

# Fixed-Density de Bruijn Cycles

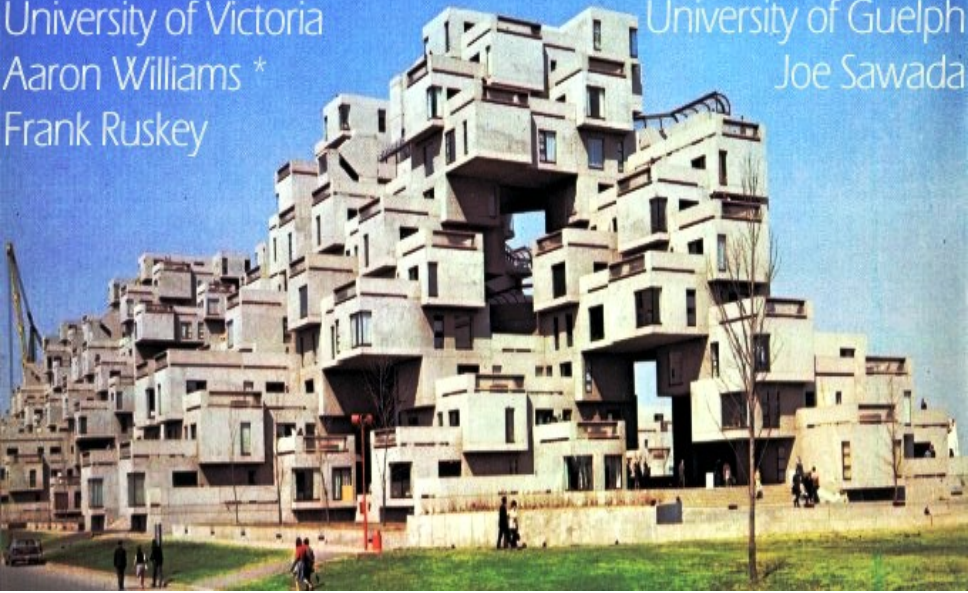
University of Victoria

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# de Bruijn Cycles

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$$|\mathbb{B}(N)| = 2^N.$$

A *de Bruijn cycle* is a binary string of length  $2^N$  that contains every string in  $\mathbb{B}(N)$  exactly once as a circular substring. For example,

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is a de Bruijn cycle for  $N = 4$  since it contains the following substrings

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1010, 0101, 1011, 0111, 1111, 1110, 1100, 1000

that include every string in  $\mathbb{B}(4)$  exactly once.

Theorem (de Bruijn 1946)

*de Bruijn cycles exist for all  $N$ .*

de Bruijn cycles can be efficiently constructed using the lexicographic order of prime-prefixes of necklaces.

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# Concatenation and Lexicographic Order

If  $\mathbf{s} \in \mathbb{B}(N)$  then  $s_i$  refers to the  $i$ th bit of  $\mathbf{s}$  for  $1 \leq i \leq N$ .

The *concatenation* of two strings  $\mathbf{s} \in \mathbb{B}(N)$  and  $\mathbf{t} \in \mathbb{B}(M)$  is

$$\mathbf{s} \cdot \mathbf{t} = s_1 s_2 \cdots s_N t_1 t_2 \cdots t_M = \mathbf{st}.$$

Exponentiation represents repeated concatenation of the same string.

$$\mathbf{s}^k = \underbrace{\mathbf{s} \cdot \mathbf{s} \cdots \mathbf{s}}_{k \text{ copies}}$$

Binary strings of equal length are totally ordered by their numerical value. That is, if  $\mathbf{s}, \mathbf{t} \in \mathbb{B}(N)$  then  $\mathbf{s} < \mathbf{t}$  if there exists  $i$  such that

$$s_1 s_2 \cdots s_i = t_1 t_2 \cdots t_i \text{ and } s_{i+1} = 0 \text{ and } t_{i+1} = 1.$$

$\mathcal{L}(\mathbb{B})$  denotes this *lexicographic order* for any  $\mathbb{B} \subseteq \mathbb{B}(N)$ . For example,

$$\mathcal{L}(\mathbb{B}(3)) = 000, 001, 010, 011, 100, 101, 110, 111.$$

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# Construction using Lexicographic Order

$\mathcal{L}(\mathbb{B}(4))$	$\mathcal{L}(\mathbb{N}(4))$	prime	$\cdot$	$\mathbb{B}(4)$
0000	0000	0	0	0000
0001	0001	0001	0	0001
0010			0	0010
0011	0011	0011	0	0100
0100			1	1001
0101	0101	01	0	0011
0110			0	0110
0111	0111	0111	1	1101
1000			1	1010
1001			0	0101
1010			1	1011
1011			0	0111
1100			1	1111
1101			1	1110
1110			1	1100
1111	1111	1	1	1000

This construction creates a de Bruijn cycle for any  $N \geq 0$ .

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0001	0001	0001	0	0001
0010			0	0010
0011	0011	0011	0	0100
0100			1	1001
0101	0101	01	0	0011
0110			0	0110
0111	0111	0111	1	1101
1000			1	1010
1001			0	0101
1010			1	1011
1011			0	0111
1100			1	1111
1101			1	1110
1110			1	1100
1111	1111	1	1	1000

1. Start with the lexicographic order of binary strings of length  $N$ .

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0010			0	0010
0011	0011	0011	0	0100
0100			1	1001
0101	0101	01	0	0011
0110			0	0110
0111	0111	0111	1	1101
1000			1	1010
1001			0	0101
1010			1	1011
1011			0	0111
1100			1	1111
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2. Remove non-necklaces to get lexicographic order of necklaces of length  $N$ .

# Construction using Lexicographic Order

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0010			0	0010
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3. Take the prime-prefix of each necklace.



# Construction using Lexicographic Order

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0001	0001	0001	0	0001
0010			0	0010
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0100			1	1001
0101	0101	01	0	0011
0110			0	0110
0111	0111	0111	1	1101
1000			1	1010
1001			0	0101
1010			1	1011
1011			0	0111
1100			1	1111
1101			1	1110
1110			1	1100
1111	1111	1	1	1000

4. Concatenate the results to obtain the de Bruijn cycle.

# Construction using Lexicographic Order

$\mathcal{L}(\mathbb{B}(4))$	$\mathcal{L}(\mathbb{N}(4))$	prime	$\cdot$	$\mathbb{B}(4)$
0000	0000	0	0	0000
0001	0001	0001	0	0001
0010			0	0010
0011	0011	0011	0	0100
0100			1	1001
0101	0101	01	0	0011
0110			0	0110
0111	0111	0111	1	1101
1000			1	1010
1001			0	0101
1010			1	1011
1011			0	0111
1100			1	1111
1101			1	1110
1110			1	1100
1111	1111	1	1	1000

(Correct length since  $\odot(\mathbf{s})$  contributes  $|\odot(\mathbf{s})|$  bits for each  $\mathbf{s} \in \mathbb{B}(N)$ .)

# Construction using Lexicographic Order

$\mathcal{L}(\mathbb{B}(4))$	$\mathcal{L}(\mathbb{N}(4))$	prime	$\cdot$	$\mathbb{B}(4)$
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0011	0011	0011	0	0100
0100			1	1001
0101	0101	01	0	0011
0110			0	0110
0111	0111	0111	1	1101
1000			1	1010
1001			0	0101
1010			1	1011
1011			0	0111
1100			1	1111
1101			1	1110
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5. Knuth calls this lexicographically smallest de Bruijn cycle the *Grand-Daddy*.

# Construction using Lexicographic Order

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0000	0000	0	0	0000
0001	0001	0001	0	0001
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0011	0011	0011	0	0100
0100			1	1001
0101	0101	01	0	0011
0110			0	0110
0111	0111	0111	1	1101
1000			1	1010
1001			0	0101
1010			1	1011
1011			0	0111
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[Martin 1934, Fredericksen-Maiorana 1978, Fredericksen-Kessler 1986, Ruskey-Savage-Wang 1992]

# Fixed-Density Binary Strings

Let  $\mathbb{B}(N, D)$  be the set of length  $N$  binary strings with *density*  $D$ .

$$|\mathbb{B}(N, D)| = \binom{D}{N}.$$

The strings in  $\mathbb{B}(N, D)$  are *fixed-density binary strings*. (Similarly, the set of *fixed-density necklaces* is denoted  $\mathbb{N}(N, D) \subseteq \mathbb{B}(N, D)$ .)

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However, its substrings of length 4 are

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0011 $xy$

However, its substrings of length 4 are

0011, 011 $x$ , 11 $xy$ , 1 $xy$ 0,  $xy$ 00,  $y$ 001

and 11 $xy$  and  $xy$ 00 cannot both be in  $\mathbb{B}(4, 2)$ .

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# Fixed-Density de Bruijn Cycles

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$$|\mathbb{B}'(N, D)| = |\mathbb{B}(N - 1, D)| + |\mathbb{B}(N - 1, D - 1)| = \binom{N}{D}.$$

In particular,  $\mathbb{B}'(2D, D)$  contains the *middle levels*. Strings in  $\mathbb{B}'(N, D)$  and  $\mathbb{B}(N, D)$  are *shorthand* and *longhand representations* of one another.

A *fixed-density de Bruijn cycle* is a length  $\binom{N}{D}$  string containing every string in  $\mathbb{B}'(N, D)$  exactly once as a (circular) substring. For example,

00011100110101001011

is a fixed-density de Bruijn cycle for  $N = 6$  and  $D = 3$  from its substrings  
000111, 001110, 011100, 111000, 110010, 100110, 001101, 011010, 110100, 101010,  
010101, 101001, 010011, 100101, 001011, 010110, 101101, 011001, 110001, 100011

Successive longhand strings differ by length  $N - 1$  or  $N$  prefix right-shifts. That is, if  $\mathbf{t}$  follows  $\mathbf{s}$  then  $\mathbf{t} = \overrightarrow{\text{shift}}(\mathbf{s}, 1, j)$  for  $j \in \{N - 1, N\}$ .

## Question

Do fixed-density de Bruijn cycles exist for all  $N$  and  $D$ ?

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Can fixed-density de Bruijn cycles be constructed using the lexicographic prime-prefix necklace construction for fixed-density strings? For example,

$$\mathcal{L}(\mathbb{N}(8, 4)) = 00001111, 00010111, 00011011, 00011101, 00100111, \\ 00101011, 00101101, 00110011, 00110101, 01010101$$

so concatenating prime-prefixes gives

00001111000101110001101100011101001001110010101100101101001100110011010101

This is not a fixed-density de Bruijn cycle because it contains the substring  $0100100 \notin \mathbb{B}'(8, 4)$ . This substring comes from the following lexicographically consecutive necklaces in  $\mathbb{N}(8, 4)$

$$\mathbf{s} = 00011101$$

$$\mathbf{t} = 00100111$$

Notice that the fourth 0 in  $\mathbf{t}$  appears two positions to the left of the fourth 0 in  $\mathbf{s}$ . Therefore, a substring in  $\mathbf{s} \cdot \mathbf{t}$  contains too many 0s.

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This is not a fixed-density de Bruijn cycle because it contains the substring  $0100100 \notin \mathbb{B}'(8, 4)$ . This substring comes from the following lexicographically consecutive necklaces in  $\mathbb{N}(8, 4)$

$$\mathbf{s} = 00011101$$

$$\mathbf{t} = 00100111$$

Notice that the fourth 0 in  $\mathbf{t}$  appears two positions to the left of the fourth 0 in  $\mathbf{s}$ . Therefore, a substring in  $\mathbf{s} \cdot \mathbf{t}$  contains too many 0s.



## Fixed-Density Grand-Daddy?

Can fixed-density de Bruijn cycles be constructed using the lexicographic prime-prefix necklace construction for fixed-density strings? For example,

$$\mathcal{L}(\mathbb{N}(8, 4)) = 00001111, 00010111, 00011011, 00011101, 00100111, \\ 00101011, 00101101, 00110011, 00110101, 01010101$$

so concatenating prime-prefixes gives

0000111000101110001101100011101001001110010101100101101001100110011010101

This is not a fixed-density de Bruijn cycle because it contains the substring  $0100100 \notin \mathbb{B}'(8, 4)$ . This substring comes from the following lexicographically consecutive necklaces in  $\mathbb{N}(8, 4)$

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## Necessary Condition using Right-Shifts

If  $\mathbf{s}, \mathbf{t} \in \mathbb{B}(N)$  then  $\mathbf{s} \cdot \mathbf{t}$  can appear as a substring of a fixed-density de Bruijn cycle for  $\mathbb{N}(N, D)$  only if for all  $1 \leq i \leq D$  and  $1 \leq j \leq N - D$

$$\text{index}_1(\mathbf{t}, i) \geq \text{index}_1(\mathbf{s}, i) - 1 \text{ and } \text{index}_0(\mathbf{t}, j) \geq \text{index}_0(\mathbf{s}, j) - 1$$

That is, the  $i$ th copy of  $x \in \{0, 1\}$  can only move to the left at most one position between  $\mathbf{s}$  and  $\mathbf{t}$ . This condition is satisfied iff  $\mathbf{s}$  can be transformed into  $\mathbf{t}$  by non-overlapping right-shifts.

Unfortunately, the condition is not sufficient. For example, the following is a (circular) right-shift Gray code for  $\mathbb{N}(7, 3)$

$$0000\overrightarrow{1}11, 000\overrightarrow{1}101, 0010\overrightarrow{1}01, 00\overrightarrow{1}0011, 000\overrightarrow{1}011$$

Therefore, the (prime-prefix) concatenation gives

$$00001110001101001010100100110001011$$

This is not a fixed-density de Bruijn cycle since  $010010 \in \mathbb{B}'(7, 3)$  appears twice. Therefore, a special right-shift Gray code will be required.

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# Shift Gray Code for Fixed-Density Binary Strings

The iterative rule below generates the *cool-lex* right-shift Gray code for  $\mathbb{B}(N, D)$  denoted  $\overrightarrow{\mathcal{C}}(\mathbb{B}(N, D))$  [Ruskey-W 2005].

Right-shift the first bit until it passes over 10 or reaches the end of the string.

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More formally, the *cool right-shift* for  $s \in \mathbb{B}(N, D)$  is

$$\overrightarrow{\text{cool}}(s) = \begin{cases} \overrightarrow{\text{shift}}(s, 1, N) & \text{if } k = N \\ \overrightarrow{\text{shift}}(s, 1, k + 1) & \text{otherwise } (k < N) \end{cases}$$

where  $k$  is the maximum value such that  $s_2 s_3 \cdots s_k = 0^* 1^*$ .

*Cool-lex order* also gives right-shift Gray codes for balanced parentheses [Ruskey-W, 2008] and fixed-density necklaces  $\overrightarrow{\mathcal{C}}(N(N, D))$  [Sawada-W, 2009].

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# Shift Gray Code for Fixed-Density Binary Strings

The iterative rule below generates the *cool-lex* right-shift Gray code for  $\mathbb{B}(N, D)$  denoted  $\overrightarrow{\mathcal{C}}(\mathbb{B}(N, D))$  [Ruskey-W 2005].

Right-shift the first bit until it passes over 10 or reaches the end of the string.

More formally, the *cool right-shift* for  $\mathbf{s} \in \mathbb{B}(N, D)$  is

$$\overrightarrow{\text{cool}}(\mathbf{s}) = \begin{cases} \overrightarrow{\text{shift}}(\mathbf{s}, 1, N) & \text{if } k = N \\ \overrightarrow{\text{shift}}(\mathbf{s}, 1, k + 1) & \text{otherwise } (k < N). \end{cases}$$

where  $k$  is the maximum value such that  $s_2 s_2 \cdots s_k = 0^* 1^*$ .

*Cool-lex order* also gives right-shift Gray codes for balanced parentheses [Ruskey-W. 2008] and fixed-density necklaces  $\overrightarrow{\mathcal{C}}(\mathbb{N}(N, D))$  [Sawada-W. 2009].

Recursively, cool-lex is similar to co-lexicographic order.

Generalization to multiset permutations [W. 2009].

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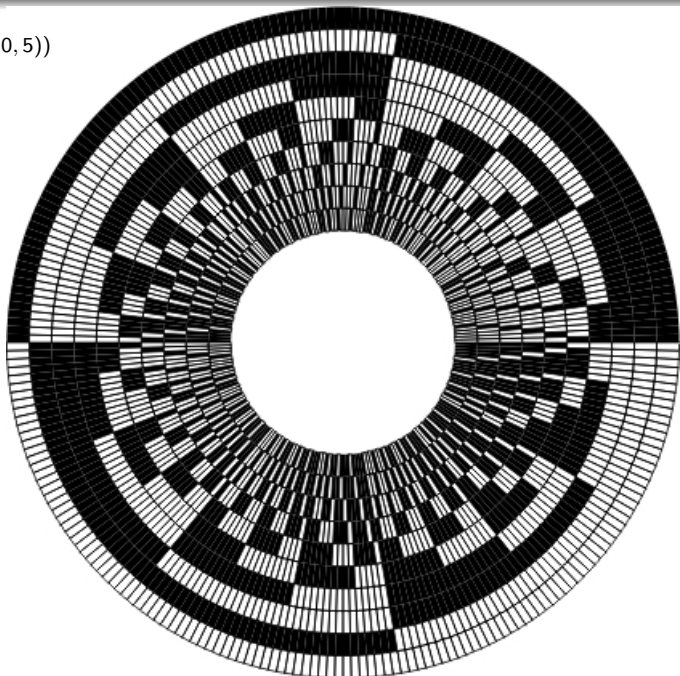
001011

010011

100011

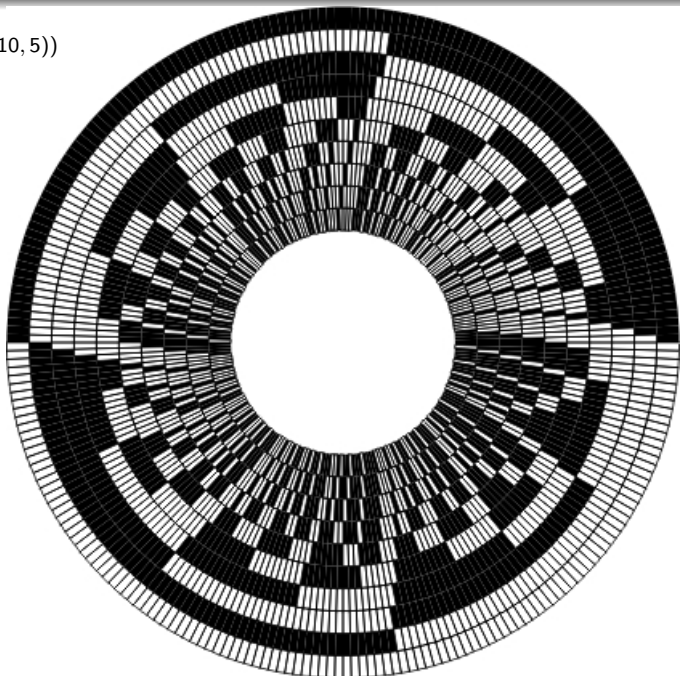
# Lexicographic Order versus Cool-lex Order

$\mathcal{L}(\mathbb{B}(10, 5))$



# Lexicographic Order versus Cool-lex Order

$\vec{c}(\mathbb{B}(10,5))$



# Construction using Cool-lex Order

$\vec{c}(\mathbb{B}(6, 3))$	$\vec{c}(\mathbb{N}(6, 3))$	prime	.	$\mathbb{B}'(6, 3)$	$\mathbb{B}(6, 3)$
000111	000111	000111	0	00011	000111
001110			0	00111	001110
011100			0	01110	011100
111000			1	11100	111000
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001101	001101	001101	1	10100	101001
011001			0	01001	010011
110001			1	10010	100101
101001			0	00101	001011
010101	010101	01	0	01011	010110
100101			1	10110	101100
001011	001011	001011	0	01100	011001
010011			1	11000	110001
100011			1	10001	100011

This construction creates a fixed-density de Bruijn cycle for any  $N \geq D \geq 0$ .

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1. Start with the cool-lex order of binary strings of length  $N$  and density  $D$ .

# Construction using Cool-lex Order

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2. Remove non-necklaces to get cool-lex of necklaces of length  $N$  and density  $D$ .

# Construction using Cool-lex Order

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3. Take the prime-prefix of each necklace.



# Construction using Cool-lex Order

$\vec{c}(\mathbb{B}(6, 3))$	$\vec{c}(\mathbb{N}(6, 3))$	prime	.	$\mathbb{B}'(6, 3)$	$\mathbb{B}(6, 3)$
000111	000111	000111	0	00011	000111
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4. Concatenate the results to obtain the fixed-density de Bruijn cycle.

# Construction using Cool-lex Order

$\vec{c}(\mathbb{B}(6, 3))$	$\vec{c}(\mathbb{N}(6, 3))$	prime	.	$\mathbb{B}'(6, 3)$	$\mathbb{B}(6, 3)$
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010011			1	11000	110001
100011			1	10001	100011

(Correct length since  $\odot(\mathbf{s})$  contributes  $|\odot(\mathbf{s})|$  bits for each  $\mathbf{s} \in \mathbb{B}(N, D)$ .)

# Construction using Cool-lex Order

$\vec{c}(\mathbb{B}(6, 3))$	$\vec{c}(\mathbb{N}(6, 3))$	prime	.	$\mathbb{B}'(6, 3)$	$\mathbb{B}(6, 3)$
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001011	001011	001011	0	01100	011001
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100011			1	10001	100011

Substrings equal  $\mathbb{B}'(N, D)$ .

# Construction using Cool-lex Order

$\vec{c}(\mathbb{B}(6, 3))$	$\vec{c}(\mathbb{N}(6, 3))$	prime	.	$\mathbb{B}'(6, 3)$	$\mathbb{B}(6, 3)$
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001011	001011	001011	0	01100	011001
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Suffix the unique missing symbol to obtain  $\mathbb{B}(N, D)$ .

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Successive fixed-density strings differ by prefix-shift of length  $N$  or  $N - 1$ .

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When  $N = 2D$  the construction gives a universal cycle for the middle levels.

# Final Thoughts

## Summary

- Introduced concept of fixed-density de Bruijn cycle.
- Cool-lex order is the Grand-Daddy of fixed-density de Bruijn cycles as lexicographic order is the Grand-Daddy of de Bruijn cycles.

## Open Problems

- Improve efficiency of this construction for fixed-density binary strings.
- Is this construction the first in lexicographic / cool-lex order?
- Maximize/minimize the number of 1s in a fixed-density de Bruijn cycle.
- Maximize/minimize the shifts of length  $N$  in resulting order of  $\mathbb{B}(N, D)$ .
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# Final Thoughts

## Summary

- Introduced concept of fixed-density de Bruijn cycle.
- Cool-lex order is the Grand-Daddy of fixed-density de Bruijn cycles as lexicographic order is the Grand-Daddy of de Bruijn cycles.

## Open Problems

- Improve efficiency of this construction for fixed-density binary strings.
- Is this construction the first in lexicographic / cool-lex order?
- Maximize/minimize the number of 1s in a fixed-density de Bruijn cycle.
- Maximize/minimize the shifts of length  $N$  in resulting order of  $\mathbb{B}(N, D)$ .
- Existence of *density-range de Bruijn Cycles* for  $\bigcup_{i=\min}^{\max} \mathbb{B}(N, i)$ ?
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