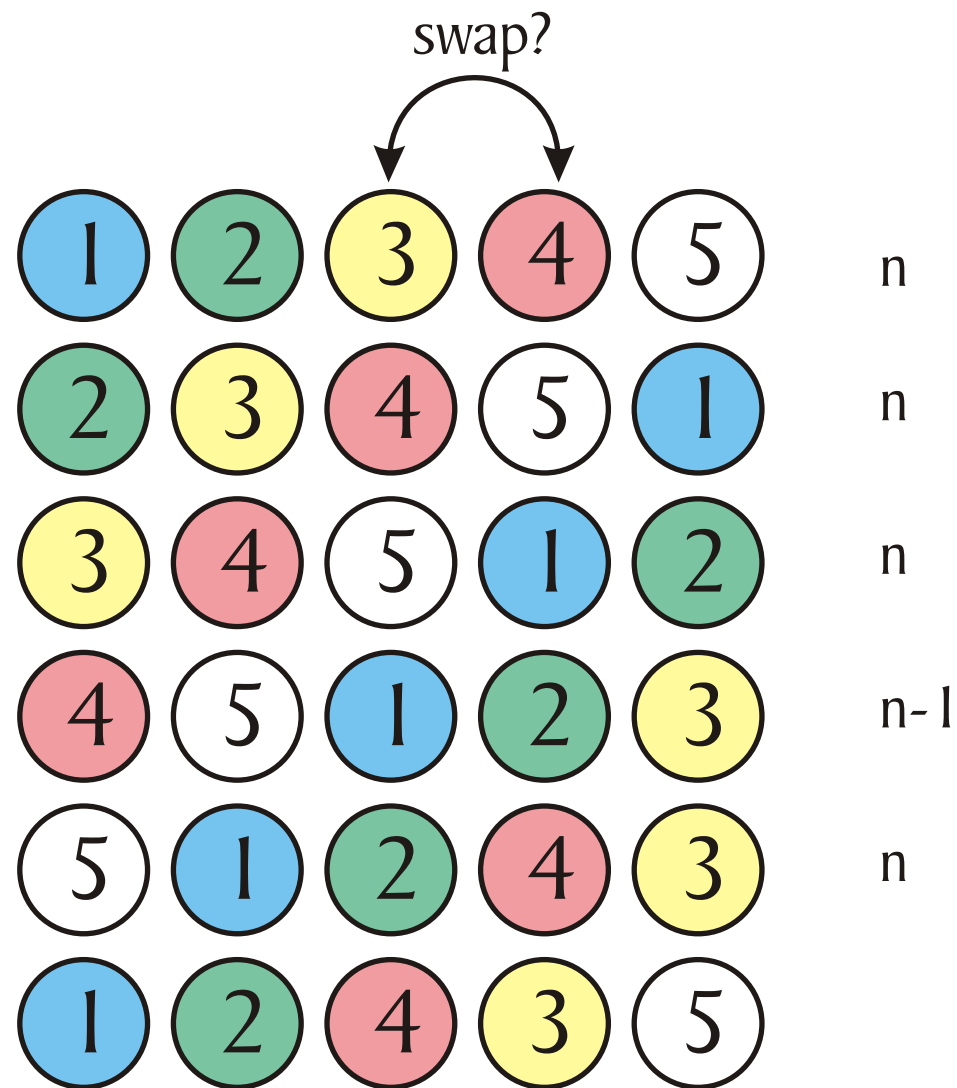
Is it possible to get from one permutation to another?



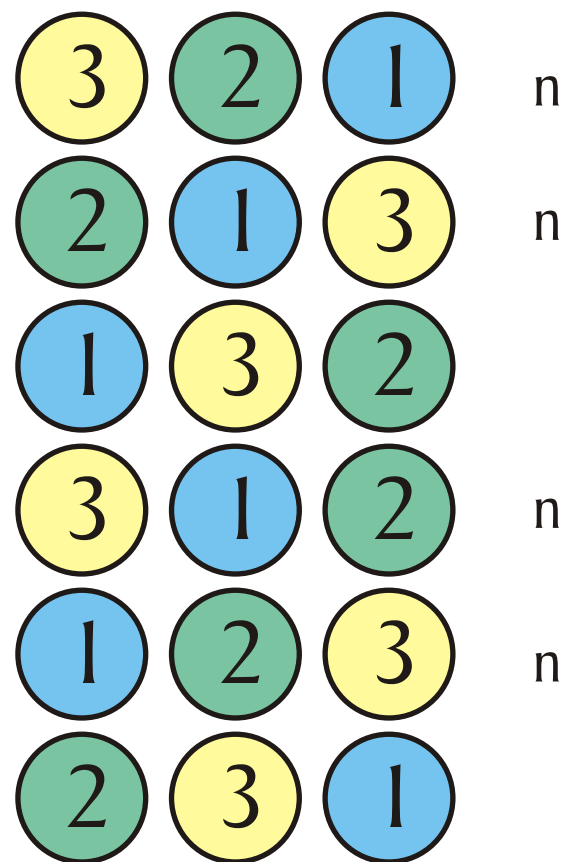


# Plausibility

Is it possible to get from one permutation to another?

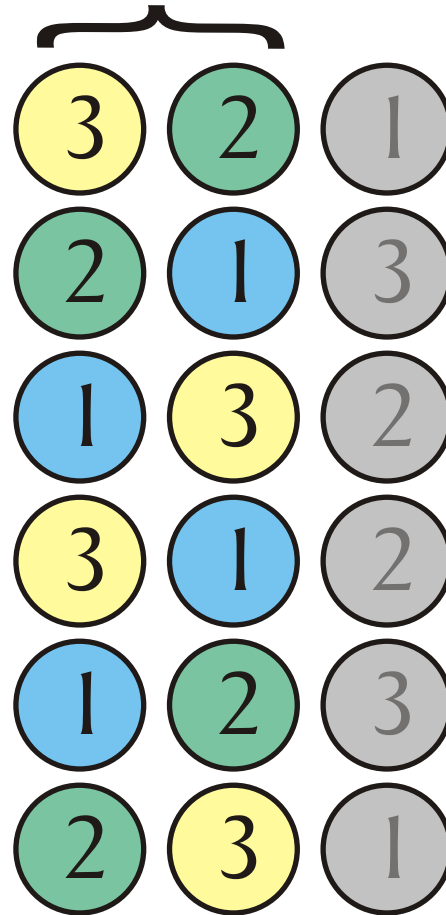


# Observation



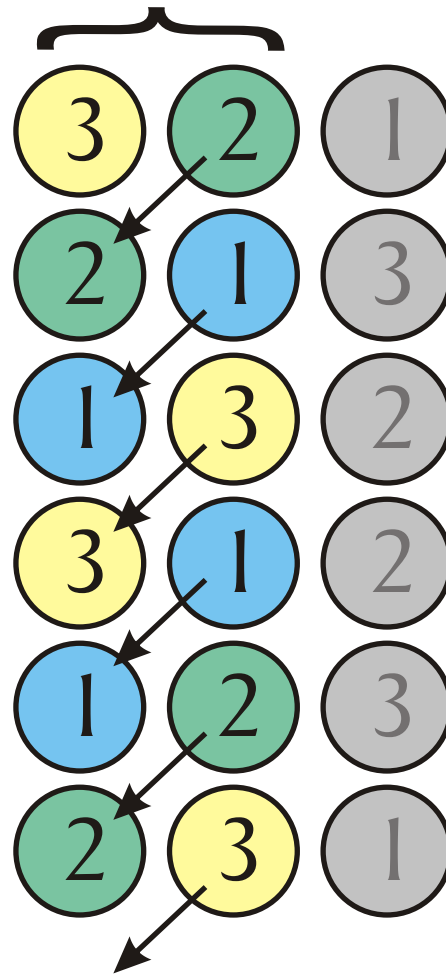
# Observation

the first two columns are identical



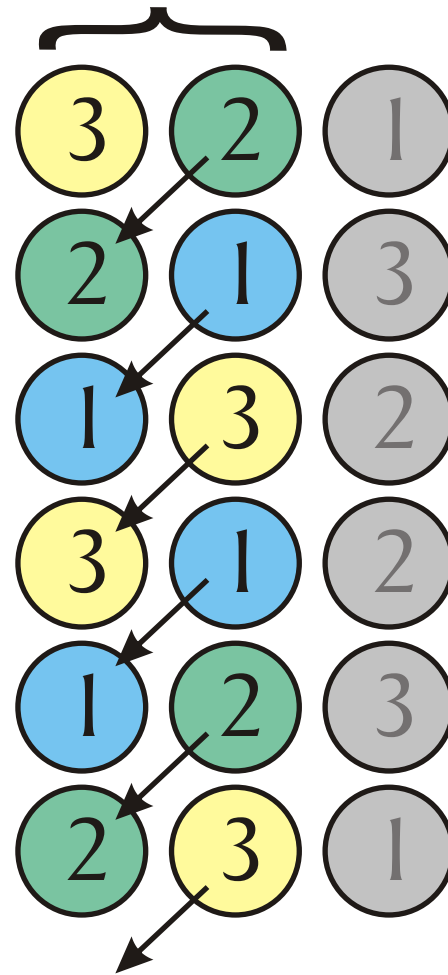
# Observation

the first two columns are identical



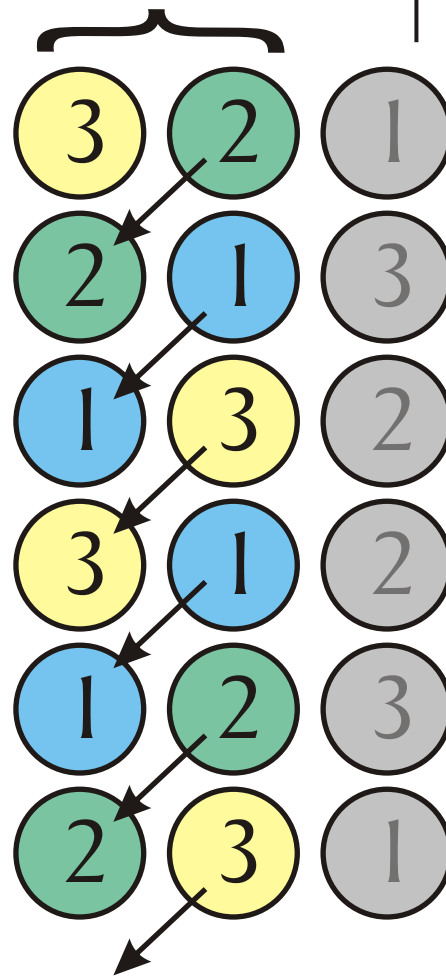
# Observation

the first  $n-1$  columns are identical



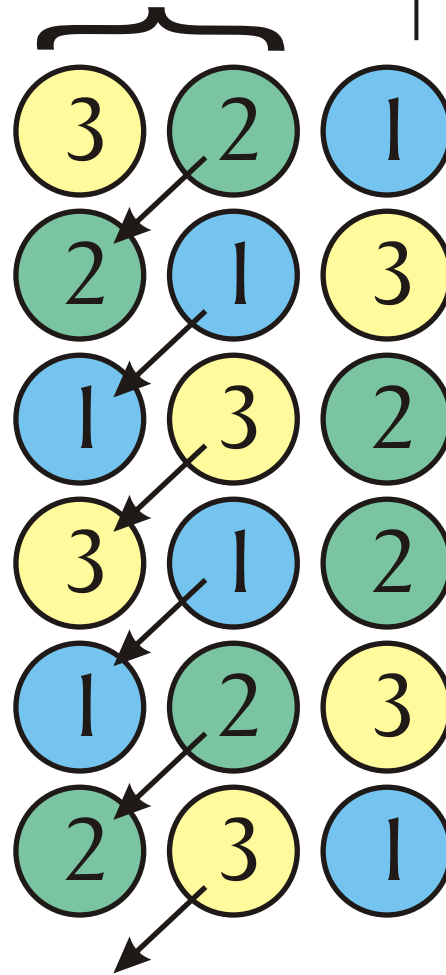
# Observation

the first  $n-1$  columns are identical  
the last column is what is 'missing'



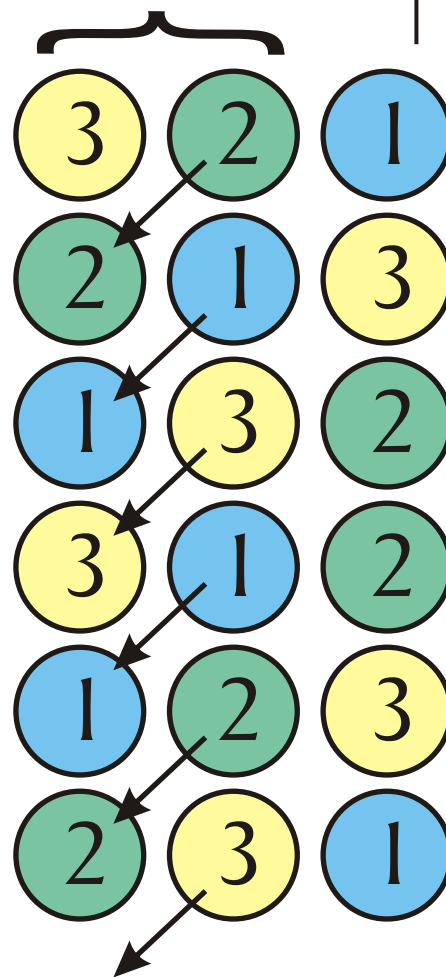
# Observation

the first  $n-1$  columns are identical  
the last column is what is 'missing'



# Observation

the first  $n-1$  columns are identical  
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Gray code determined by one column

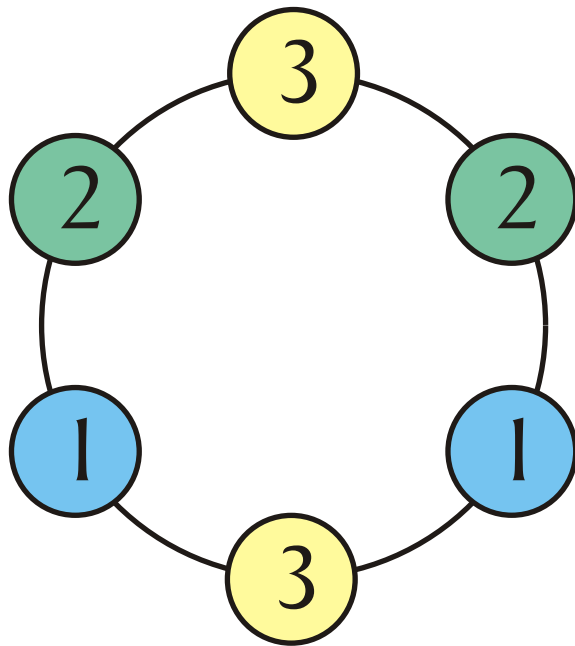


# Shorthand Universal Cycles

Circular string using  $\{1, 2, \dots, n\}$  of length  $n!$

Distinct substrings of length  $n-1$

Append missing symbol



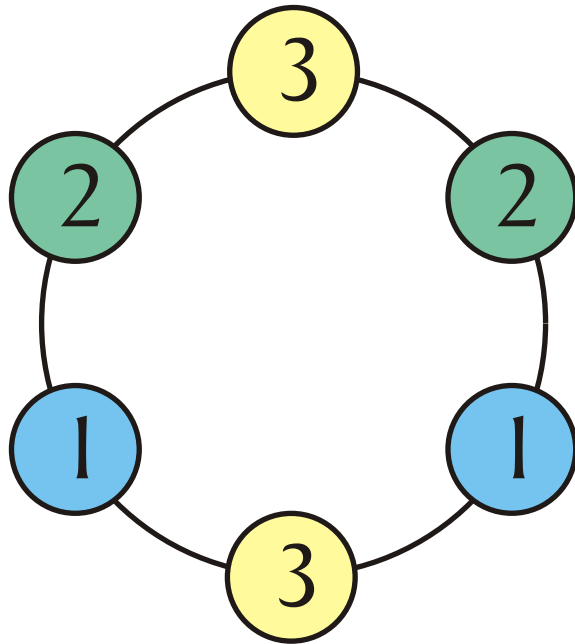
# Shorthand Universal Cycles

Circular string using  $\{1, 2, \dots, n\}$  of length  $n!$

Distinct substrings of length  $n-1$

Append missing symbol

} Shorthand for permutation



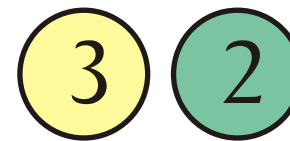
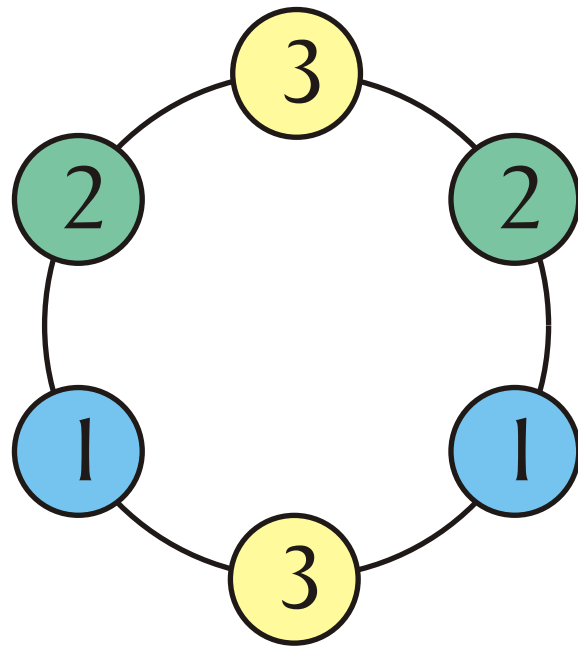
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Circular string using  $\{1, 2, \dots, n\}$  of length  $n!$

Distinct substrings of length  $n-1$

Append missing symbol

} Shorthand for permutation



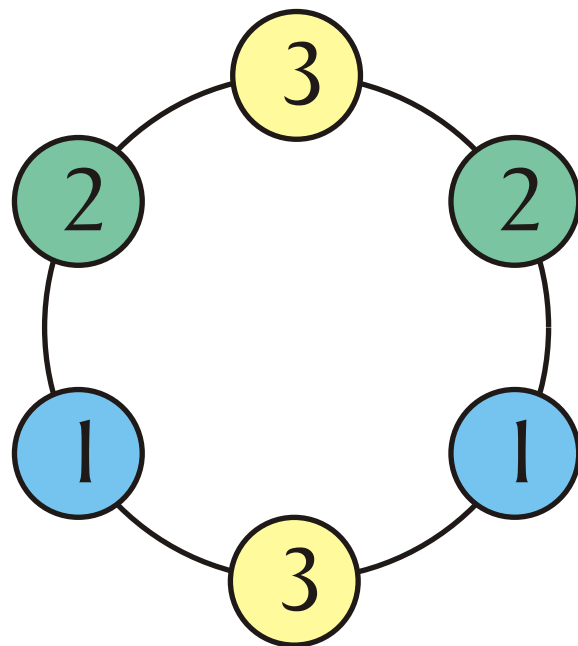
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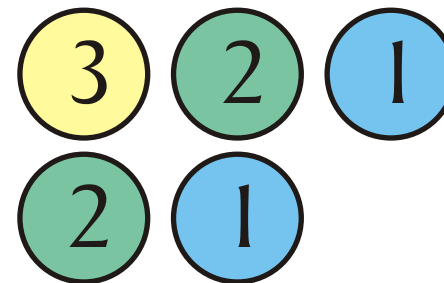
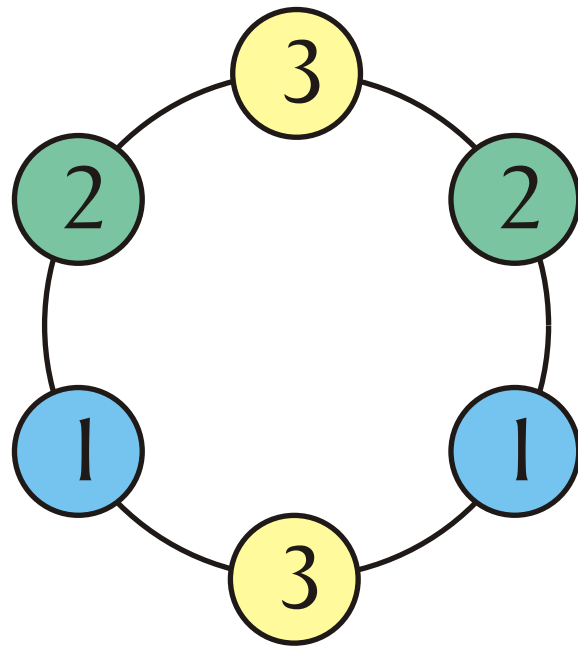
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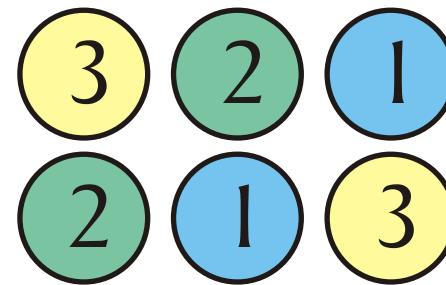
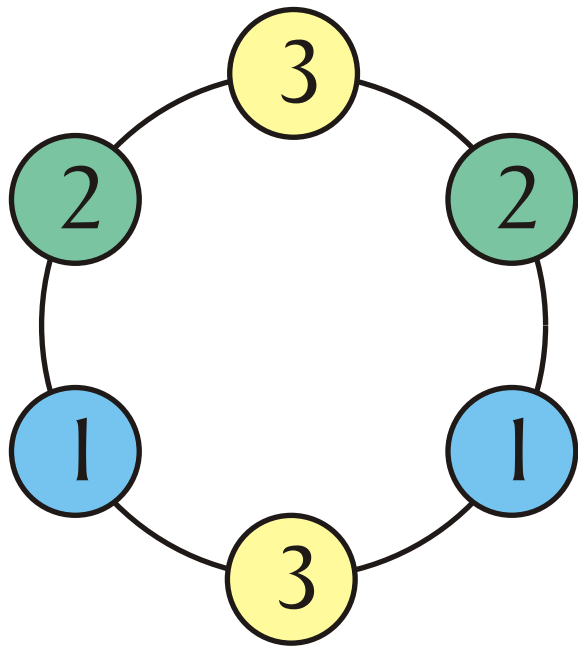
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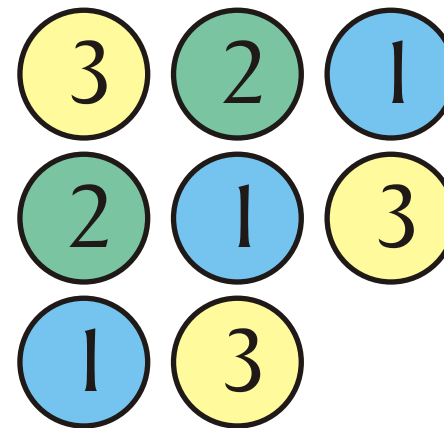
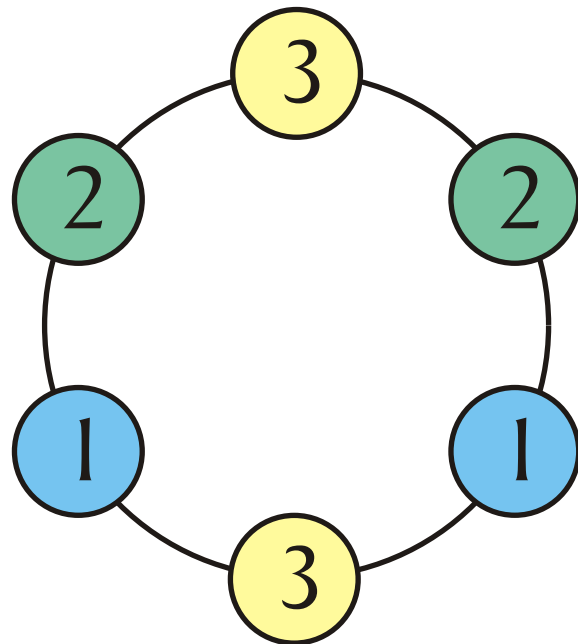
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Circular string using  $\{1, 2, \dots, n\}$  of length  $n!$

Distinct substrings of length  $n-1$

Append missing symbol

} Shorthand for permutation



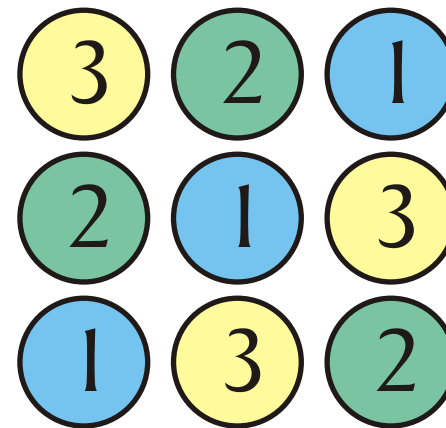
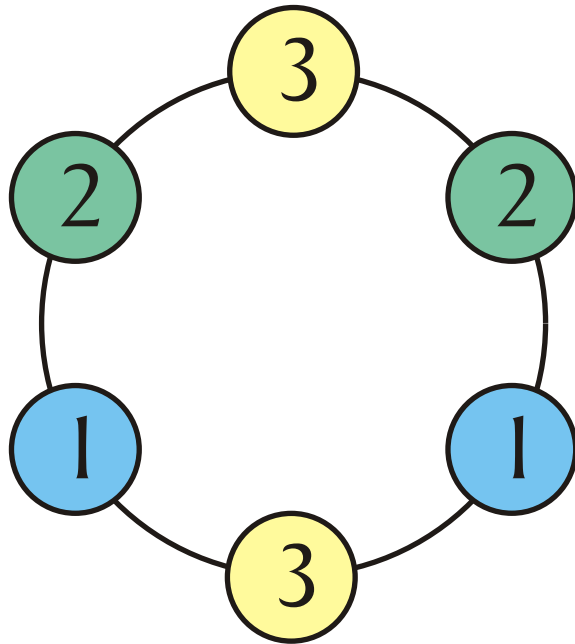
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Circular string using  $\{1, 2, \dots, n\}$  of length  $n!$

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Append missing symbol

} Shorthand for permutation





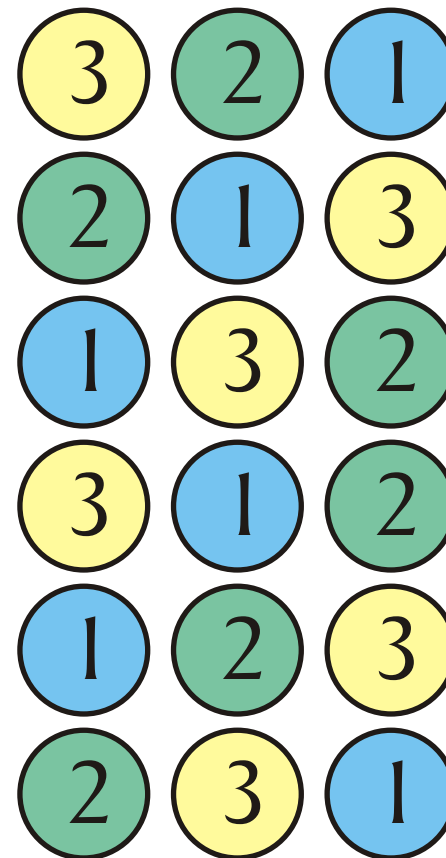
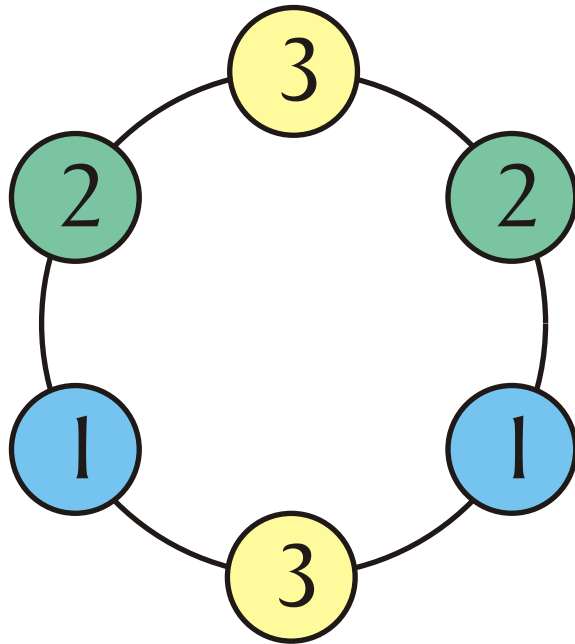
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Circular string using  $\{1, 2, \dots, n\}$  of length  $n!$

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Append missing symbol

} Shorthand for permutation



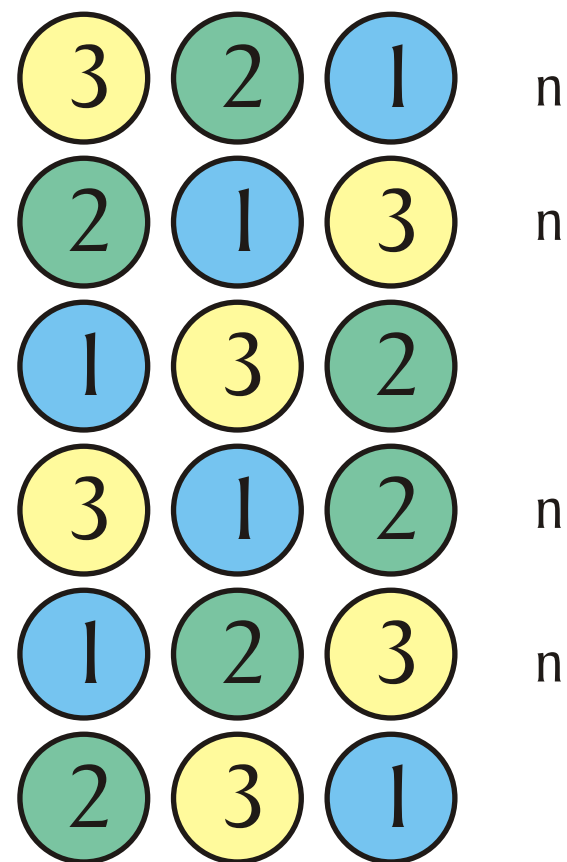
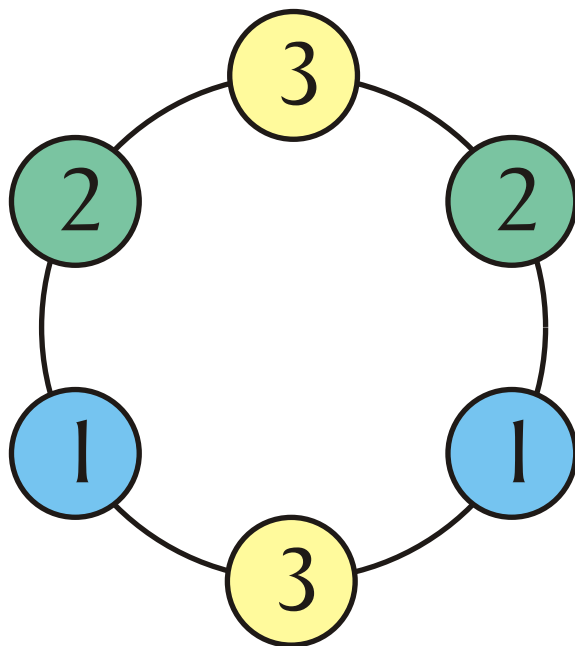
# Shorthand Universal Cycles

Circular string using  $\{1, 2, \dots, n\}$  of length  $n!$

Distinct substrings of length  $n-1$

Append missing symbol

} Shorthand for permutation



Shorthand Universal Cycles  $\Rightarrow$  Gray codes

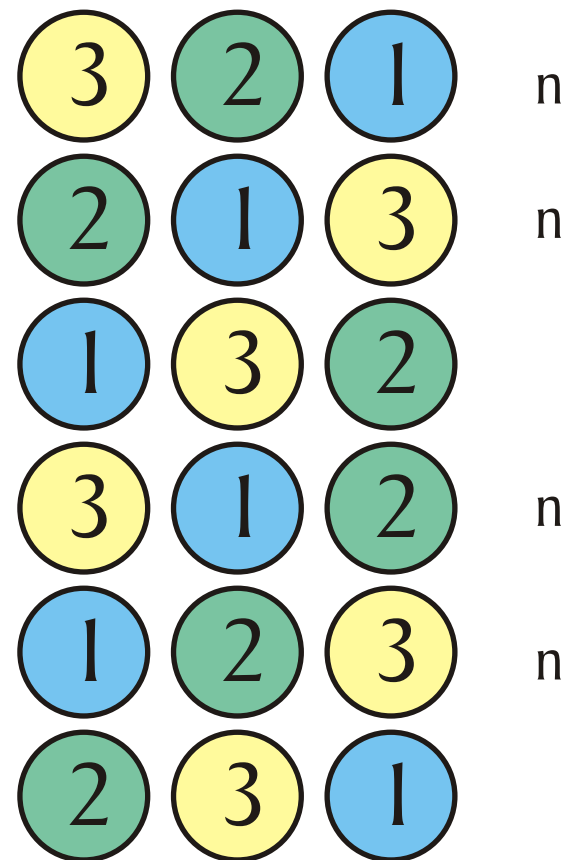
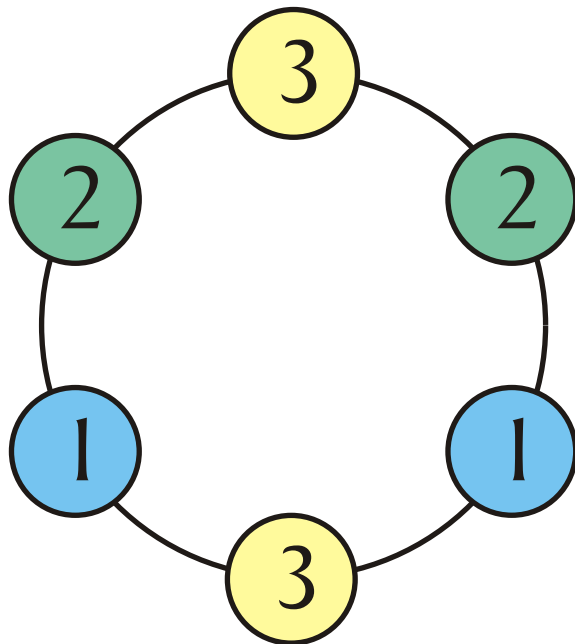
# Shorthand Universal Cycles

Circular string using  $\{1, 2, \dots, n\}$  of length  $n!$

Distinct substrings of length  $n-1$

Append missing symbol

} Shorthand for permutation

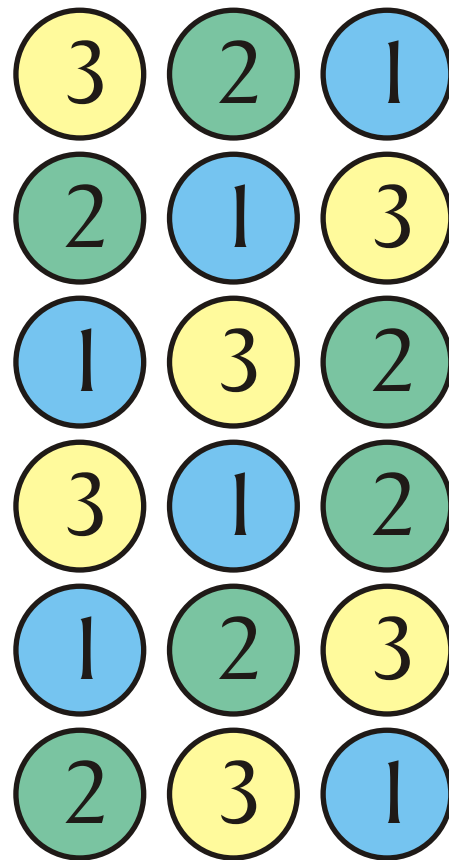


Column of Gray code  $\Rightarrow$  Shorthand Universal Cycle

# Construction

# Construction

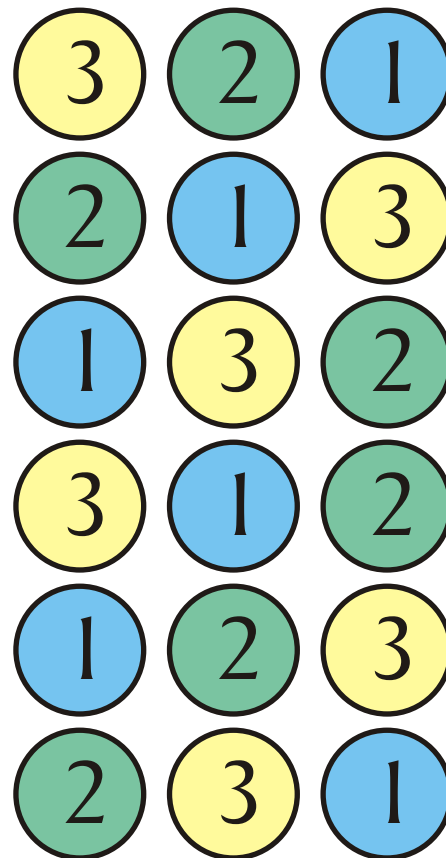
Gray code for n



# Construction

Gray code for  $n$

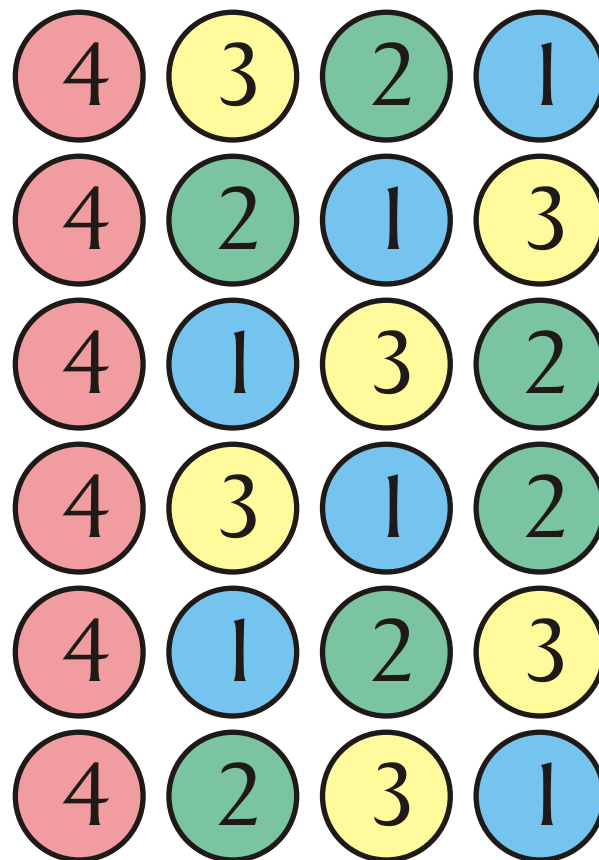
Prefix each row with  $n + 1$



# Construction

Gray code for  $n$

Prefix each row with  $n + 1$

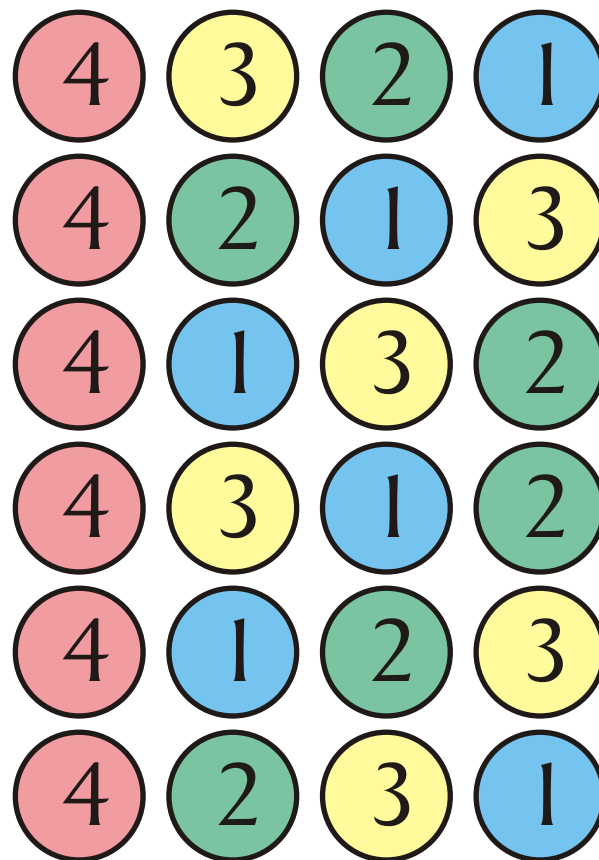


# Construction

Gray code for  $n$

Prefix each row with  $n+1$

Shorthand Universal Cycle for  $n+1$



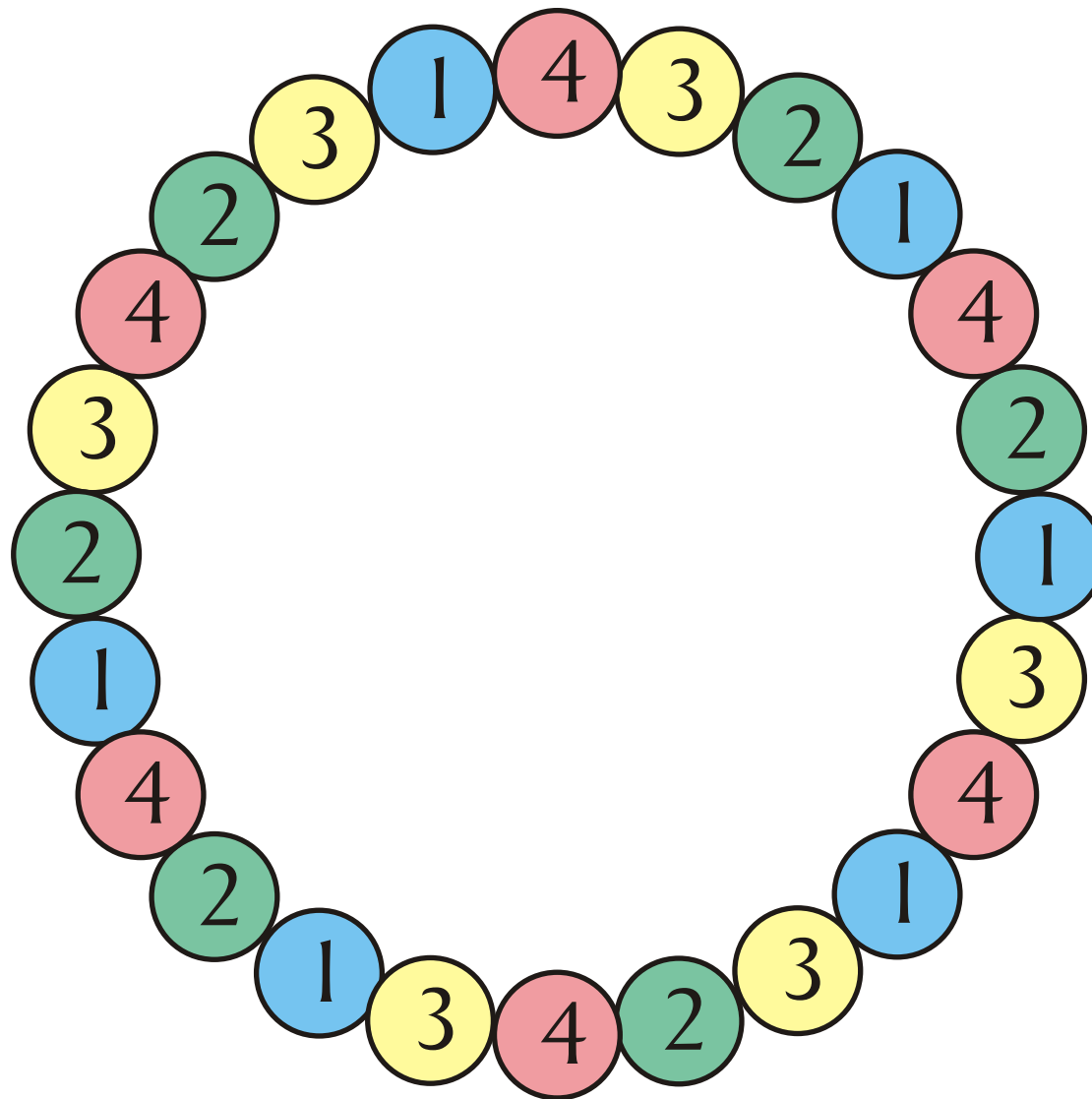


# Construction

Gray code for  $n$

Prefix each row with  $n+1$

Shorthand Universal Cycle for  $n+1$

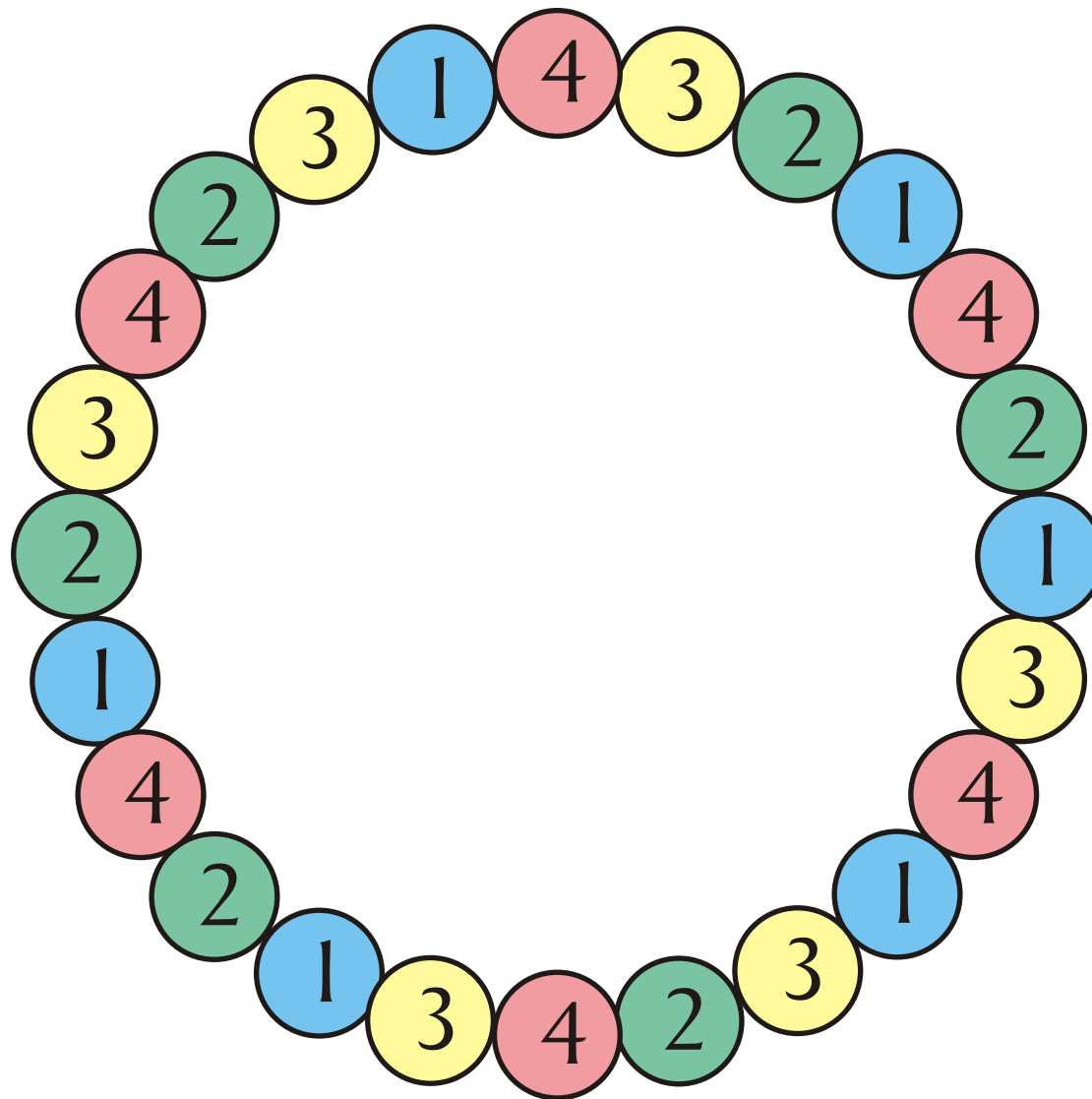


# Construction

Gray code for  $n$

Prefix each row with  $n+1$

Shorthand Universal Cycle for  $n+1$



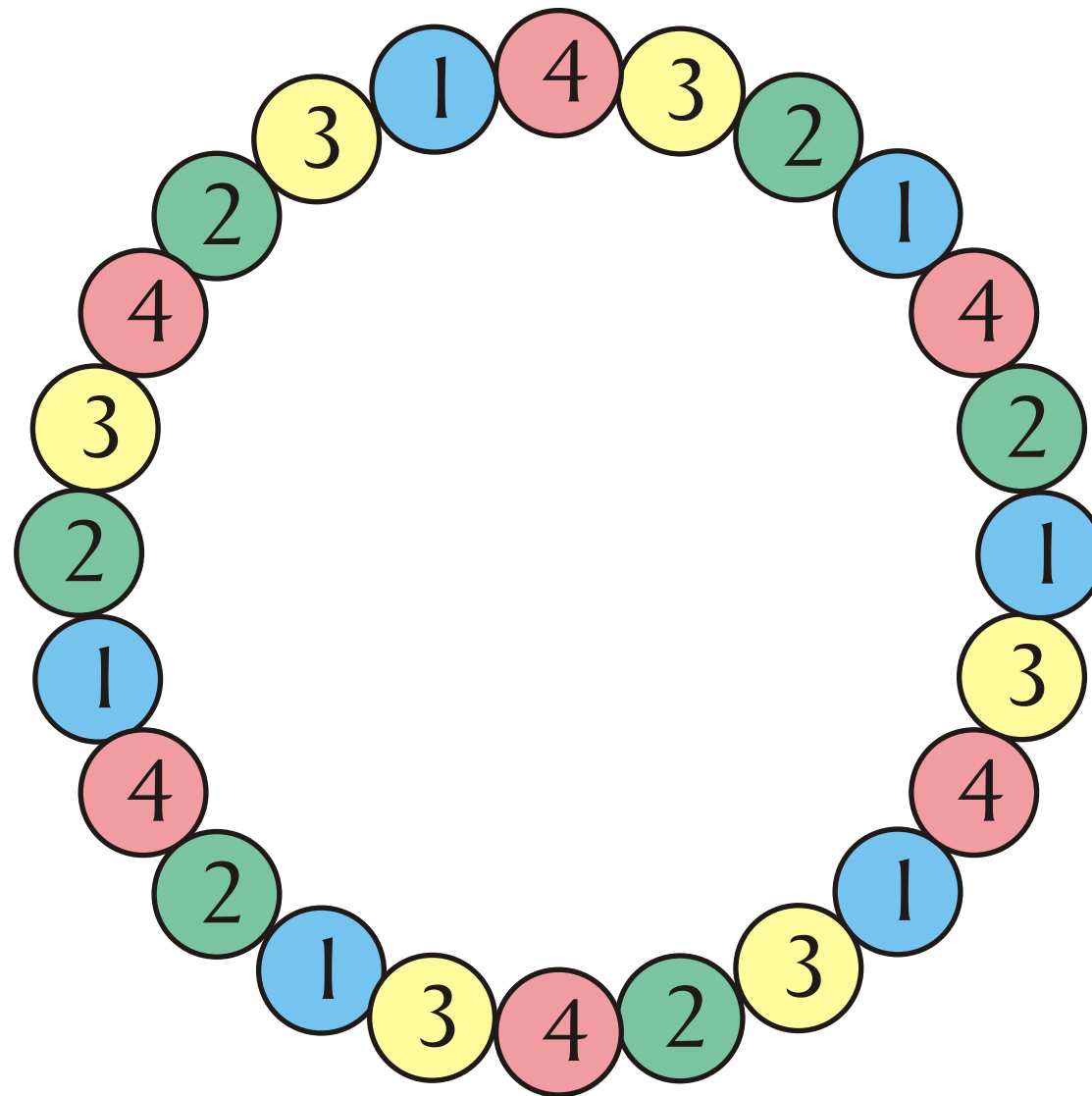
Why?

# Construction

Gray code for  $n$

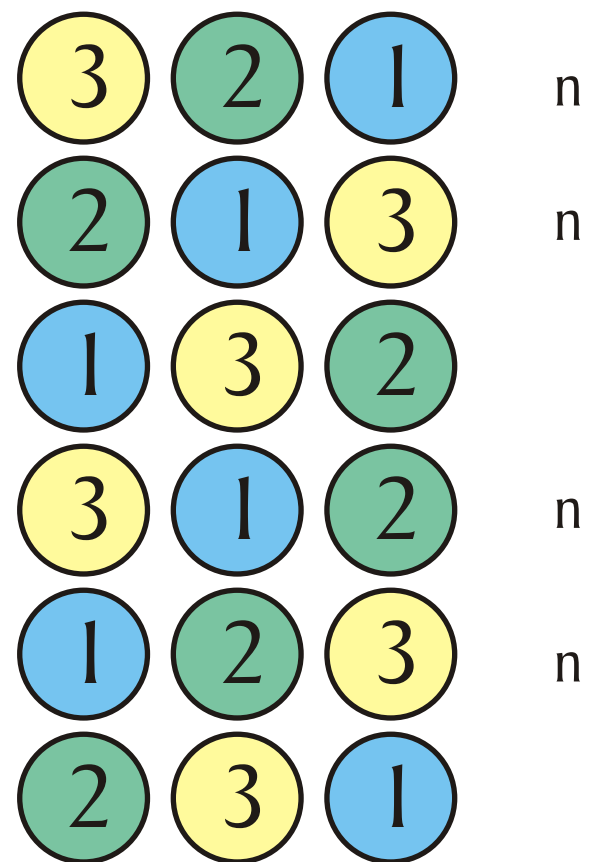
Prefix each row with  $n+1$

Shorthand Universal Cycle for  $n+1$

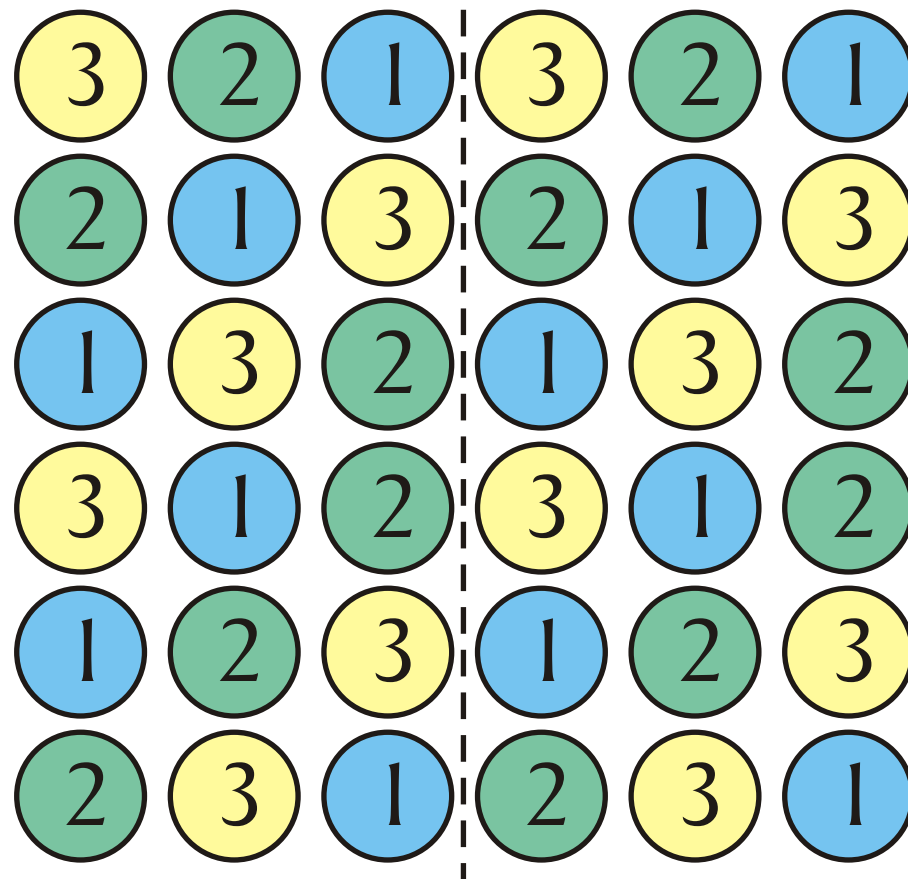


Correct size

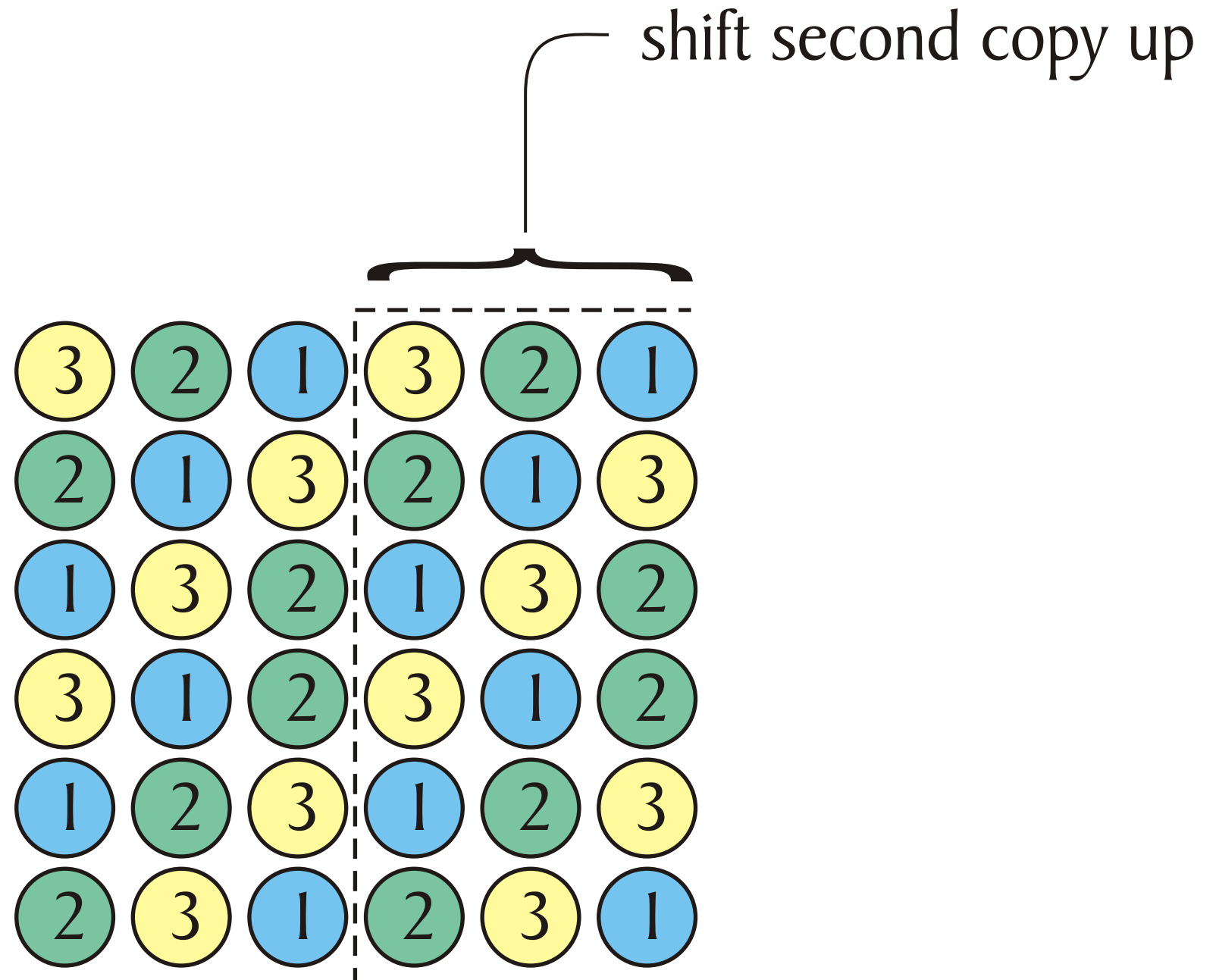
# Circulant Property



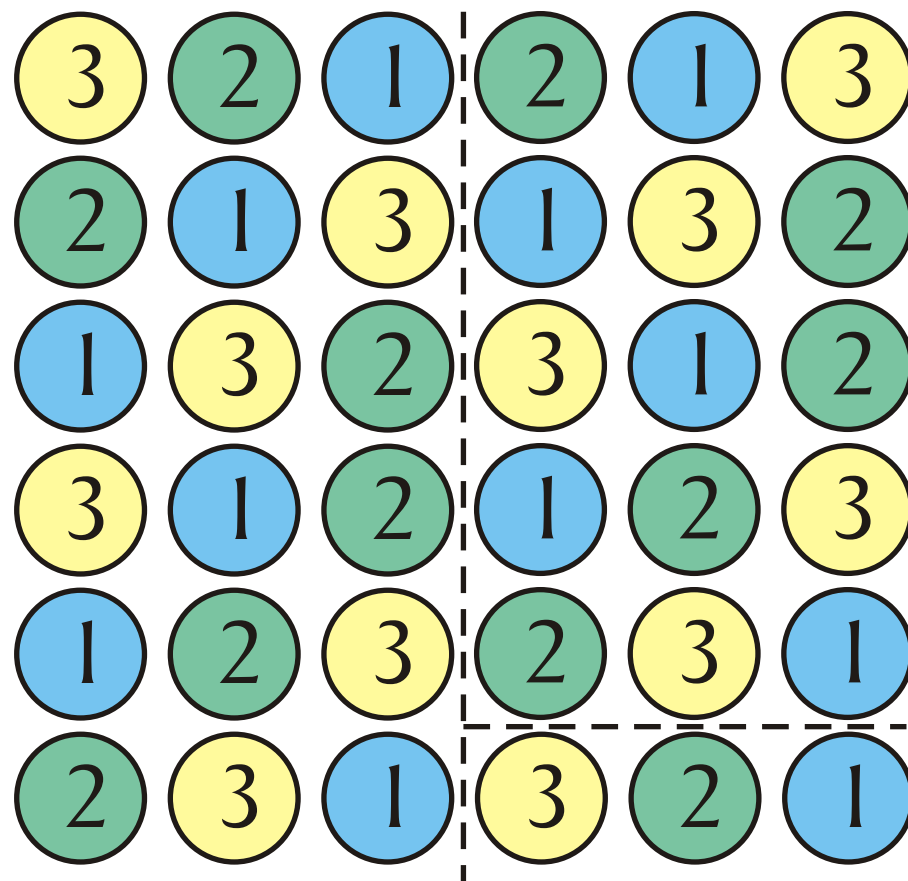
# Circulant Property



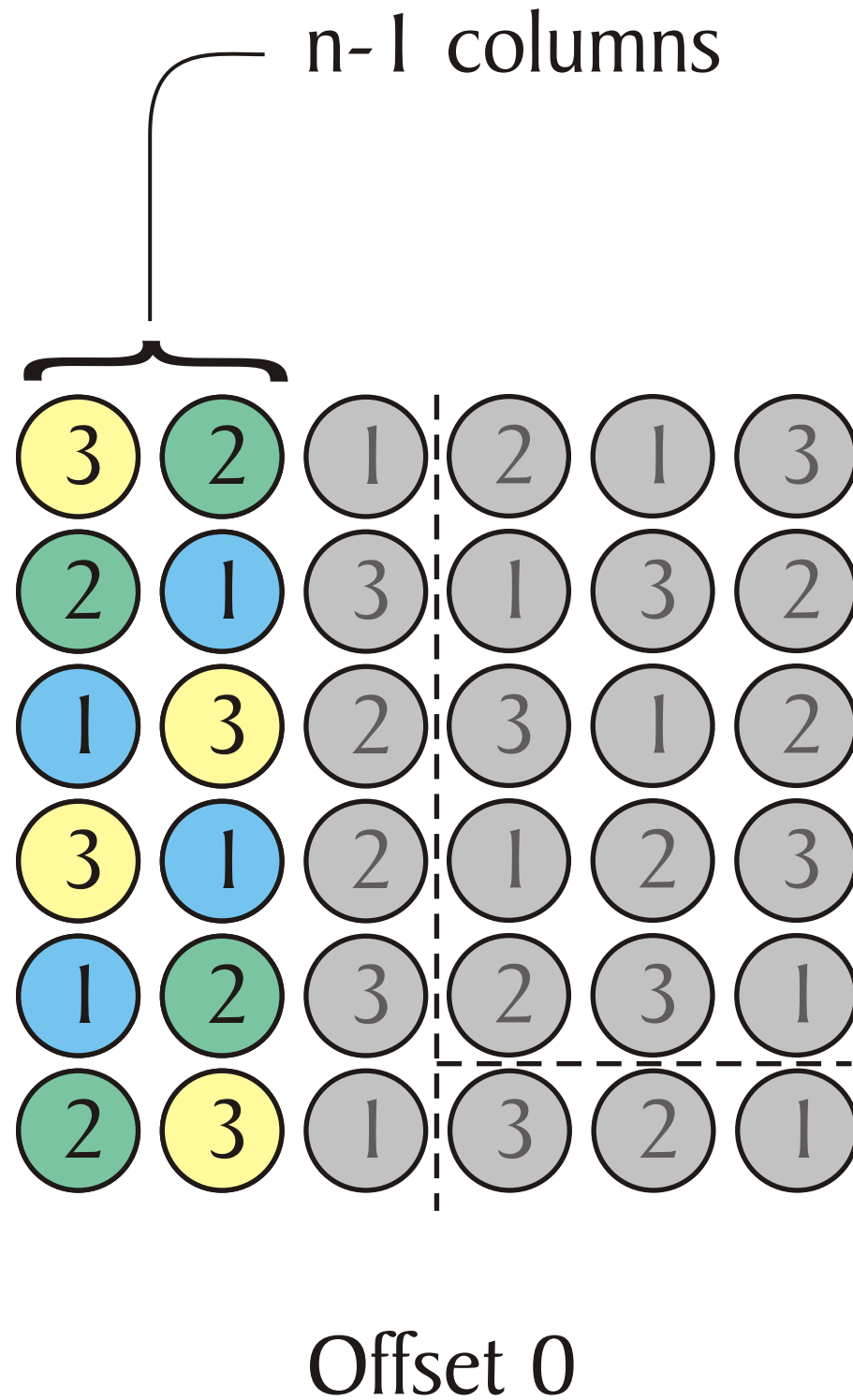
# Circulant Property



# Circulant Property

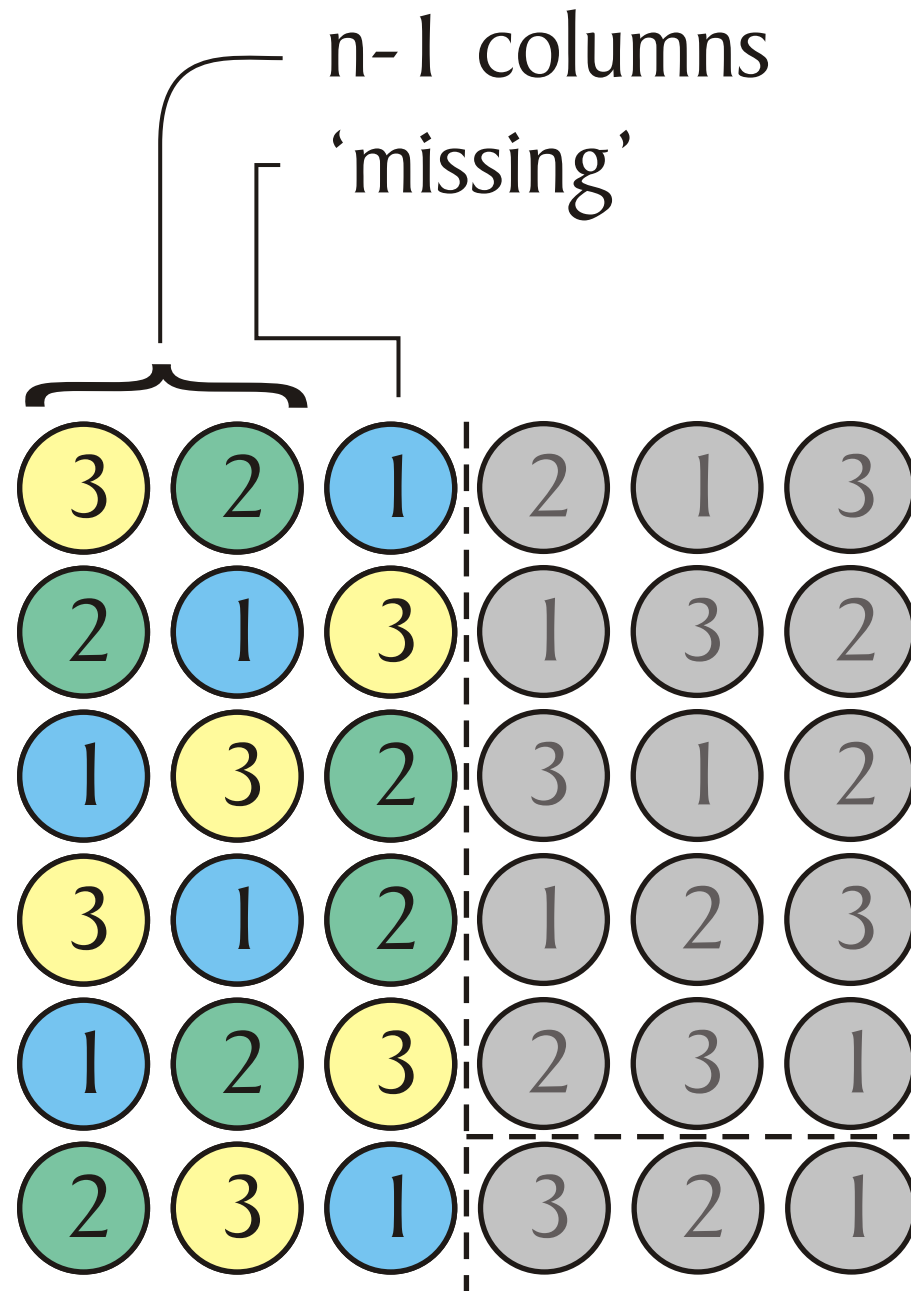


# Circulant Property



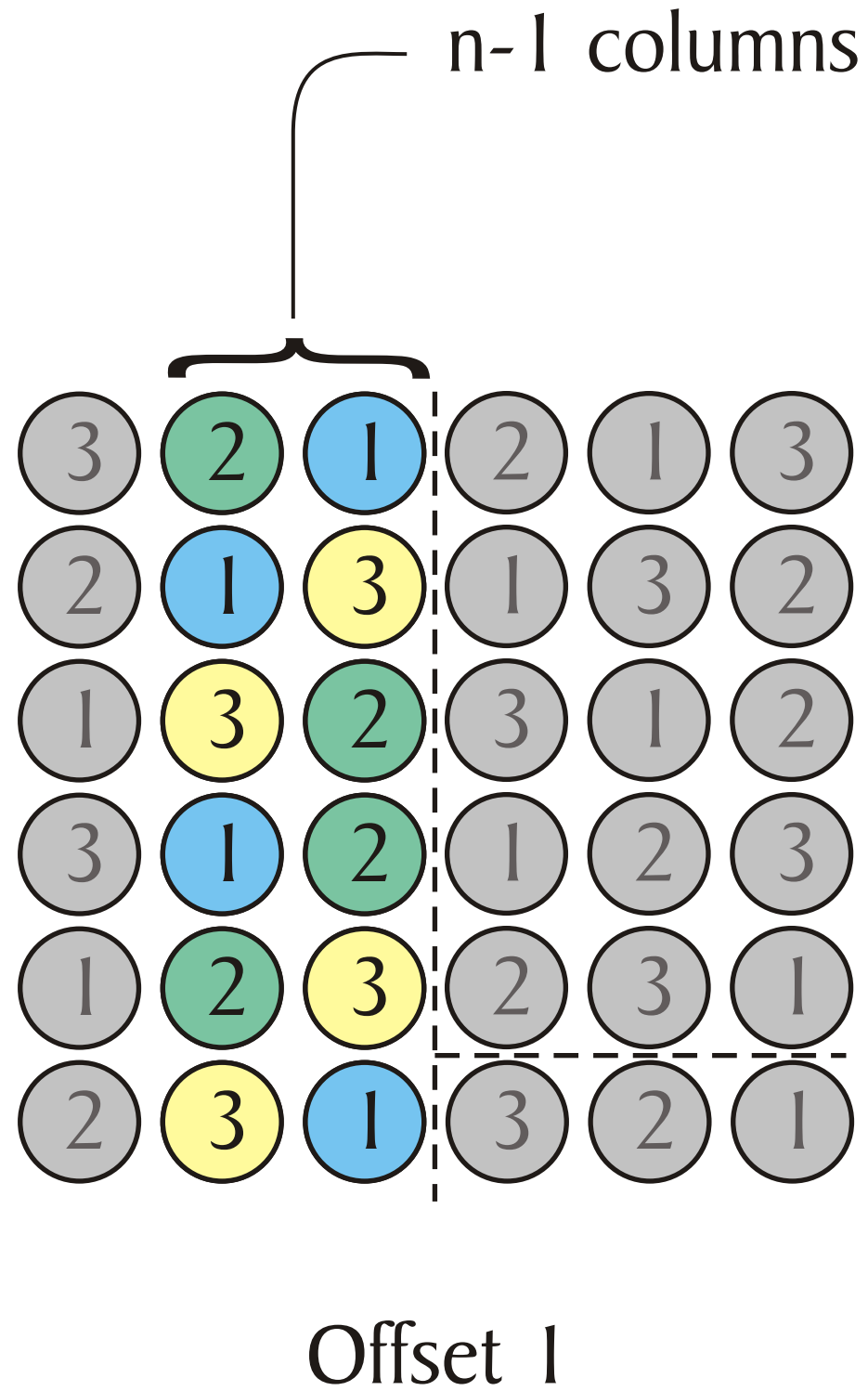


# Circulant Property

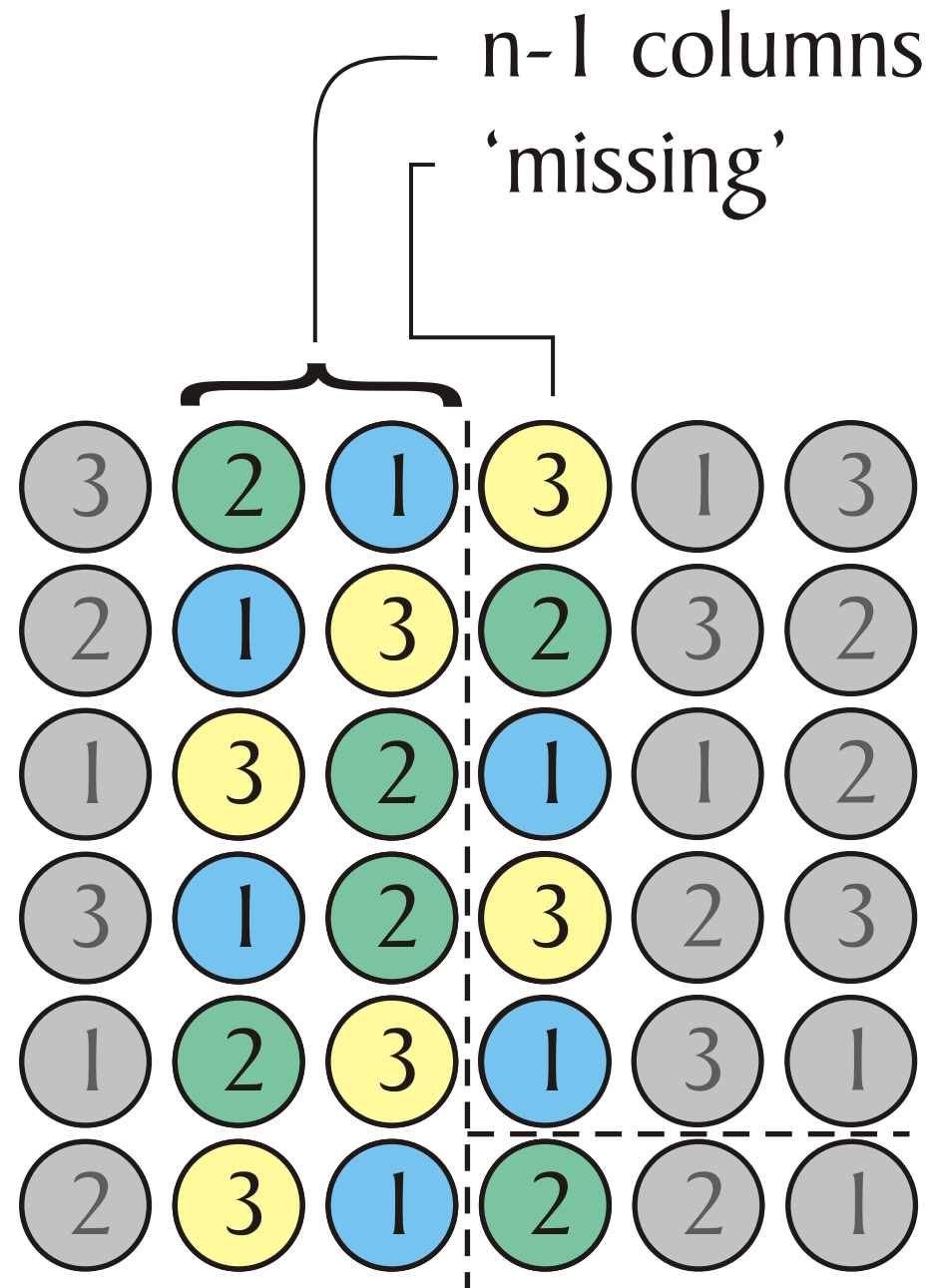


All Permutations

# Circulant Property

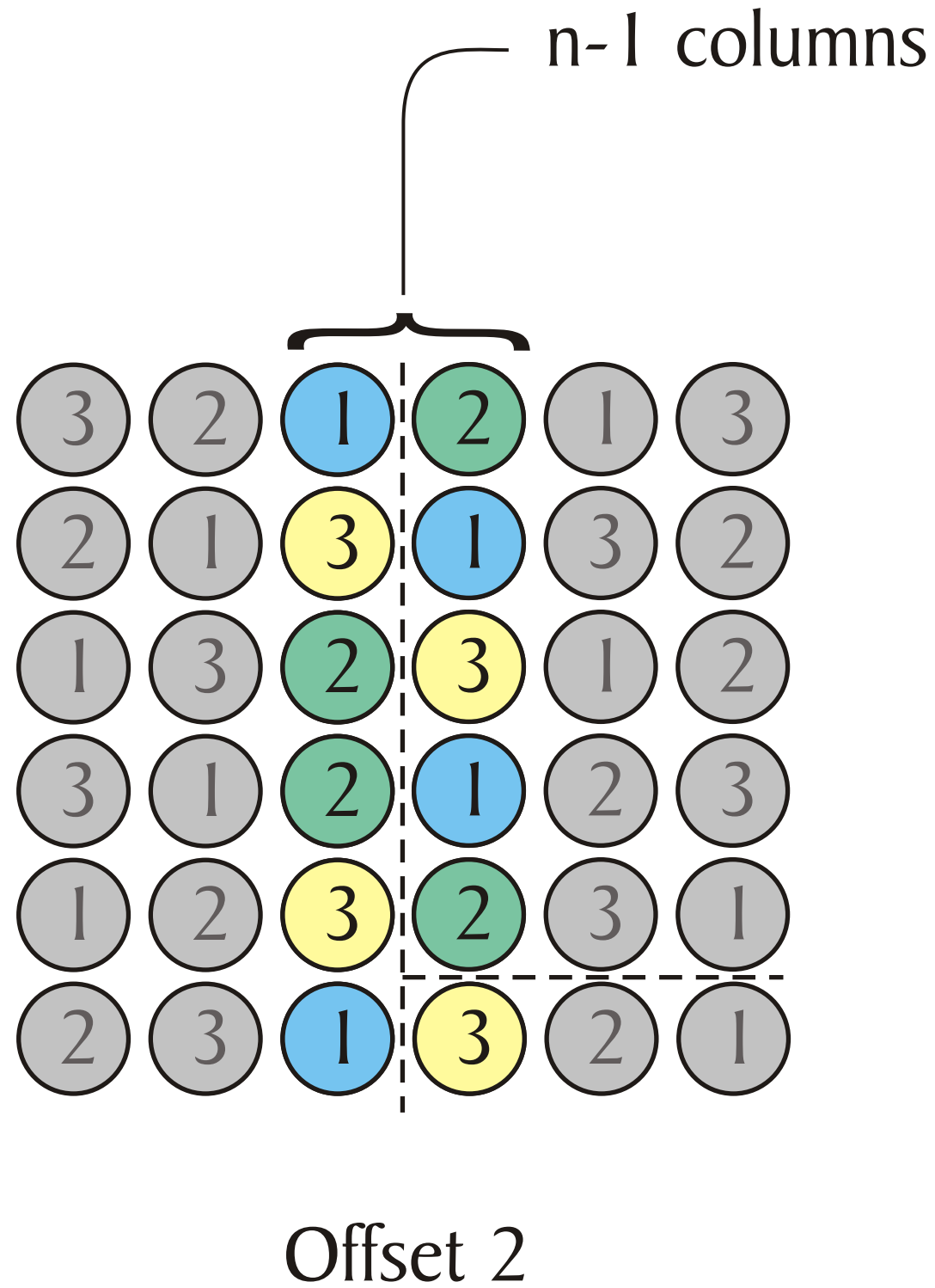


# Circulant Property

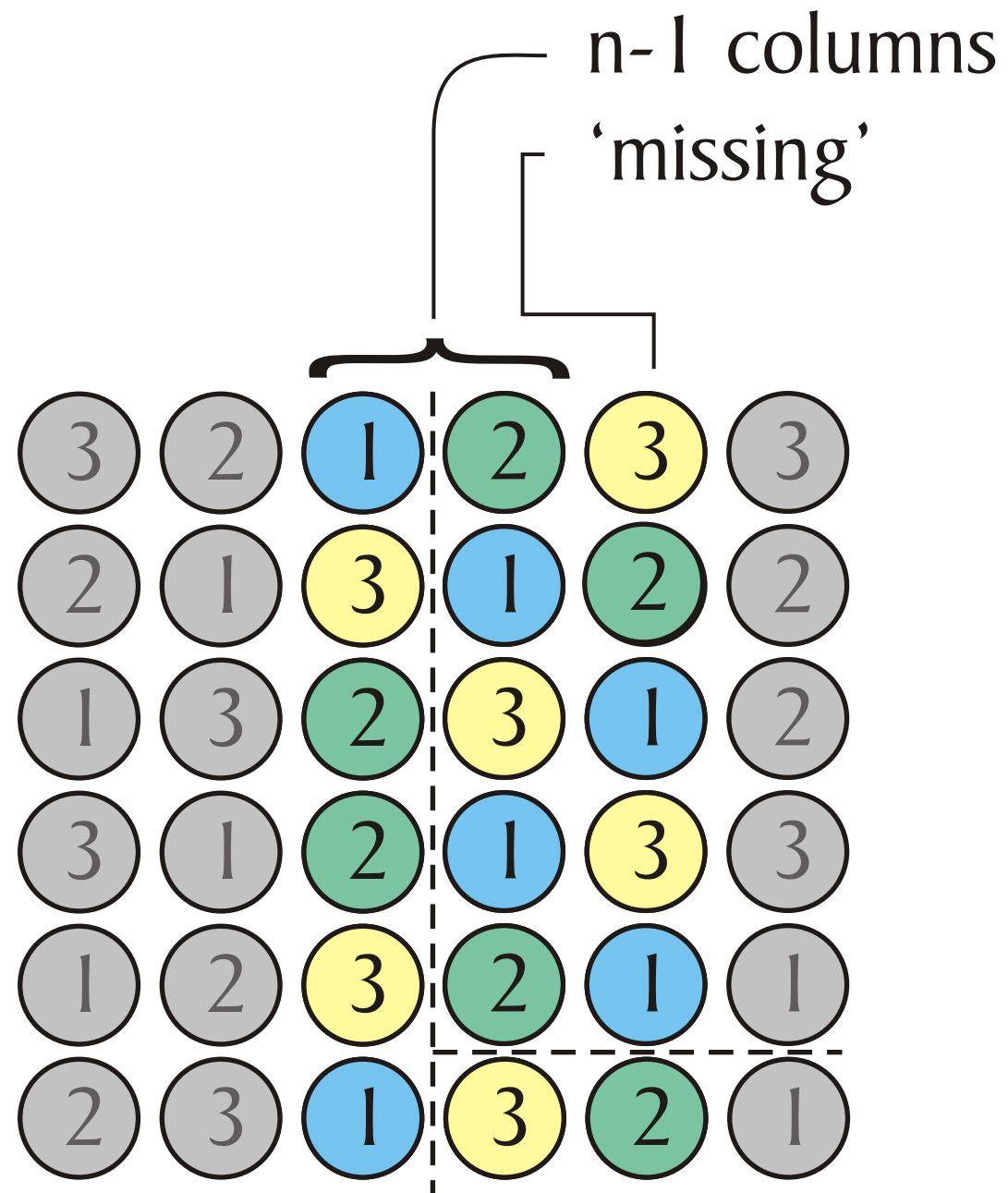


All Permutations

# Circulant Property

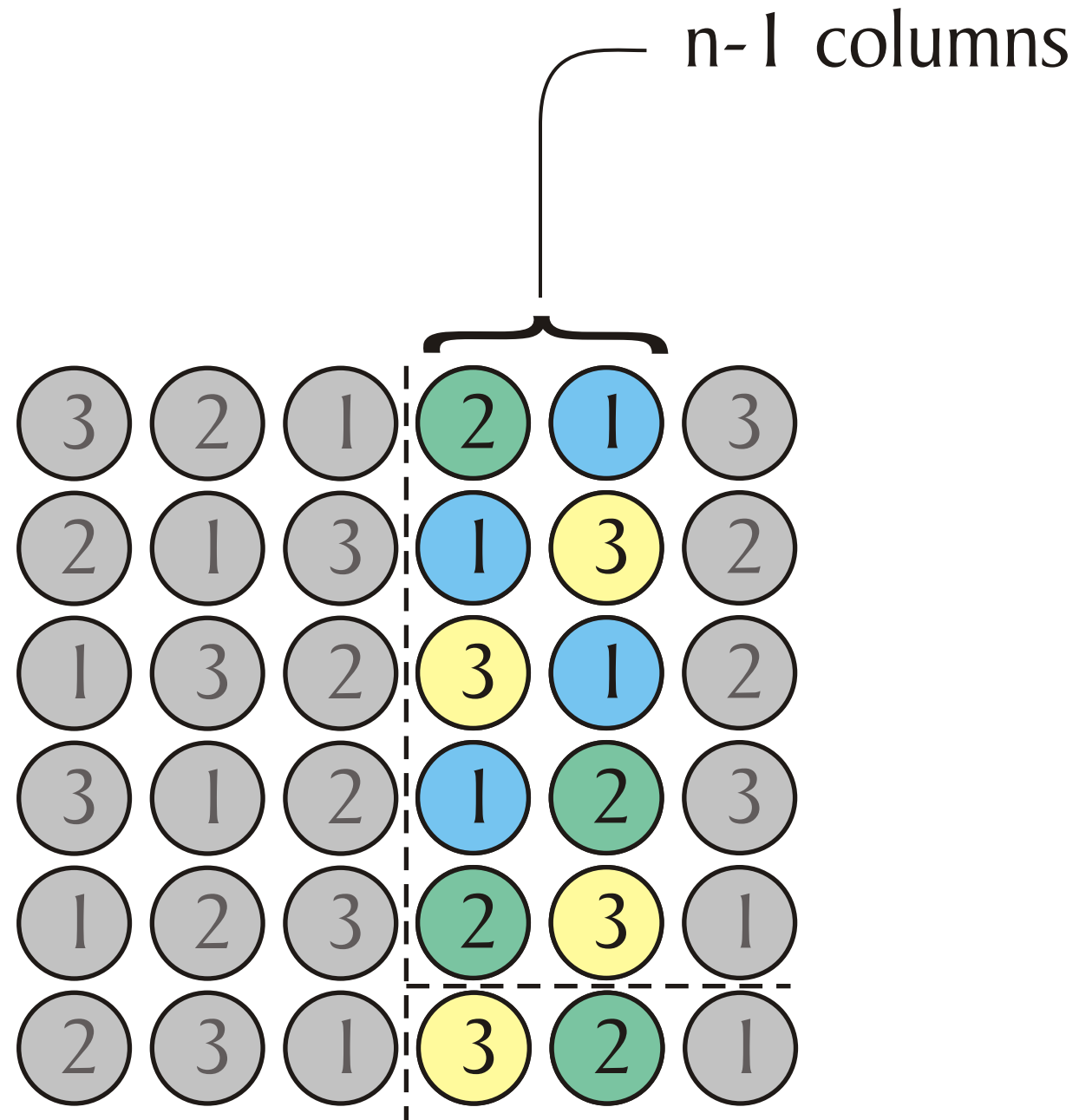


# Circulant Property

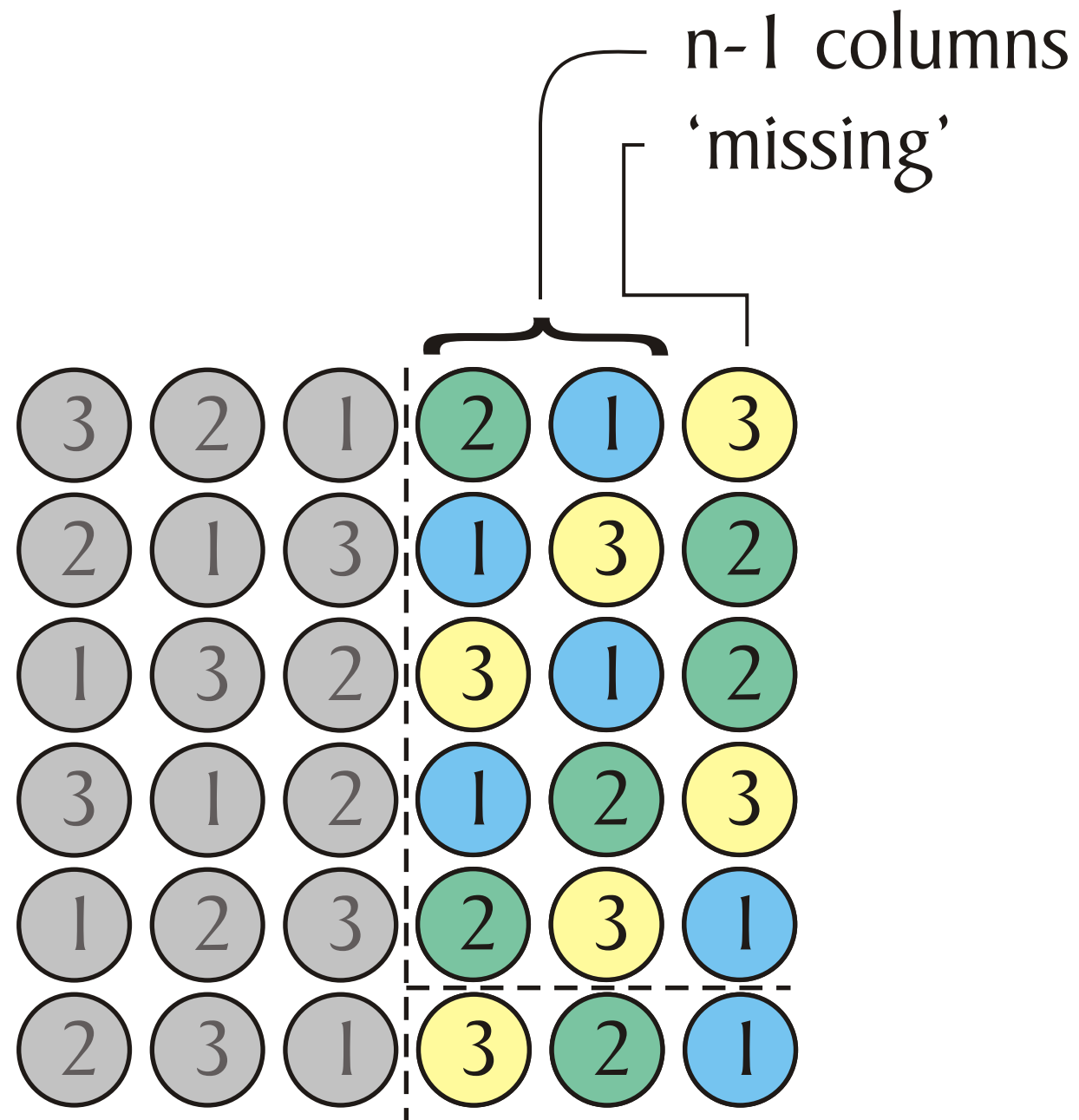


All Permutations

# Circulant Property

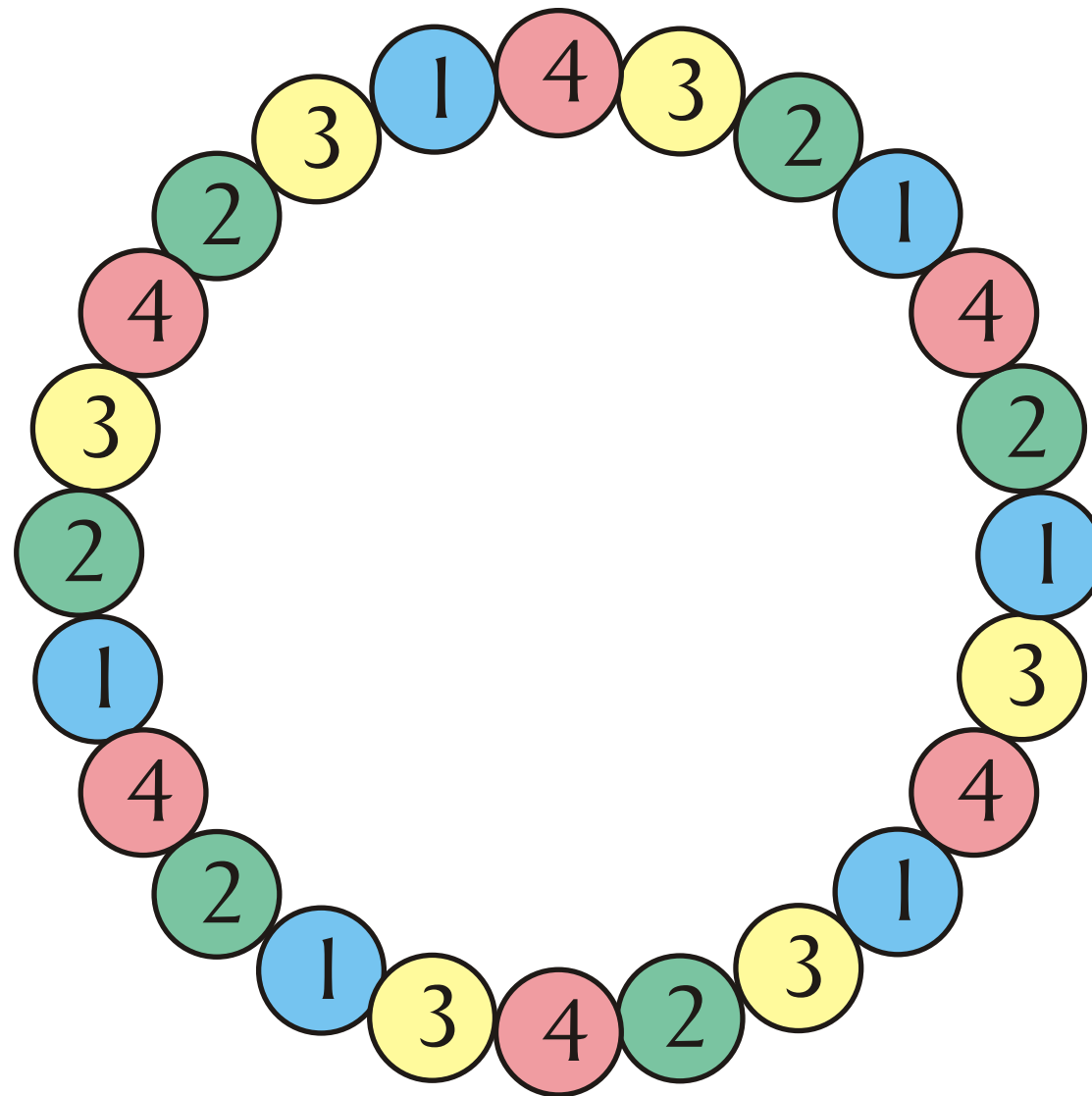


# Circulant Property



All Permutations

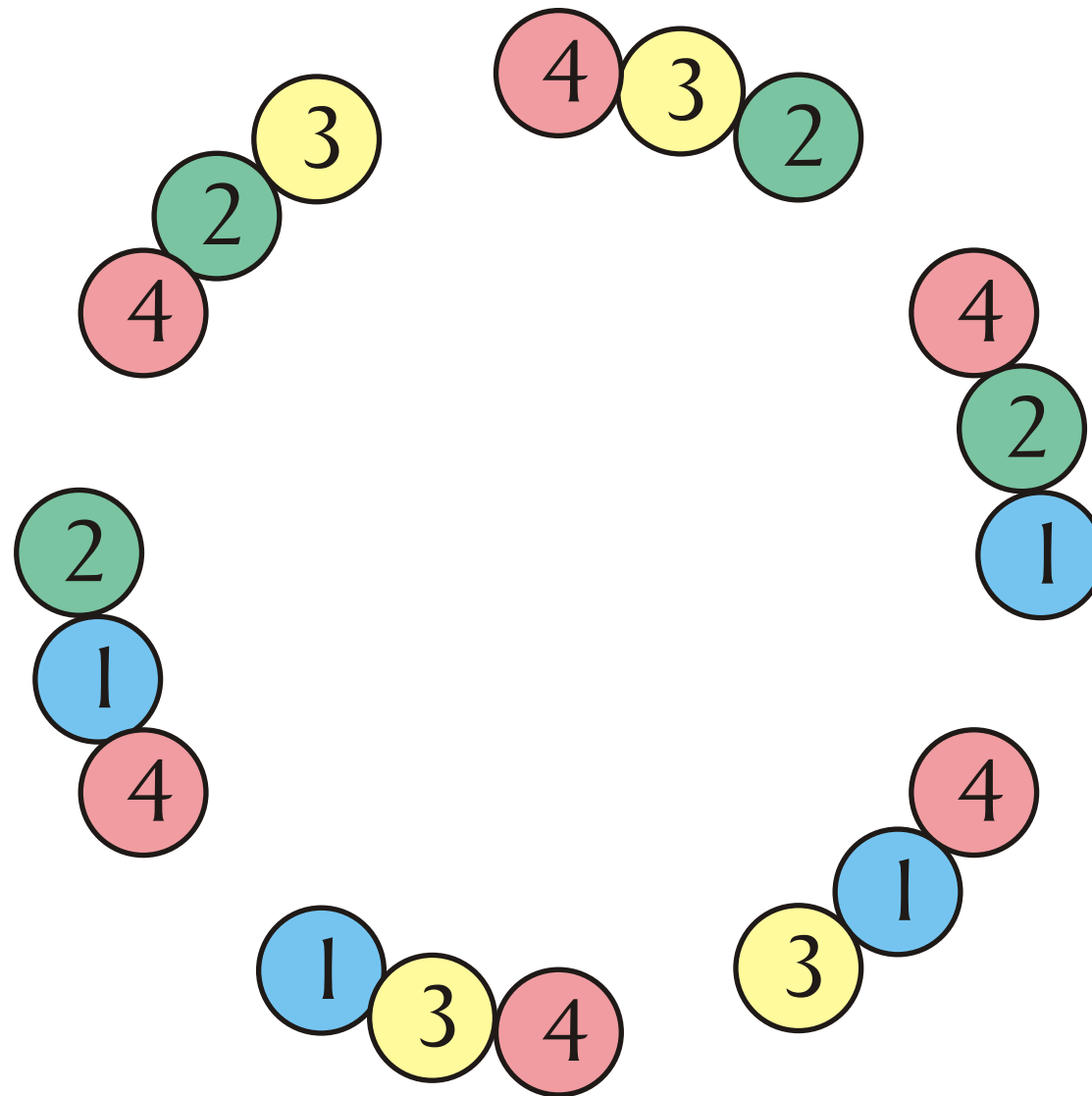
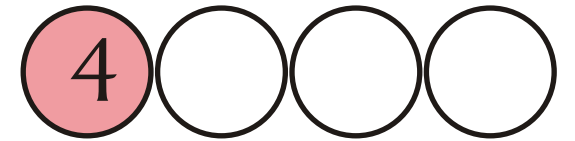
# Construction





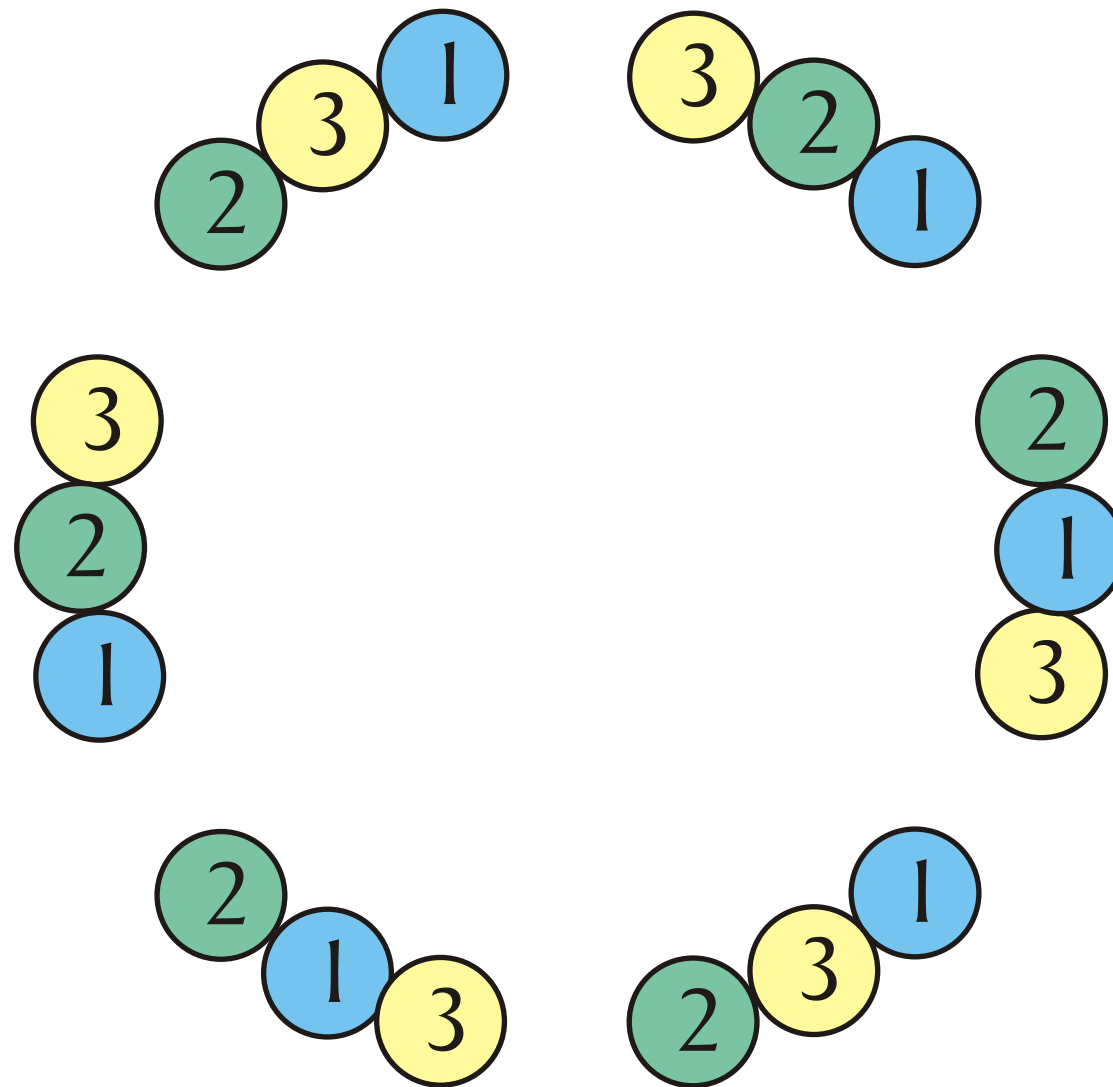
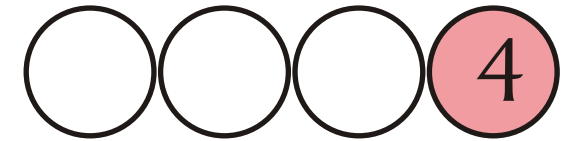
# Construction

Substrings starting at  $0 \pmod 4$  contain permutations



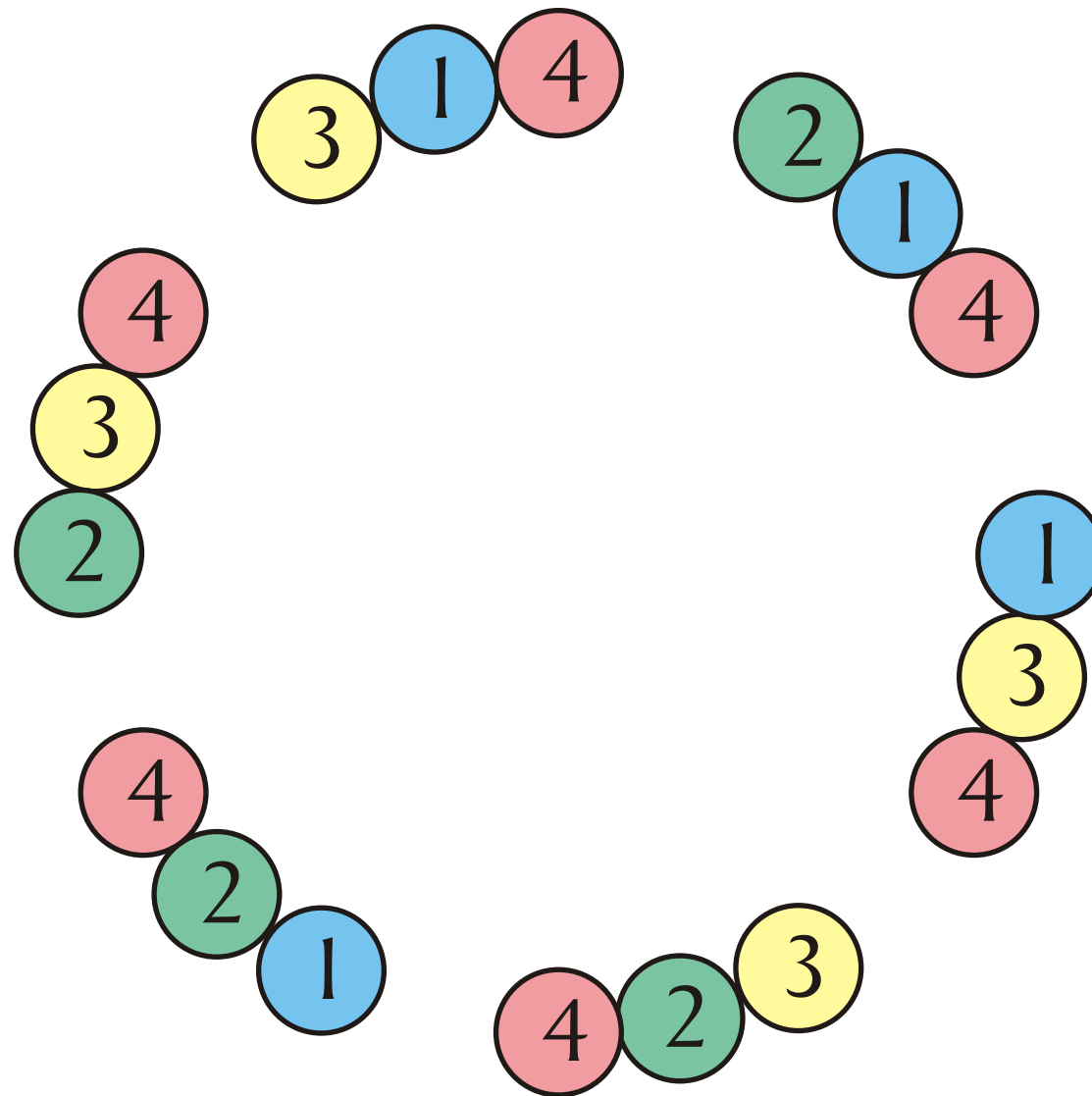
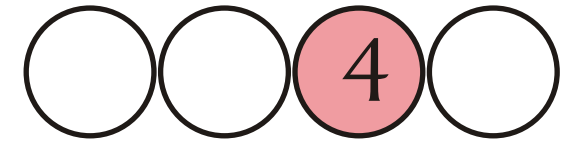
# Construction

Substrings starting at  $1 \pmod 4$  contain permutations



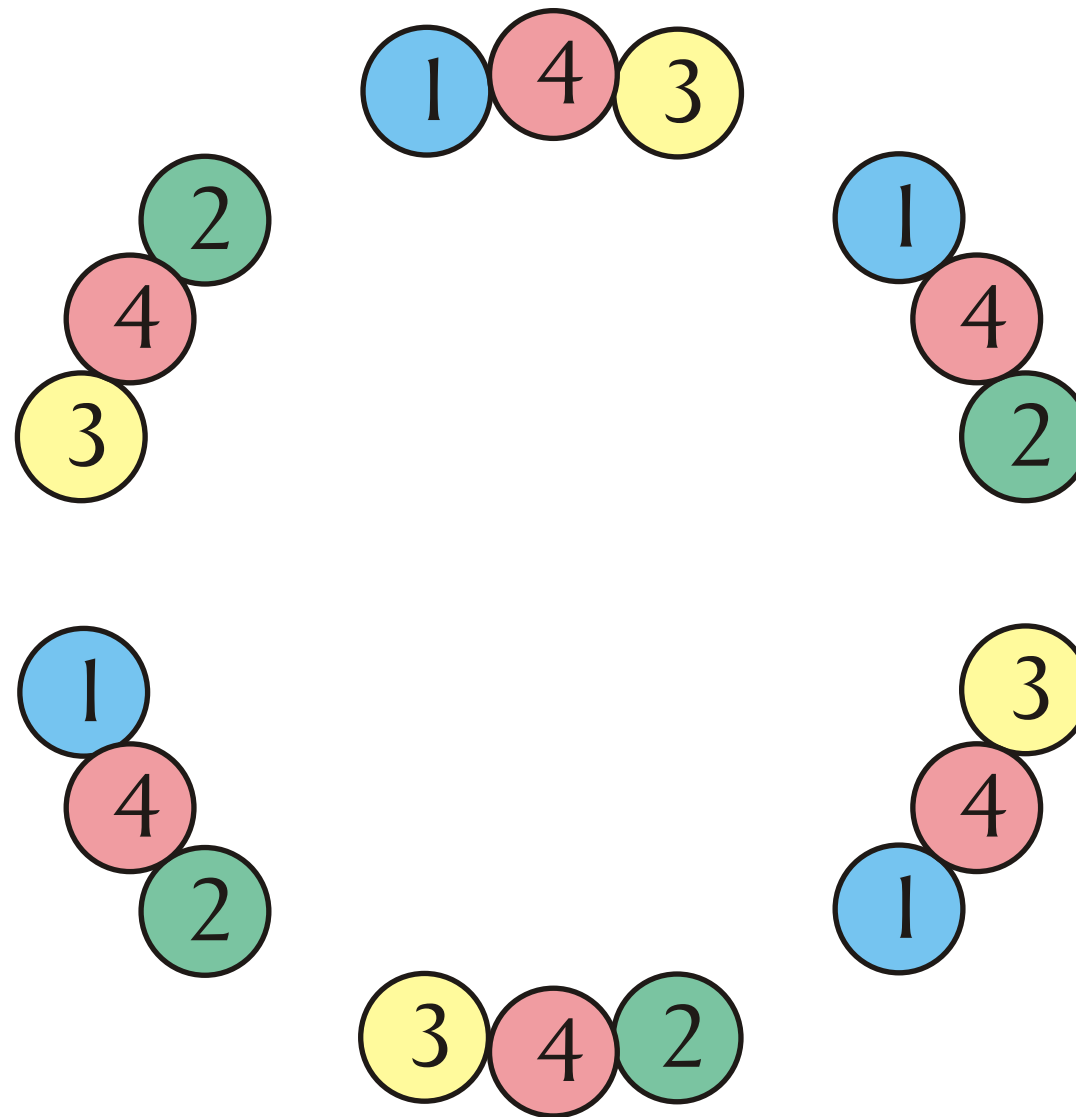
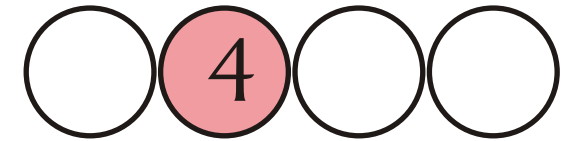
# Construction

Substrings starting at  $2 \pmod 4$  contain permutations



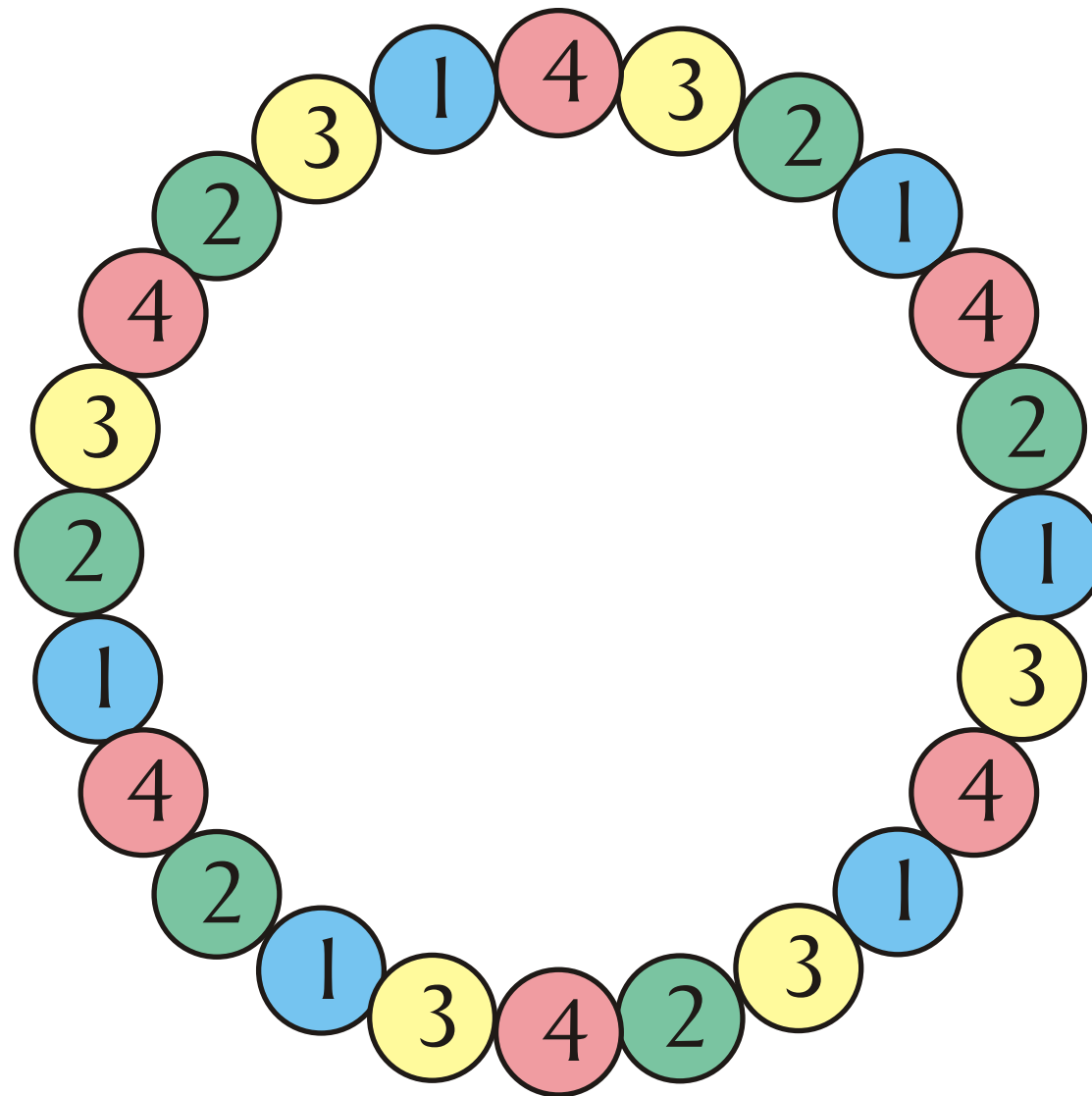
# Construction

Substrings starting at 3 mod 4 contain permutations



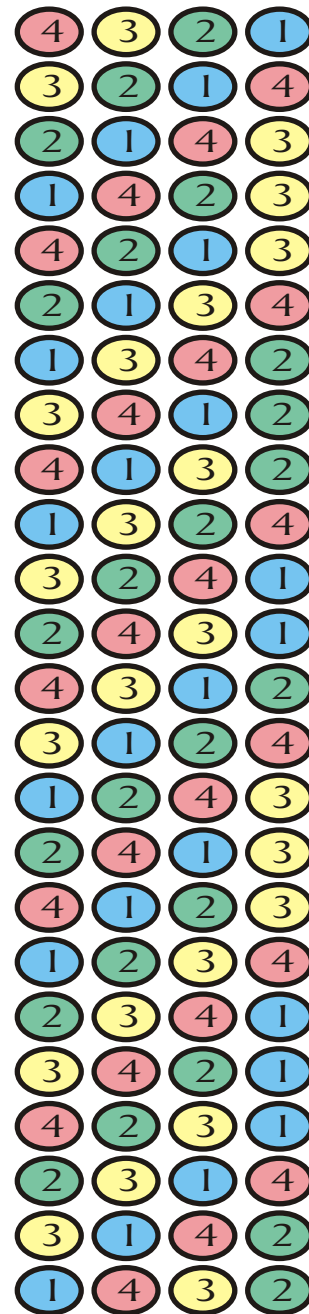
# Construction

Collectively all permutations



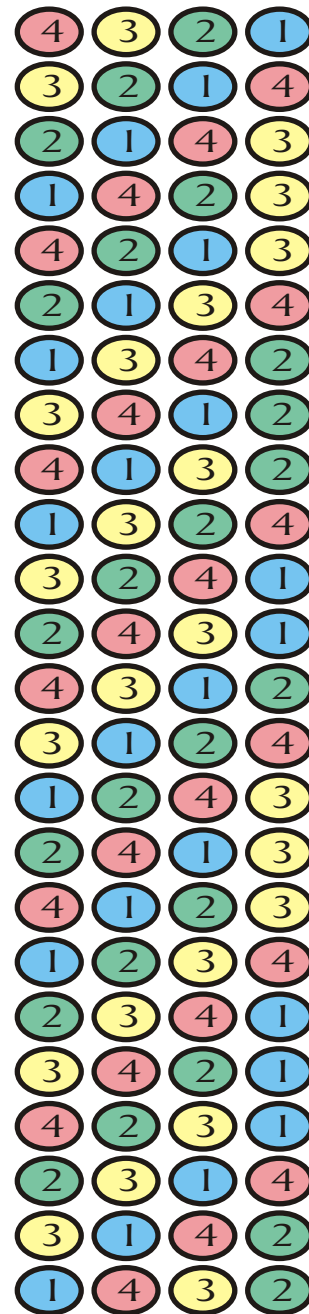
# Algorithm for Gray Code

Use construction to iteratively generate permutations in loopless time with no extra memory



# Algorithm for Gray Code

Use construction to iteratively generate permutations in loopless time with no extra memory



Modify loopless algorithm to count to  $n!$  Knuth

# Counting Factorials

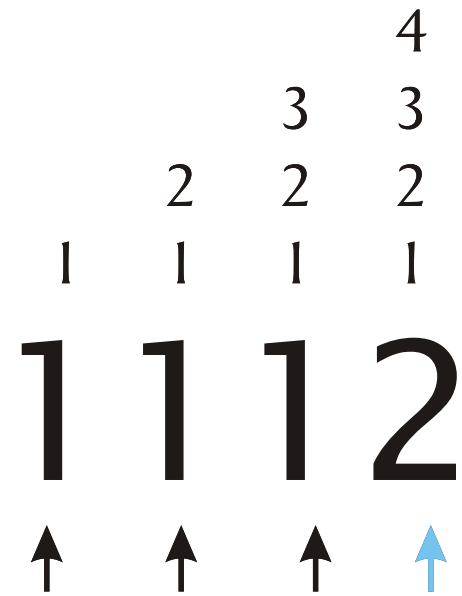
**1 1 1 1**



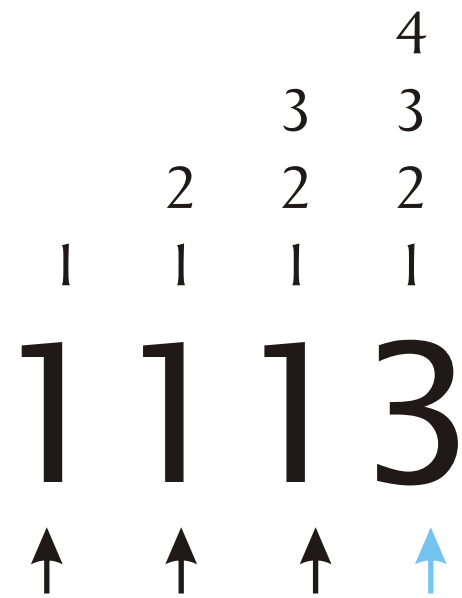
# Counting Factorials

			4
		3	3
	2	2	2
1	1	1	1
<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>

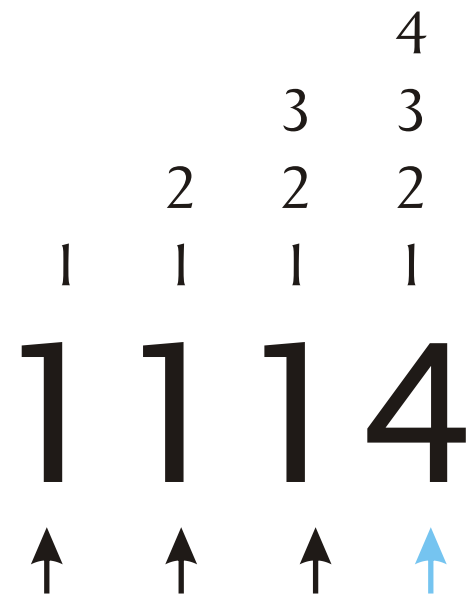
# Counting Factorials



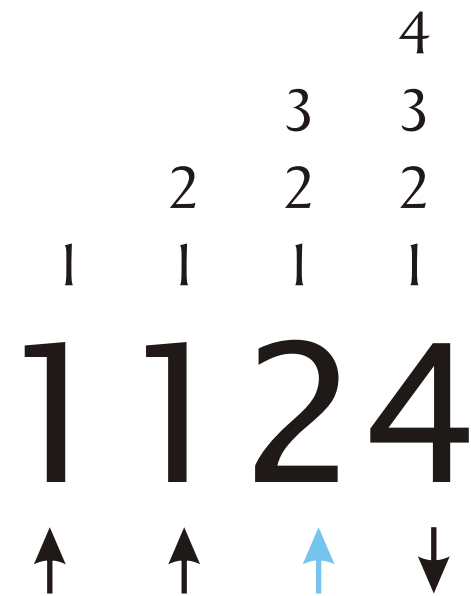
# Counting Factorials



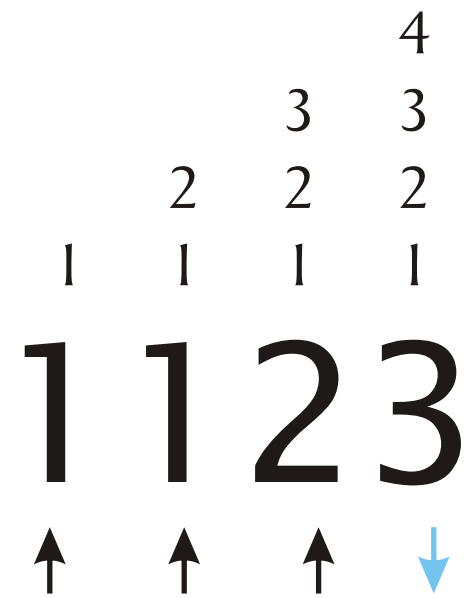
# Counting Factorials



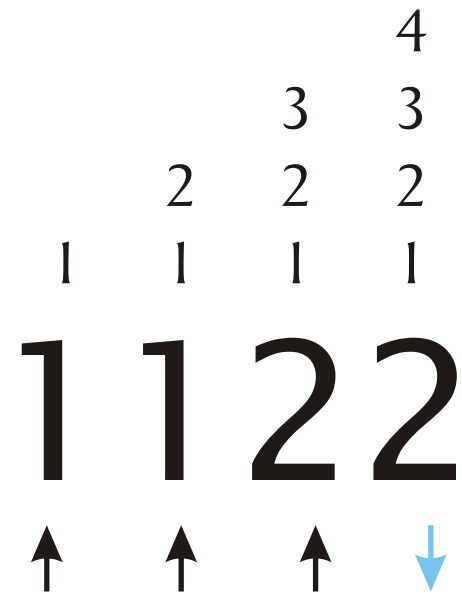
# Counting Factorials



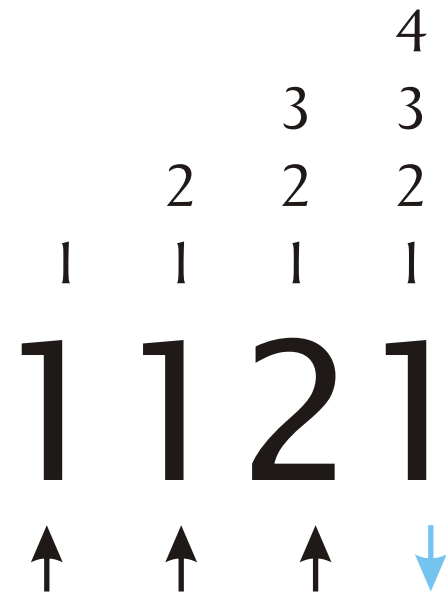
# Counting Factorials



# Counting Factorials

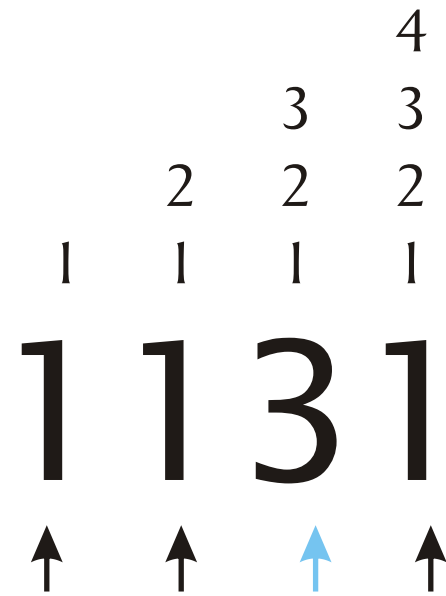


# Counting Factorials





# Counting Factorials



# Counting Factorials

$$\begin{array}{cccc} & & & 4 \\ & & & 3 \\ & & 2 & 2 \\ & 1 & 1 & 1 \\ c = & \mathbf{1} & \mathbf{2} & \mathbf{1} & \mathbf{1} \\ d = & \uparrow & \downarrow & \uparrow & \downarrow \\ f = & 0 & 1 & 0 & 3 \end{array}$$

Array  $c$  where  $1 \leq c(i) \leq i$

Array  $d$  for directions

Array  $f$  focus pointers for which  $c(j)$  to change next

# Counting Factorials

loop

```
  j := f(n)
  f(n) := n-1
  if j = 0
    return
  end
```

```
  c(j) := c(j) + d(j)
  if c(j) = 1 OR c(j) = j+1
    d(j) := -d(j)
    f(j+1) := f(j)
    f(j) := j-1
  end
```

end

# Counting Factorials

```
loop
```

```
  j := f(n)
```

```
  f(n) := n-1
```

```
  if j = 0
```

```
    return
```

```
  end
```

```
  if mod(n-j,2) = 1 XOR (c(j)-d(j) <= 1 OR c(j)-d(j) >= j+1)
```

```
    rotate(perm, n-1)
```

```
  end
```

```
    rotate(perm, n)
```

```
  end
```

```
  c(j) := c(j) + d(j)
```

```
  if c(j) = 1 OR c(j) = j+1
```

```
    d(j) := -d(j)
```

```
    f(j+1) := f(j)
```

```
    f(j) := j-1
```

```
  end
```

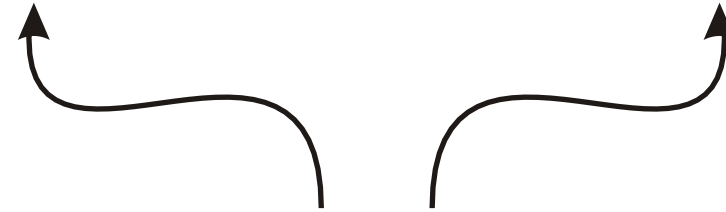
```
end
```

# Counting Factorials

```
loop
```

```
  j := f(n)  
  f(n) := n-1  
  if j = 0  
    return  
  end
```

```
  if mod(n-j,2) = 1 XOR (c(j)-d(j) <= 1 OR c(j)-d(j) >= j+1)  
    rotate(perm, n-1)  
  end  
  rotate(perm, n)  
end
```



is the active element small and going down  
or large and going up?

```
  c(j) := c(j) + d(j)  
  if c(j) = 1 OR c(j) = j+1  
    d(j) := -d(j)  
    f(j+1) := f(j)  
    f(j) := j-1  
  end
```

```
end
```

# Counting Factorials

loop

```
j := f(n)  
f(n) := n-1  
if j = 0  
  return  
end
```

is the active position odd?

```
if mod(n-j,2) = 1 XOR (c(j)-d(j) <= 1 OR c(j)-d(j) >= j+1)  
  rotate(perm, n-1)  
end  
  rotate(perm, n)  
end
```

is the active element small and going down  
or large and going up?

```
c(j) := c(j) + d(j)  
if c(j) = 1 OR c(j) = j+1  
  d(j) := -d(j)  
  f(j+1) := f(j)  
  f(j) := j-1  
end
```

end

# Counting Factorials

loop

```
j := f(n)  
f(n) := n-1  
if j = 0  
  return  
end
```

is the active position odd?

```
if mod(n-j,2) = 1 XOR (c(j)-d(j) <= 1 OR c(j)-d(j) >= j+1)  
  rotate(perm, n-1)  
end  
  rotate(perm, n)  
end
```

is the active element small and going down  
or large and going up?

```
c(j) := c(j) + d(j)  
if c(j) = 1 OR c(j) = j+1  
  d(j) := -d(j)  
  f(j+1) := f(j)  
  f(j) := j-1  
end
```

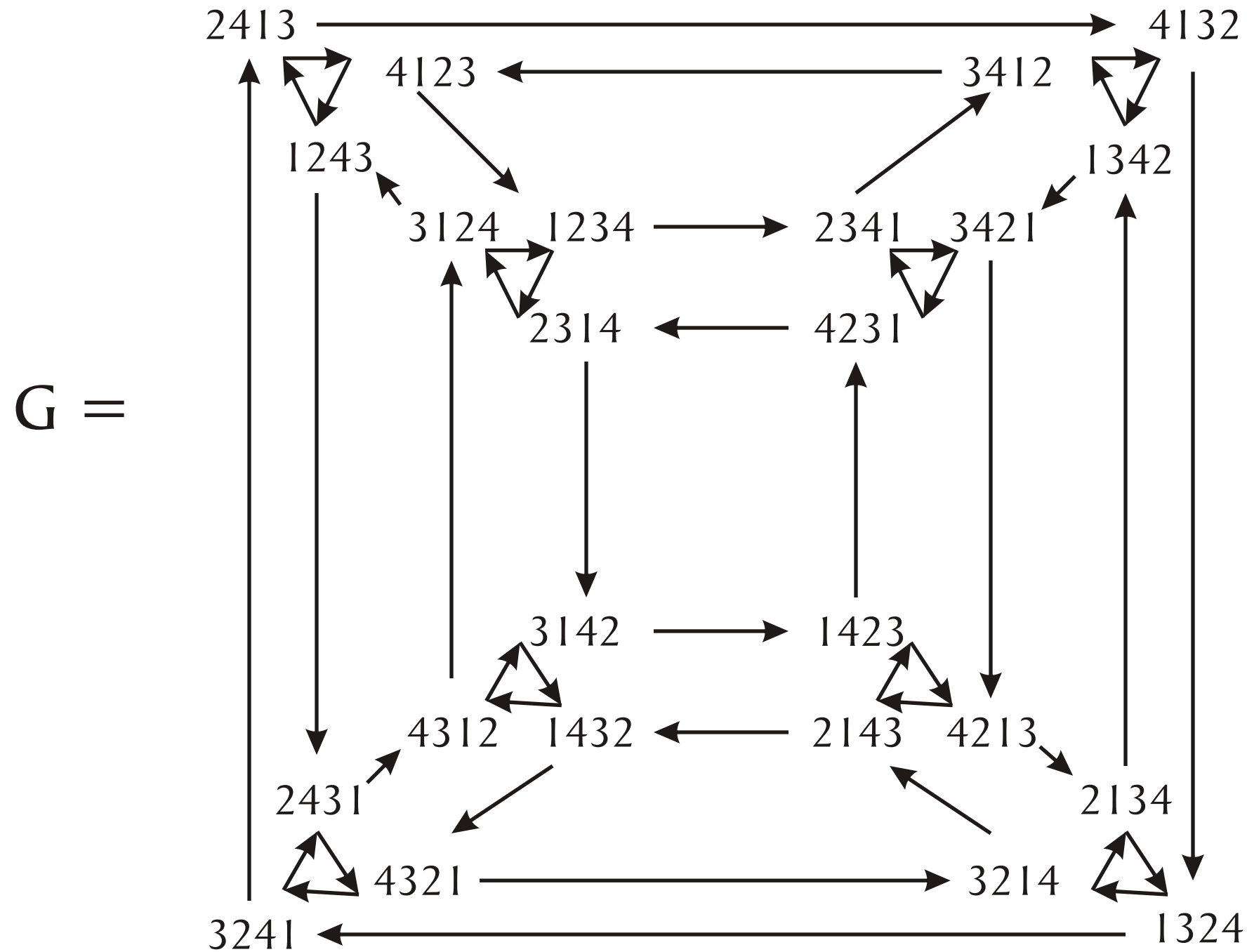
end

Condition can be simplified to `if m(j)=1` by maintaining binary array `m`

# Additional Shorthand Universal Cycles

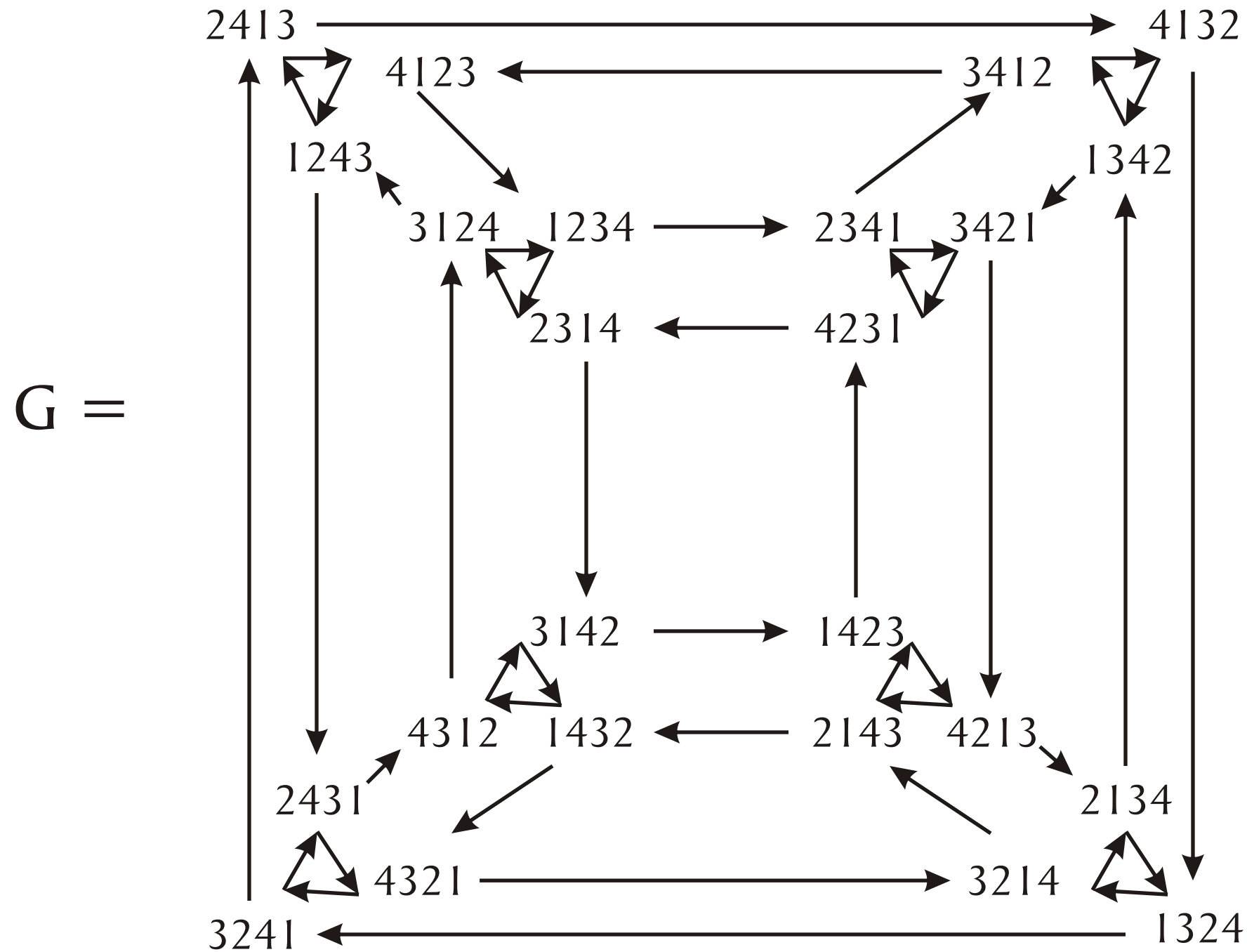


# Additional Shorthand Universal Cycles



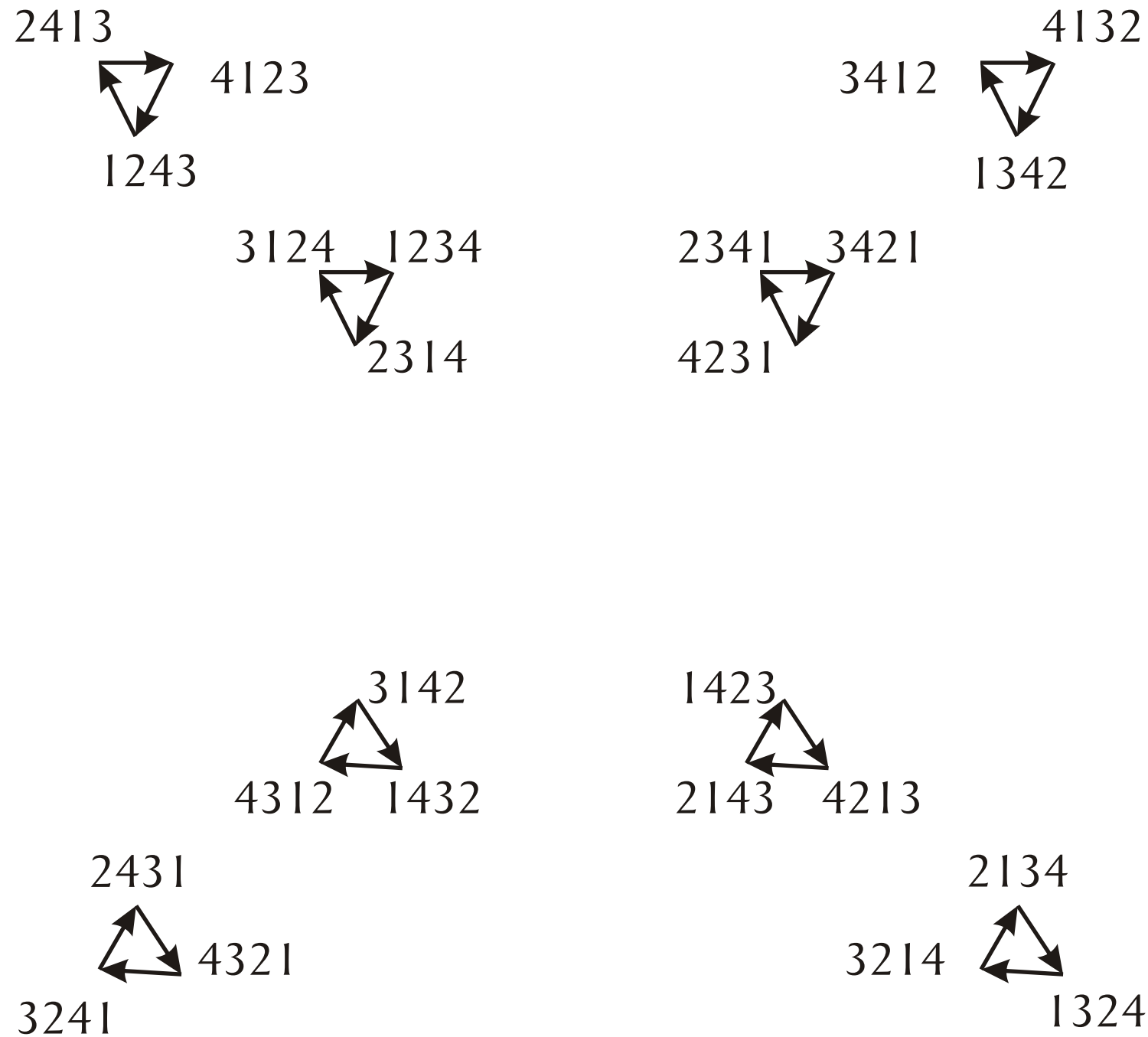
Cayley Graph with rotation generators  $n-1$  and  $n$

# Additional Shorthand Universal Cycles



Hamilton cycles are equivalent to Shorthand Universal Cycles

# Additional Shorthand Universal Cycles



Shrink directed cycles of length  $n-1$

# Additional Shorthand Universal Cycles

124

134

123

234

143

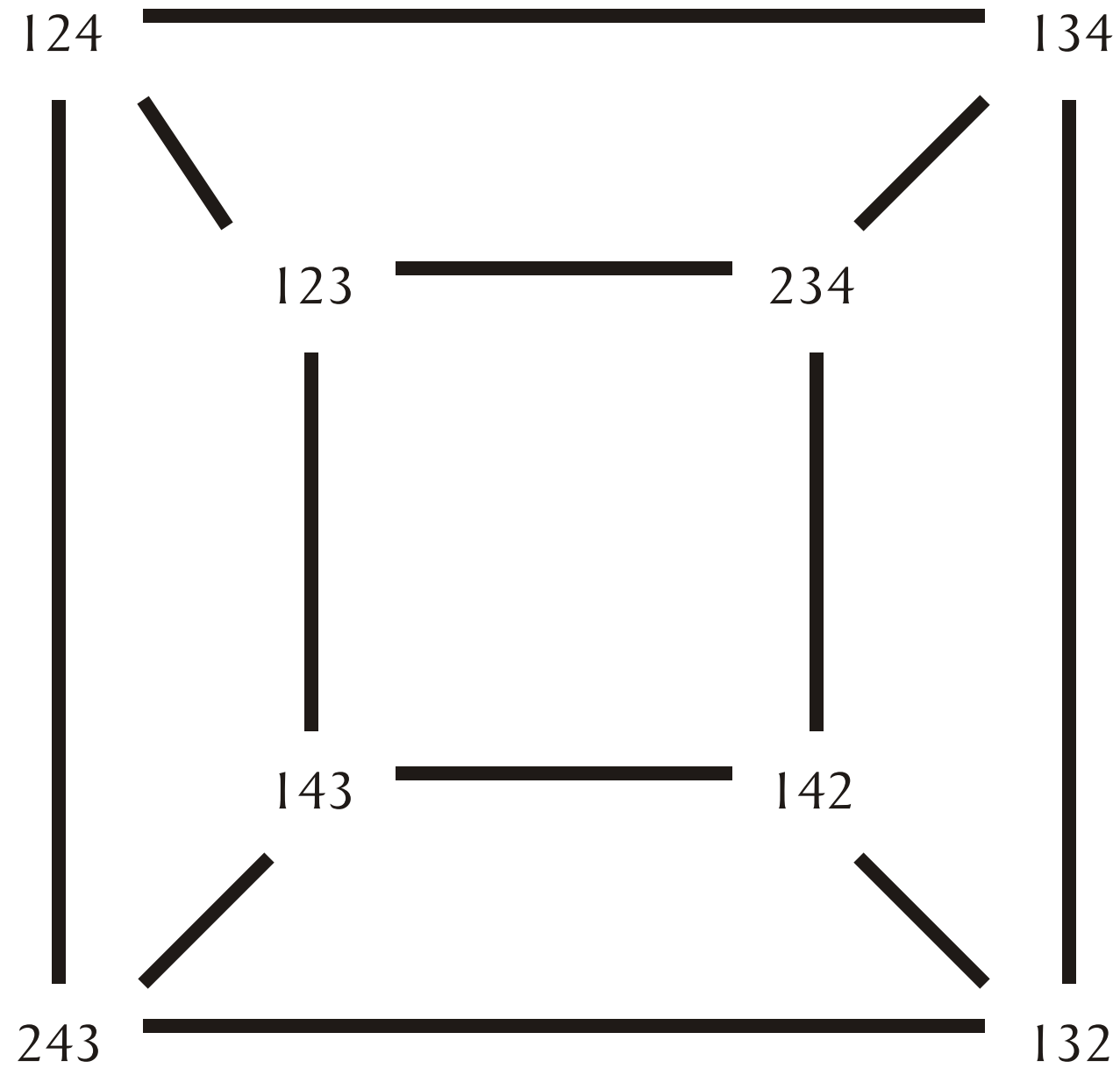
142

243

132

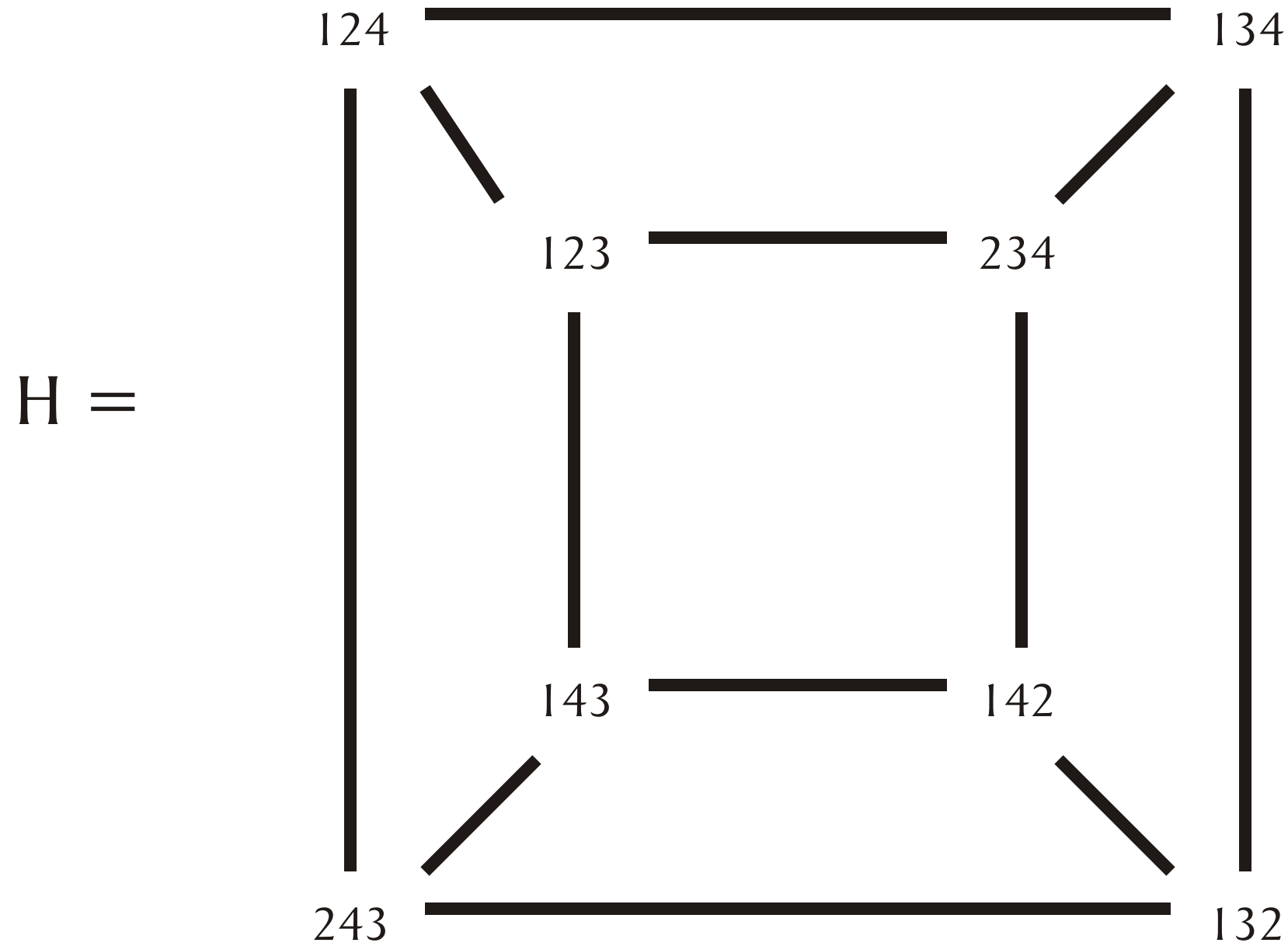
Vertices are necklace classes on  $n-1$  symbols

# Additional Shorthand Universal Cycles



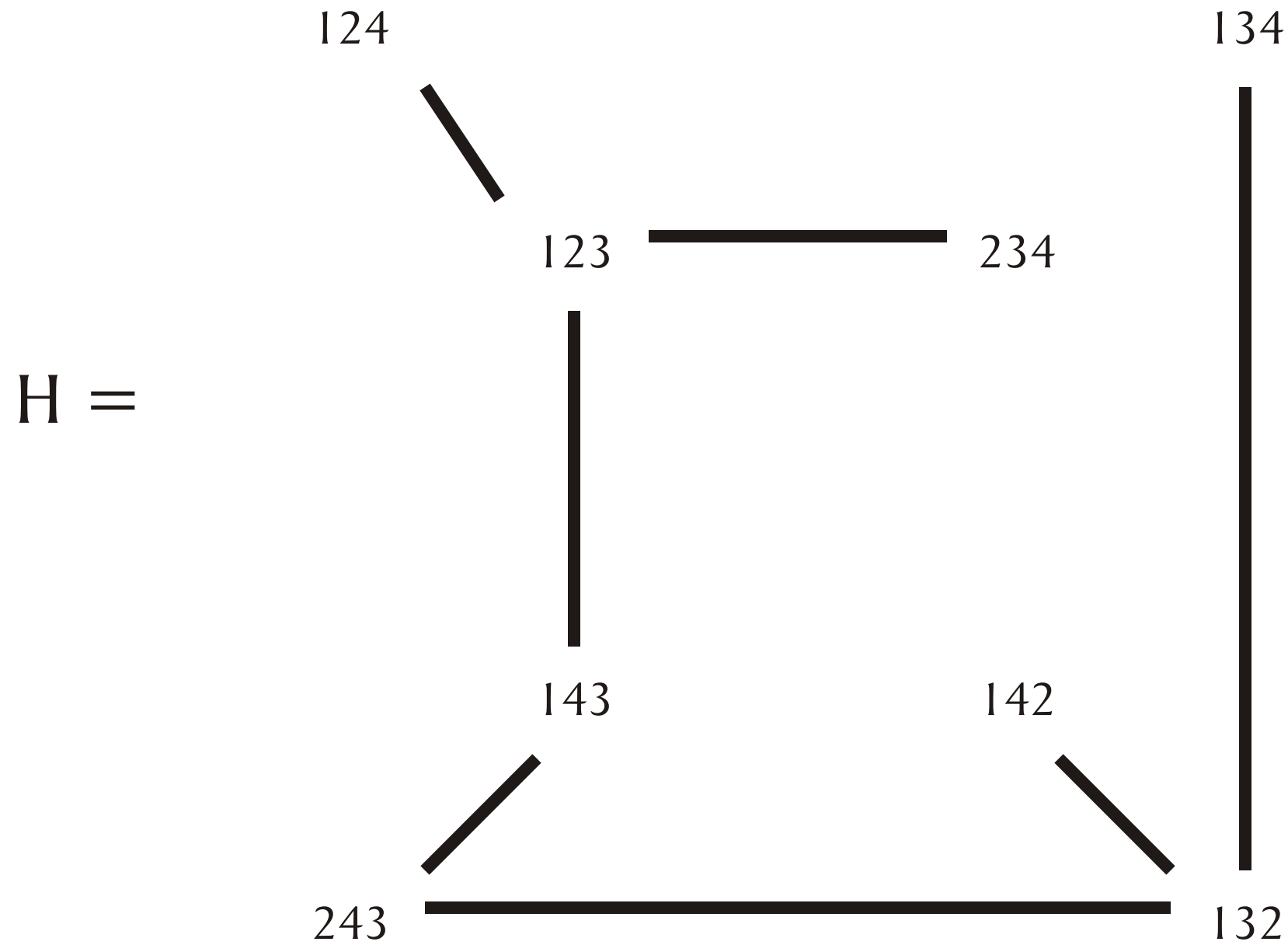
Connect necklaces

# Additional Shorthand Universal Cycles



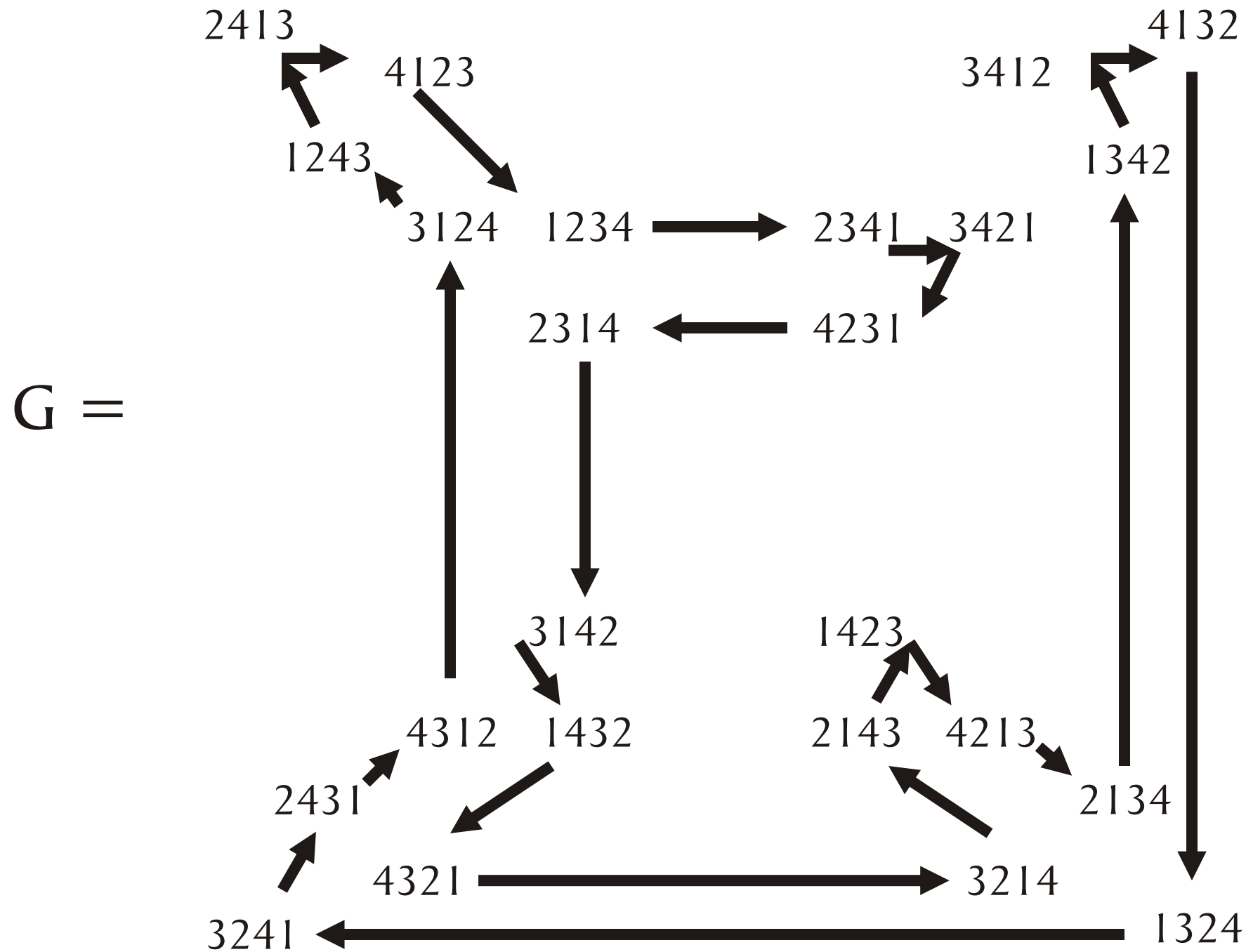
Spanning Trees in H become Hamilton cycles in G

# Additional Shorthand Universal Cycles



Spanning Trees in H become Hamilton cycles in G

# Additional Shorthand Universal Cycles



Spanning Trees in H become Hamilton cycles in G











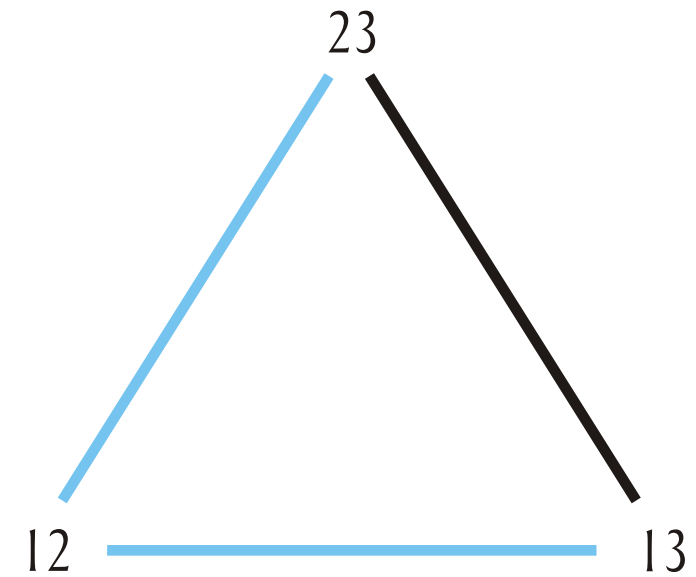
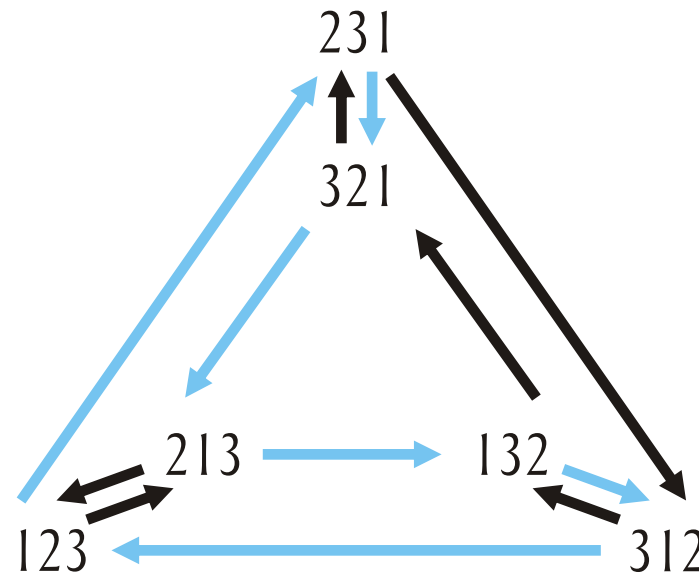
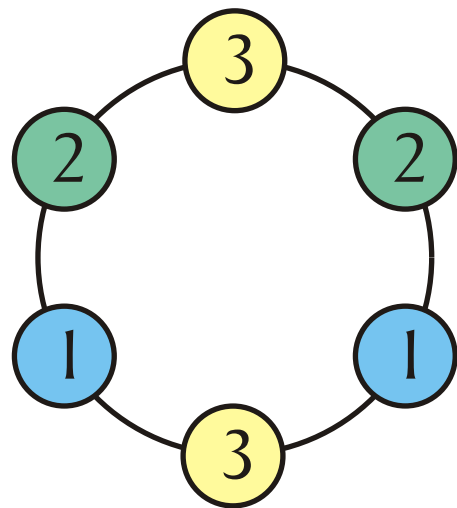
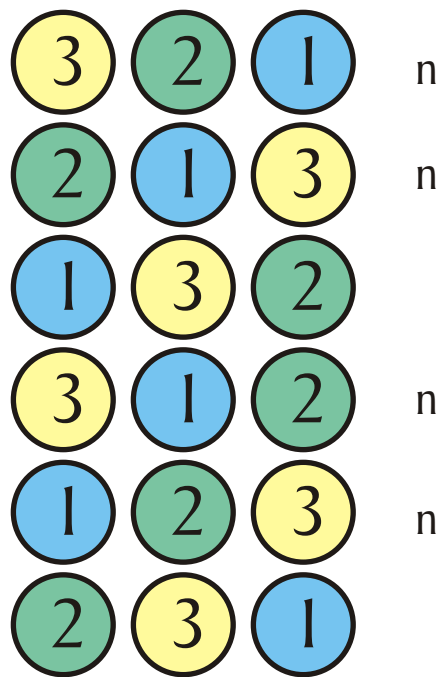
# Summary

The following are equivalent

Gray codes for Permutations by rotations of length  $n-1$  and  $n$

Shorthand Universal Cycles for Permutations

Hamilton Cycles in Cayley Graph with rotation generators  $n-1$  and  $n$   
 (Spanning Trees in  $n-1$  Necklace Graph)

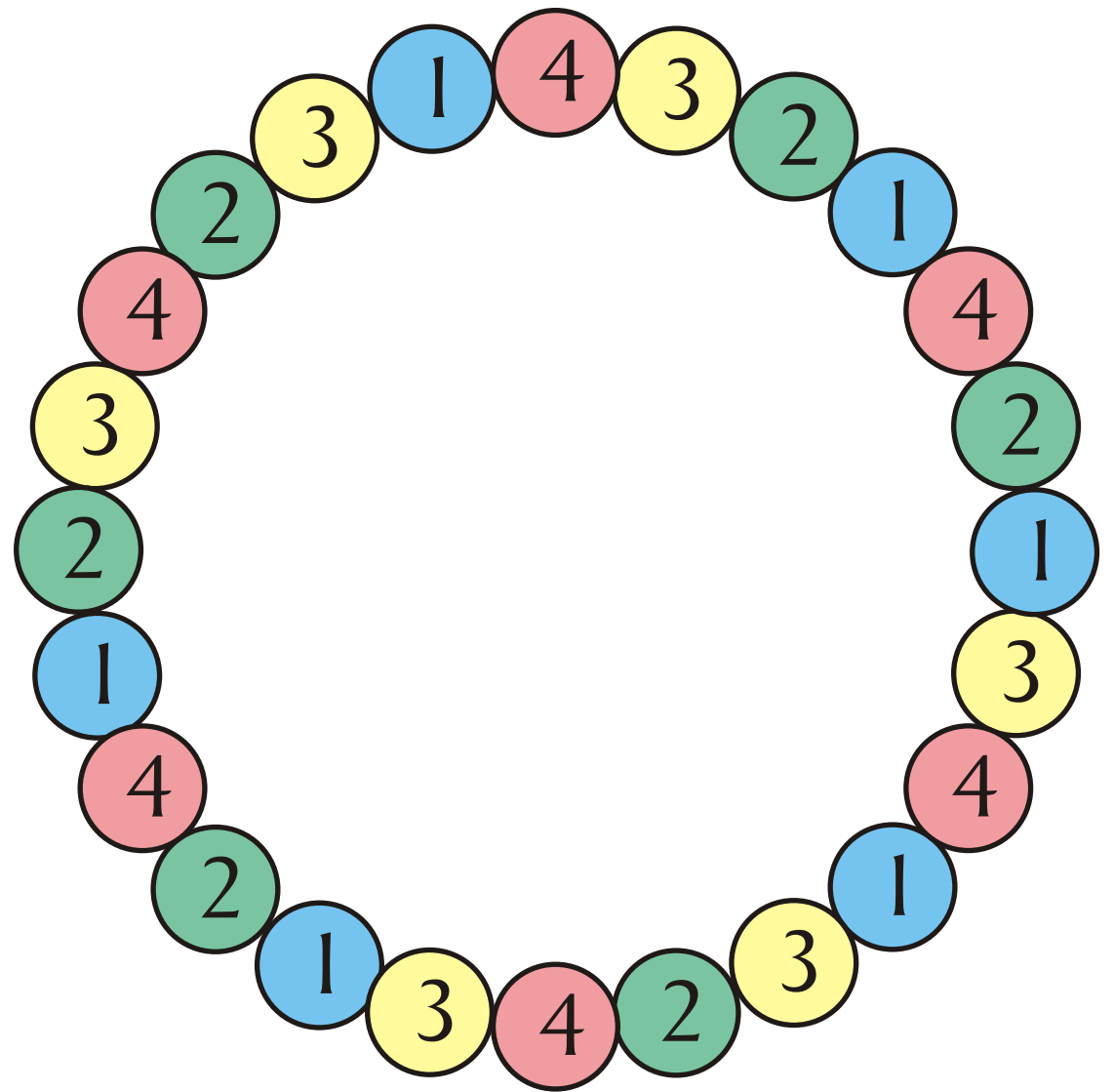
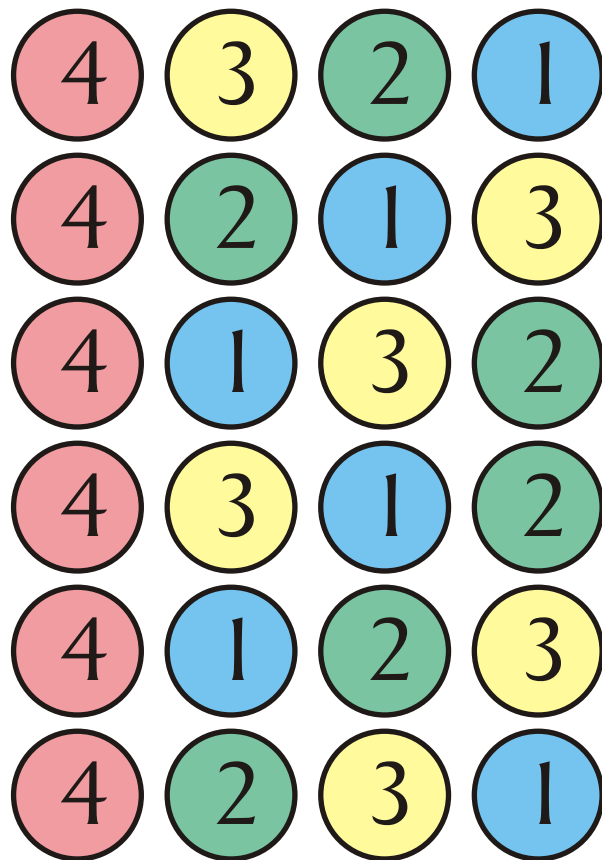


# Summary

Shorthand Universal Cycles can be constructed recursively

Predictable symbol method

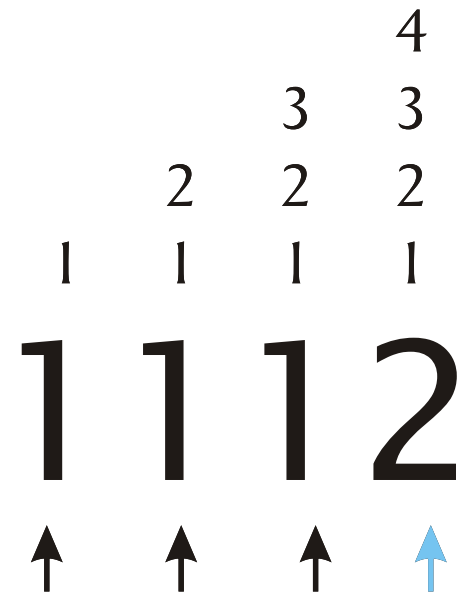
Circulant Property



# Summary

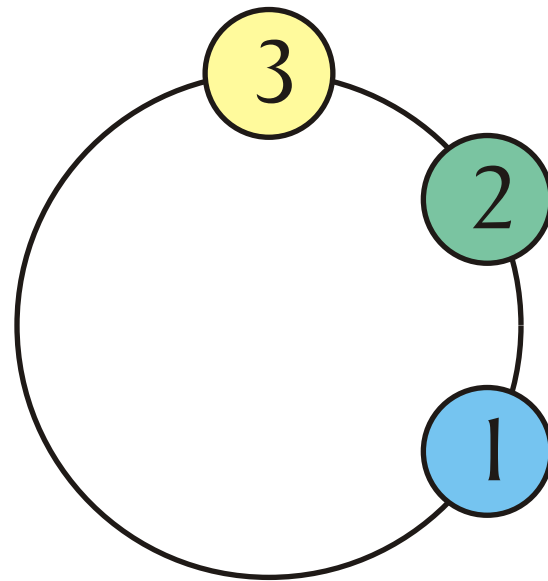
Gray codes can be generated in loopless time

Modify loopless mixed-radix algorithm



# Relation to Previous Research

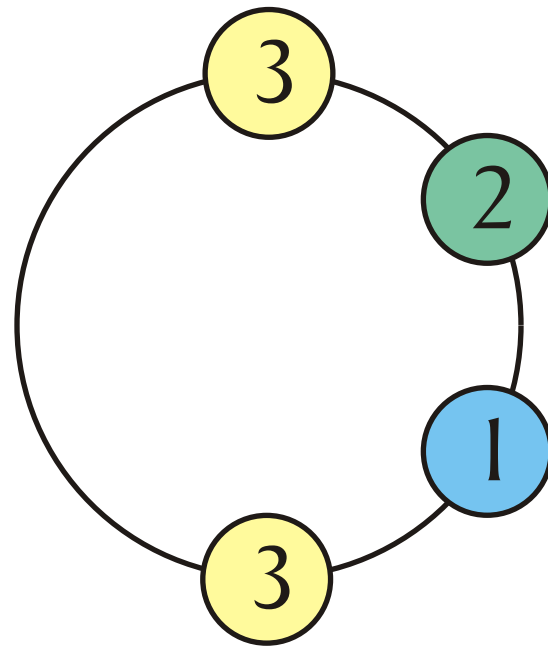
Universal Cycles for Permutations do not exist Chung Diaconis Graham





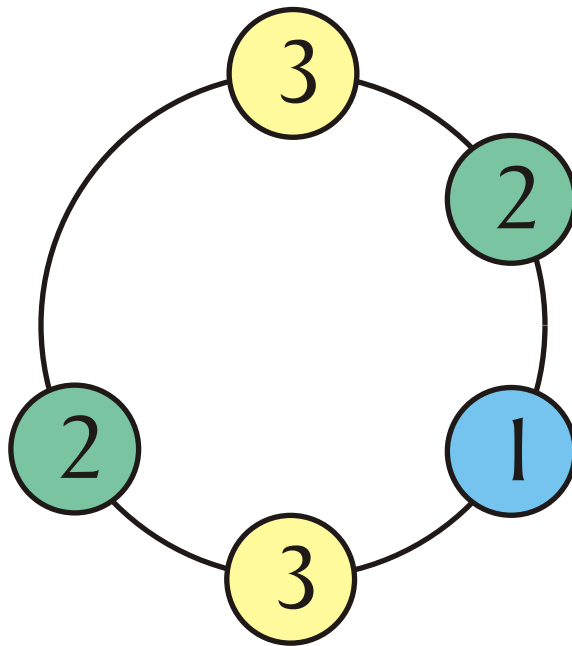
# Relation to Previous Research

Universal Cycles for Permutations do not exist Chung Diaconis Graham



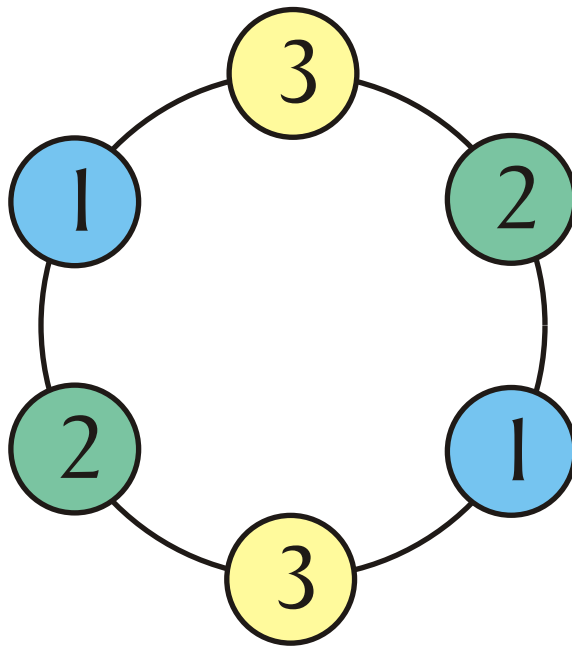
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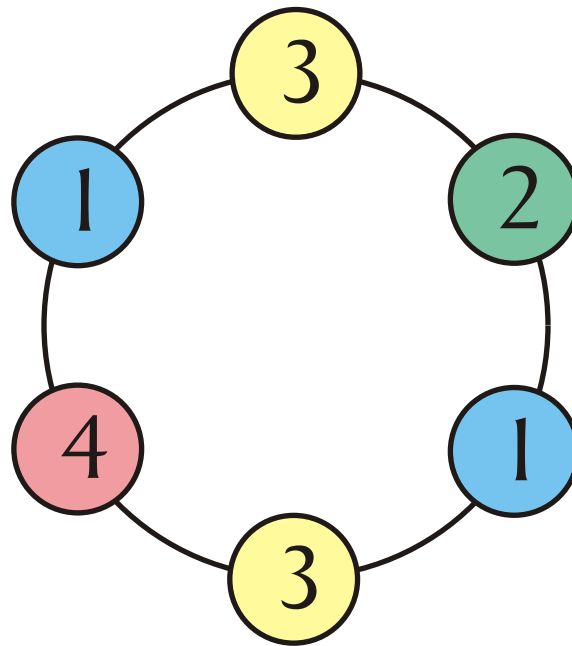
# Relation to Previous Research

Universal Cycles for Permutations do not exist Chung Diaconis Graham



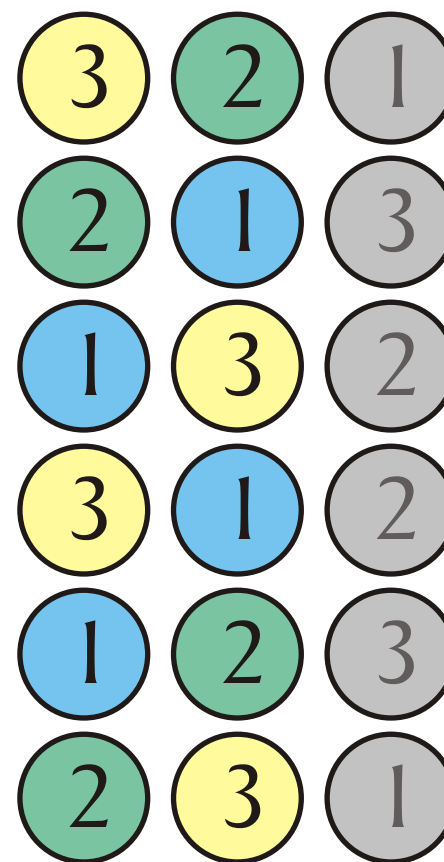
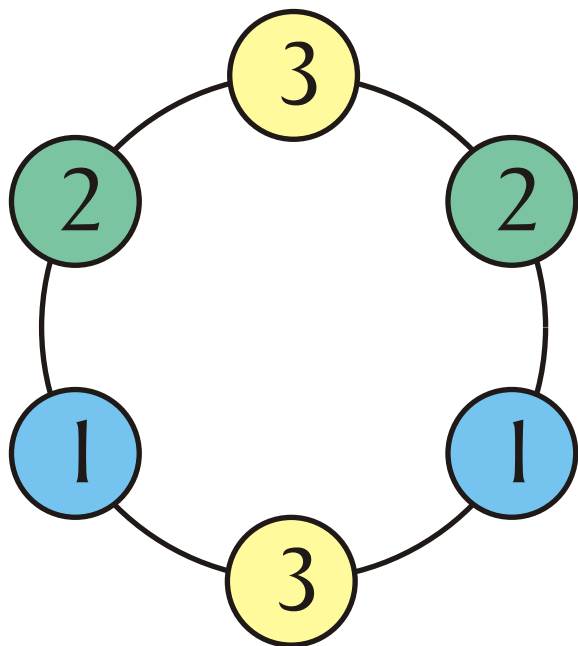
# Relation to Previous Research

Order-isomorphic exist with  $n+1$  symbols Johnson



# Relation to Previous Research

Equivalent to Universal Cycles for  $(n-1)$ -Permutations Jackson Knuth



# Additional Results and Open Problems

Extend to Permutations of a Multi-set

Extend to  $k$ -Permutations

Circulant Property

Rotations of length  $n$  and one of  $2, 3, \dots, n-2$ ? Cheng



**Thanks!**

